Occupational exposure to asbestos and risk of cholangiocarcinoma: a population-based case–control study in four Nordic countries

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ABSTRACT

**Objectives** To assess the association between occupational exposure to asbestos and the risk of cholangiocarcinoma (CC).

**Methods** We conducted a case–control study nested in the Nordic Occupational Cancer (NOCCA) cohort. We studied 1458 intrahepatic CC (ICC) and 3972 extrahepatic CC (ECC) cases occurring among subjects born in 1920 or later in Finland, Iceland, Norway and Sweden. Each case was individually matched by birth year, gender and country to five population controls. The cumulative exposure to asbestos (measured in fibres (f)/ml × years) was assessed by applying the NOCCA job-exposure matrix to data on occupations collected during national population censuses (conducted in 1960, 1970, 1980/81 and 1990). Odds ratios (OR) and 95% CI were estimated using conditional logistic regression models adjusted by printing industry work.

**Results** We observed an increasing risk of ICC with cumulative exposure to asbestos: never exposed, OR 1.0 (reference category); 0.1–4.9 f/ml × years, OR 1.1 (95% CI 0.9 to 1.3); 5.0–9.9 f/ml × years, OR 1.3 (95% CI 0.9 to 2.1); 10.0–14.9 f/ml × years, OR 1.6 (95% CI 1.0 to 2.5); ≥15.0 f/ml × years, OR 1.7 (95% CI 1.1 to 2.6). We did not observe an association between cumulative asbestos exposure and ECC.

**Conclusions** Our study provides evidence that exposure to asbestos might be a risk factor for ICC. Our findings also suggest that the association between ECC and asbestos is null or weaker than that observed for ICC. Further studies based on large industrial cohorts of asbestos workers and possibly accounting for personal characteristics and clinical history are needed.

INTRODUCTION

Cholangiocarcinomas (CC) are aggressive primary malignancies of the biliary tract characterised by a very poor prognosis. In clinical practice, CC is divided into intrahepatic (ICC) and extrahepatic (ECC); the latter also includes hilar CC. This anatomical classification also reflects the different origin of multipotent stem cells suspected to be involved in the genesis of ICC and ECC. Moreover, the available epidemiological evidence demonstrates that ICC and ECC have different epidemiological features (ie, incidence and aetiological factors). While the incidence of ECC has remained stable over the past decades, a marked increase in the incidence of ICC has been reported worldwide. In Western countries, the rise in the number of cases of ICC cannot be readily explained by well-established major risk factors, which include infectious diseases (hepatitis B and C viruses, *Opisthobothris viverrini* and *Clonorchis sinensis* infestations), congenital/inherited conditions (bile duct cysts, Caroli’s disease, haemochromatosis and Wilson’s disease), liver inflammatory diseases (primary sclerosing cholangitis, cirrhosis, non-alcoholic fatty liver disease) and personal habits (heavy alcohol consumption, cigarette smoking and obesity). Thus, recent studies have focused on possible occupational and environmental determinants of ICC. In particular, several studies documented an increased incidence of ICC among workers in the printing industry, possibly due to exposure to inhaled volatile organic compounds such as 1,2-dichloropropane and dichloromethane. Also, CC has been associated with occupational asbestos exposure in a retrospective case–control study, where a fourfold increase in the risk of ICC was observed among ever exposed subjects compared with never exposed. To our best knowledge, this is the only study that has specifically investigated the role of asbestos in the genesis of CC, although several limitations were present, such as the crude exposure assessment (ever/never exposed), the use of an historical
control group and the limited size of the study population (41 ICC and 59 ECC cases).11

We aimed to investigate further the association between occupational exposure to asbestos and the risk of ICC and ECC using data from a large population study conducted in the Nordic countries.

MATERIALS AND METHODS

Study design and population

We conducted a case–control study nested in the Nordic Occupational Cohort Study (NOCCA).12 The NOCCA cohort includes 15 million persons from Denmark, Finland, Iceland, Norway and Sweden who participated in at least one population census in 1960, 1970, 1980/81 or 1990. The present study, based on individual records, is restricted to four countries (Finland, Iceland, Norway and Sweden) as we had no access to individual data from Denmark.

The NOCCA cohort was conceived to study occupational risk factors of cancer. The detailed methods have been described elsewhere.12 Briefly, census data were linked to national population registries through personal identity codes in order to identify data on cancer, death and emigration. Data on occupation (classified based on national standard occupational codes) were available from all four censuses in Sweden, from 1960, 1970 and 1980 in Norway, and from 1970 and a later census in Finland. In Iceland an electronic database of census data was available only for 1981. All participants in the aforementioned censuses were followed up in the NOCCA cohort until death, emigration or the end of the study (2005 in Finland and Sweden, 2004 in Iceland, 2003 in Norway).

In the present study we considered all incident cases of CC reported to the cancer registries of Finland, Iceland, Norway and Sweden between the first available census and end of follow-up of the NOCCA study. Based on the site of occurrence, we distinguished ICC, ECC and not otherwise specified CC (site of occurrence non-identifiable). Table A in online supplementary file shows the International Classification of Diseases codes (versions 7, 8 and 10) used in each of the four participating countries to identify CC.10

Each case was individually matched by gender, birth year and country to five population controls randomly sampled among those who were alive and had not been diagnosed with CC on the date of diagnosis of the case (hereafter the 'reference date').

As estimates on the potential exposure to asbestos were available only from 1945 (see section below) and major changes to the use of asbestos after World War II occurred in the Nordic countries,13 14 we decided to restrict our study to those subjects who had spent the majority of their working life after 1945. We therefore excluded from the analyses all subjects born before 1920.

The NOCCA study has been approved by the ethical committees and the data inspection boards in each of the Nordic countries.

Exposure assessment

The exposure to asbestos for each subject was estimated by applying the NOCCA job-exposure matrix (JEM) to the available occupational codes.15 This JEM was created by national experts from the Nordic countries starting with the Finnish JEM16; it covers more than 300 specific occupations, 29 exposure agents and four periods: 1945–59, 1960–74, 1975–84 and 1985–94. Asbestos exposure was defined as the inhalation of any form of asbestos fibres (length >5 μm, diameter <3 μm and aspect ratio of at least 3:1) or asbestos-containing material. The probability of exposure (P, the proportion of workers exposed to asbestos within each occupational code) and the mean level of exposure (L) measured in fibres (f)/ml among the exposed workers were estimated by occupational code, country and period. Only occupations where the probability of exposure was >5% were classified as exposed to asbestos (ie, the exposure was classified as null if P was <0.05).15

Even though at least one occupational code was available for all subjects in the research database, the national classification schemes include some uninformative categories (eg, unknown occupation, new workers seeking employment, workers reporting occupations unidentifiable or inadequately described). As occupational exposure to asbestos was relatively common in the general population during the study period,17 18 we were not confident in assuming a zero level of occupational exposure to asbestos for individuals with unclassified occupations and we decided to recode as missing information the occupational codes reported in table B of online supplementary file.

We applied four different metrics to assess the effect of asbestos exposure on the risk of CC. First, we divided the study population into never or ever exposed to asbestos. Second, we classified each subject based on the maximum level (P × L) of exposure to asbestos during his/her working life (as assessed using the available occupational codes). Third, we studied the effect of the duration of exposure to asbestos. For this purpose, we assumed that the employment period of each subject started at the age of 20 and ended at the age of 65. If a person showed different occupational codes in the census records, we assumed that the occupation had changed in the mid-point between two censuses. We defined the duration (D) of the exposure to asbestos as the total number of years spent by each individual in an occupation with a non-zero level of asbestos exposure. The last metric applied was the cumulative exposure calculated as P × L × D (measured in f/ml × year). For subjects with different occupations over the studied period, the cumulative exposure was calculated by summing up all their P × L × D values. Assuming that a solid cancer develops over a number of years, we conducted four sets of analyses where cumulative exposure was calculated with no lagging or assuming a 10-, 20- or 30-year lag period (ie, not considering the exposure occurred 10, 20 or 30 years before the reference date).

As previous reports have suggested that the risk of CC might be increased among workers in the printing industry,10 we hypothesised that work in the printing industry might be a confounder of the studied association. Due to the limited number of printing industry workers in our study population, we only created a binary qualitative exposure variable (never/ever exposed) and we did not attempt to create a quantitative exposure variable. The occupational codes used to identify printing industry workers are reported in table C in online supplementary file.10

Statistical analysis

We first studied the effect of asbestos exposure on the risk of CC. We then conducted separate analyses for ICC and ECC because available evidence suggests that asbestos might be a risk factor particularly for ICC.11

The correlations between continuous variables were assessed using Spearman’s rank correlation coefficient (Spearman rho). All quantitative exposure variables (maximum level, duration and cumulative exposure) were recoded into ordinal variables. We selected values corresponding to the 50th and 90th percentiles of the exposure variable distribution among all ever exposed
subjects as cut-off points for categorisation; never exposed individuals were used as the reference group. To better assess the exposure-response between CC and cumulative exposure to asbestos (the main exposure metric), we created a further ordinal variable based on the observed distribution of the cumulative exposure to asbestos in our study population to identify equal intervals of exposure: never exposed (0 f/mL × years); 0.1–4.9 f/mL × years; 5.0–9.9 f/mL × years; 10.0–14.9 f/mL × years; and ≥15.0 f/mL × years.

We estimated odds ratios (ORs) and 95% CIs of CC by fitting logistic regression models conditioned on matching variables (gender, birth year and country); we also conducted a set of analyses adjusted by work in the printing industry. Linear trends for ordinal exposure variables (ie, exposure categories ranked from the lowest to the highest) were evaluated using the Wald test, treating the variable as a continuous variable (introduced in the model with one degree of freedom). When studying cumulative exposure calculated assuming different lag periods, we performed a model comparison by means of the Bayesian information criterion (BIC) proposed by Schwarz. The BIC for a regression model is calculated based on the log-likelihood (lnL), the number of parameters estimated (k) and the sample size (N) according to the formula: $BIC = -2*\ln L + k*\ln(N)$. The BIC allows the comparison of non-nested regression models; the lower the BIC, the better is the fit of the model to the observed data. We conducted analyses stratified by gender, country and reference age to explore possible effect modifiers.

All analyses were performed using Stata 14.1 SE (Stata Corporation, College Station, Texas, USA). A two-sided p value <0.05 was defined as statistically significant.

**RESULTS**

This database from four Nordic countries includes 2645 subjects with ICC, 10,753 with ECC and 7580 with not otherwise specified CC diagnosed between 1961 and 2005. After the exclusion of subjects born before 1920 and of those without occupational codes informative on their past exposure to asbestos, we included in our final analysis 1458 ICC, 3972 ECC and 2801 not otherwise specified CC (figure 1).

Table 1 presents the characteristics of the study population. Both ICC and ECC were more common among men than women (53.8% of ICC and 55.1% of ECC). As expected, most CC cases were aged 60 years or more. The majority of the cases were diagnosed in Sweden and Finland, while Iceland contributed only 73 diagnoses. A total of 503 subjects (1.1% of the study population) were classified as ever employed in the printing industry. The overall prevalence of past exposure to asbestos was higher among controls (17.0%) than cases (16.6%); however, the prevalence increased to 19.7% when considering ICC cases solely. Among workers ever

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**Table 1** Characteristics of the study population.

<table>
<thead>
<tr>
<th>Category</th>
<th>ICC</th>
<th>ECC</th>
<th>Not otherwise specified</th>
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</thead>
<tbody>
<tr>
<td>Sex</td>
<td>Male, 61%</td>
<td>Male, 61%</td>
<td>Male, 55%</td>
</tr>
<tr>
<td>Age</td>
<td>≥60 years, 70%</td>
<td>≥60 years, 70%</td>
<td>≥60 years, 70%</td>
</tr>
<tr>
<td>Country</td>
<td>Sweden, 49%</td>
<td>Finland, 46%</td>
<td>Iceland, 8%</td>
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<tr>
<td>Ever employed in printing industry</td>
<td>Yes, 1%</td>
<td>Yes, 1%</td>
<td>Yes, 1%</td>
</tr>
<tr>
<td>Past exposure to asbestos</td>
<td>Controls, 17%</td>
<td>Cases, 16%</td>
<td>Controls, 19%</td>
</tr>
</tbody>
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**Figure 1** Flow diagram of the study population.
exposed to asbestos, the cumulative exposure index was highly correlated to the maximum intensity of exposure (Spearman’s rho 0.97) and moderately correlated to the duration of exposure (Spearman’s rho 0.54), while intensity and duration of exposure were only weakly correlated (Spearman’s rho 0.39).

Table 2 and table D in online supplementary file show the association between the selected metrics for asbestos exposure and the risk of CC, ICC and ECC. Adjustment by work in the printing industry did not substantially change the estimates of the risk estimates. As expected, the overall estimates were driven by the data observed among men, as very few women had exposure.

Table 3 shows the effect of lagging the cumulative exposure when studying ICC. According to the BIC, the four models (unlagged, 10-, 20- or 30-year lag) did not differ substantially from each other (BIC ranged between 5036.3 and 5040.3). The BIC was lowest with a 20-year lag; in this analysis, the OR compared with never exposed was 2.1 (95% CI 1.2 to 3.7).

Table E in online supplementary file shows the OR for the association between the cumulative exposure to asbestos and ICC estimated in the analyses stratified by gender, country and reference age. As expected, the overall estimates were driven by the data observed among men, as very few women had exposure above 1.1 f/mL × years compared with never exposed was 2.1 (95% CI 1.2 to 3.7).

Table 3 shows the effect of lagging the cumulative exposure when studying ICC. According to the BIC, the four models (unlagged, 10-, 20- or 30-year lag) did not differ substantially from each other (BIC ranged between 5036.3 and 5040.3). The BIC was lowest with a 20-year lag; in this analysis, the OR compared with never exposed was 2.1 (95% CI 1.2 to 3.7).
### Table 2  Occupational exposure to asbestos and risk of cholangiocarcinoma

<table>
<thead>
<tr>
<th>Metric for occupational exposure to asbestos</th>
<th>All cholangiocarcinoma</th>
<th>Intrahepatic cholangiocarcinoma</th>
<th>Extrahepatic cholangiocarcinoma</th>
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<tbody>
<tr>
<td></td>
<td>Cases (n=8231)</td>
<td>Controls (n=37389)</td>
<td>OR (95% CI)</td>
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| Maximum intensity*                        |                       |                                 |                                 |
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| Duration of exposure*                      |                       |                                 |                                 |
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| Cumulative exposure*                       |                       |                                 |                                 |
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| Estimates from logistic regression models conditioned on matching variables (year of birth, gender and country). |

*Cut-offs based on quartile of the distribution of the exposure among subjects with a non-zero exposure.
†Equal intervals.
Ref, reference category.
We observed an increased risk of ICC with all four asbestos exposure metrics (ever/never, intensity, duration and cumulative). Regarding quantitative asbestos metrics, clear signs of an exposure–response relationship were observed for intensity and cumulative exposure—two metrics that were highly correlated in our study population—but not for duration of exposure. Duration of exposure is a major determinant for most asbestos-related conditions. However, for intensity and cumulative exposure, two metrics that were highly correlated in our study, the relative risks estimated from logistic regression models conditioned on matching variables (year of birth, gender and country) showed a non-differential misclassification of the exposure variable.

The presence of asbestos fibres in liver tissue was first reported more than three decades ago. In addition, a recent study from the region of Casale Monferrato, Italy, known for its high incidence of asbestos-related cancers, demonstrated the presence of asbestos fibres in gallbladder removed to treat cholelithiasis several years after the Italian ban of asbestos. How asbestos fibres can reach the liver tissue is still unclear. Miserocchi and colleagues hypothesised that the fibres, drained by the pulmonary lymphatic system, could reach the blood and then potentially translocate to all organs. Once in the liver, asbestos fibres might produce a chronic inflammatory status and lead to impaired cell proliferation and apoptosis. The anatomical features of the bile ducts could explain the differences observed between ICC and ECC; asbestos fibres might be more likely to remain trapped in the smaller bile ducts. Moreover, current knowledge supports the hypothesis that different pluripotent
stem cells could be involved in the carcinogenic process of ICC and ECC.⁴

Study strengths and limitations
The main strengths of our study are the large population base and the high number of cases analysed. Also, thanks to the use of standard diagnostic codes, we were able to distinguish the site of occurrence (extra- or intrahepatic) for most cases (63.5%), enabling us to present separate estimates for ICC and ECC. Finally, the occupational exposure to asbestos was quantified based on a job-exposure matrix that was created without any knowledge of the aetiological hypothesis under investigation in the present study.¹⁵

The main limitation of the present register-based study is the absence of information on potential confounders other than work-related ones, such as liver diseases and personal habits. In the Nordic countries, some of the established risk factors for CC might be more common among subjects from lower socioeconomic classes (eg, alcohol-related liver cirrhosis¹¹) and might be associated with a history of asbestos exposure. Increased mortality for liver diseases has been documented in the Nordic countries in some groups of workers performing occupations with potential exposure to asbestos (eg, miners and quarry workers, construction workers, plumbers and painters).¹² However, we observed very different risk estimates for ICC and ECC; hence, the confounding pattern should differ substantially between the two forms and be strong enough to largely inflate the OR for ICC (the OR for exposure levels ≥15 f/mL × years was as high as 2.1 when a 20-year lag was allowed). This seems unlikely as alcohol-related liver diseases such as liver cirrhosis are considered to be risk factors for both ICC and ECC (although the magnitude of the risks is probably higher for ICC).²⁵ For these reasons, we do not believe that the absence of information on established risk factors for CC might fully explain the pattern or risk estimates that we have observed for ICC; however, we cannot exclude a minor overestimation of the OR due to a possible different distribution of chronic liver diseases by occupation. A second limitation is the potential for exposure misclassification, as the exposure assessment was performed based on job titles (no direct asbestos measurement information available at the individual level). A recent analysis of the Swedish component of the NOCCA study showed an increased risk of mesothelioma in occupations not considered, according to the NOCCA JEM, exposed to asbestos or other chemical carcinogens.²⁵ Among women, the occupations of canning workers and cleaners showed an increased risk of mesothelioma not predicted by the NOCCA JEM.²⁵ This observation suggests that the use of the NOCCA JEM fails to capture the risk of asbestos-related mesothelioma occurring in occupations where the proportion of workers exposed to asbestos is low (<5%).²⁵ In our specific case, also considering the high proportion of CC cases recorded among women, it is possible that a certain proportion of workers classified as ‘unexposed’ had actually been exposed to asbestos; this fact is likely to have biased our estimates towards the null hypothesis. However, our most notable finding is the raised risk of ICC observed among men with high levels of exposure to asbestos. In this subpopulation, the bias due to sources of exposure not captured by the JEM should be minimal. We did not have access to the entire working history of the enrolled subjects, and thus we had to assume similar exposures to asbestos between censuses, which is imprecise. Moreover, the starting and ending employment dates were not available and we assumed that all subjects worked between the ages of 20 and 65 years. Also, some of the workers included in the present analysis might have been exposed to asbestos a few years before 1945 (we included all people born in 1920 or later); thus, the length of exposure to asbestos might have been underestimated for a small proportion of our study population. There is no reason to suspect that the exposure misclassification might be differential with respect to the case/control status; hence, this potential source of bias is more likely to have attenuated rather than inflated our risk estimates. In our analysis we could only evaluate the association between CC and occupational exposure to asbestos, while we had no information on other non-occupational sources of exposure to asbestos.²⁵ Also, the NOCCA JEM is based on data referring to airborne concentration of asbestos fibres at the working places;¹¹ hence, the JEM implicitly assumes that the main route of exposure is inhalation. However, in the case of CC, enteric absorption of ingested asbestos fibres—possibly due to non-occupational sources of exposure such as the consumption of contaminated drinking water—might play a role.³⁴ Also, due to the limited knowledge available on the carcinogenic process linking asbestos exposure to ICC, we cannot exclude the possibility that exposures occurring early in life might be important determinants of the disease. An experimental study demonstrated that, in mice, ingested asbestos fibres can cross the placenta and accumulate in the liver of the fetus.³⁵ This finding is in line with those from an epidemiological study that documented the presence of asbestos fibres in the liver of stillborn infants from mothers without a known occupational exposure to asbestos.³⁶ Due to the lack of information on established personal risk factors, we could not explore the role of asbestos in the initiation and/or promotion of CC. Hence, our study does not provide information on the role of asbestos as a co-carcinogen acting only in the presence of an already initiated carcinogenic process. A final limitation of our study is the relatively low level of exposure to asbestos observed among both cases and controls. Asbestos use was banned in Iceland, Sweden and Norway during the early 1980s, but in Finland its use continued until 1993. Therefore, even the highest asbestos exposure categories in this study are not especially high compared with the highest exposure levels worldwide.

CONCLUSIONS
This is the first large population-based study which supports the hypothesis that occupational exposure to asbestos is a risk factor for ICC, but not for ECC. Further studies designed to carefully assess the asbestos exposure history and to account for potential confounders are necessary to establish the causality of the observed association and to define with precision the magnitude of the risk.

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Contributors EP, KS and SM contributed to the conception of the study, AF, EP, FSV, GBl, GrB, JIM, KS, SC and SM contributed to the design of the study. EP, EW, JIM, KK, LT and PS contributed to the collection of data; AF, JIM, SC and SM contributed to the analysis of data; AF, EP, FSV, GBl, KS, SC and SM contributed to the interpretation of data. AF, FSV, GBl, SC and SM contributed to drafting the article. EP, EW, GBl, JIM, KK, KS, LT and PS contributed to the critical revision of the article. All authors read and approved the final version of the manuscript.

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Competing interests None declared.

Ethics approval The NOCCA study has been approved by the ethical committees and the data inspection boards in each of the Nordic countries.

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