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# Work-focused cognitive–behavioural therapy and individual job support to increase work participation in common mental disorders: a randomised controlled multicentre trial

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## ABSTRACT

**Objectives** Common mental disorders (CMDs) are a major cause of rising disability benefit expenditures. We urgently need evidence on programmes that can increase work participation in CMDs. The aim of this study was to evaluate the effectiveness of work-focused cognitive–behavioural therapy (CBT) and individual job support for people struggling with work participation due to CMDs.

**Methods** A randomised controlled multicentre trial (RCT) including 1193 participants was conducted. Participants were on sick leave, at risk of going on sick leave or on long-term benefits. The intervention integrated work-focused CBT with individual job support. The control group received usual care. The main outcome was objectively ascertained work participation at 12 months follow-up, with changes in mental health and health-related quality of life as secondary outcomes.

**Results** A larger proportion of participants in the intervention group had increased or maintained their work participation at follow-up compared to the control group (44.2% vs 37.2%,  $p=0.015$ ). The difference remained significant after 18 months (difference 7.8%,  $p=0.018$ ), and was even stronger for those on long-term benefits (difference 12.2%,  $p=0.007$ ). The intervention also reduced depression ( $t=3.23$ ,  $p\leq 0.001$ ) and anxiety symptoms ( $t=2.52$ ,  $p=0.012$ ) and increased health-related quality of life ( $t=2.24$ ,  $p=0.026$ ) more than usual care.

**Conclusions** A work-focused CBT and individual job support was more effective than usual care in increasing or maintaining work participation for people with CMDs. The effects were profound for people on long-term benefits. This is the first large-scale RCT to demonstrate an effect of a behavioural intervention on work participation for the large group of workers with CMDs.

**Trial registration number** ClinicalTrials.gov, registration number: NCT01146730.

## INTRODUCTION

Sickness absence with mental disorders as a primary diagnosis has increased markedly over the past decade, and mental and behavioural disorders now account for more incapacity benefit claims than any other disorders,<sup>1–2</sup> despite no clear increase in corresponding prevalence rates.<sup>3–5</sup> Common mental disorders affect one-sixth of the working age population at any one point,<sup>6</sup> many of

## What this paper adds

- Common mental disorders are a major cause of rising disability benefit expenditures.
- We urgently need evidence on programmes that can increase work participation in common mental disorders.
- An integrated model of work-focused cognitive–behavioural therapy and individual job support, emphasising early re-entry, was more effective than usual care in increasing or maintaining work participation for people with common mental disorders.
- The effects were profound for people on long-term benefits.

whom struggle to cope with and retain their place in working life.<sup>1–7</sup> As a consequence, these disorders represent a significant loss of labour supply and increase state expenditure through sickness absence and disability pensions.<sup>1</sup> With longer sickness absences, it becomes less likely that the person will return to work (RTW).<sup>8–9</sup> A crucial challenge is therefore to disrupt the process of prolonged sickness absence before it progresses into permanent disability.<sup>7</sup>

Cognitive–behavioural therapy (CBT) is established as standard treatment for depression<sup>10</sup> and anxiety.<sup>11</sup> There is, however, no one-to-one relationship between disorder, symptom levels and work participation,<sup>7</sup> and it is not clear if treatment and remission of a common mental disorder, such as by way of CBT, results in increased work participation for the individual. Occupational rehabilitation has often followed a ‘two-phased approach’, with an initial medical goal of alleviating health problems, whereupon the individual with or without further assistance is expected to RTW. Recent evidence challenges this as early re-entry to ordinary employment with close follow-up can increase both work participation<sup>12–15</sup> and mental health outcomes<sup>16</sup> in severe mental disorders. It is not known if this approach can be extended to common mental disorders. In the light of the high prevalence of common mental disorders and their



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major impact on sickness absence and disability pension award, efficacious interventions to restore working capacity and prevent ill health retirement for this patient group are needed.

The main objective of this study was to evaluate the effectiveness of an integrated model (work-focused CBT and individual job support), labelled AWaC (At Work and Coping), for people struggling with work participation due to common mental disorders. The effect was evaluated in a pragmatic randomised controlled multicentre trial (RCT), to reach robust conclusions in an ecologically valid study setting. Participants were randomised to AWaC or usual care provided by the Norwegian Labor and Welfare Administration (NAV) and/or general practitioners (GPs).

Primary objective: (1) To investigate the main effect of AWaC on work participation at 12 months follow-up.

Secondary objectives: (1) to investigate changes in mental health and health-related quality of life at 12 months follow-up; (2) to calculate the cost-effectiveness of AWaC and (3) to examine effect differences in subgroups defined by gender, age, baseline work status, health variables and time of inclusion.

## METHODS

### Study context

The Norwegian public insurance system includes all lawful residents of Norway and provides health service benefits and pensions for all members of the National Insurance Scheme, administered by the Norwegian Welfare and Labor Administration (NAV). The workers' compensation programme is part of the scheme and provides 100% coverage for lost income due to medically acknowledged sickness, disease or injury (including mental illness) from day one until the person can work again, up to 52 weeks. After that, long-term benefits provide approximately 66% of former income.

### Procedure

People aged 18–60 years who were struggling with work participation attributable to common mental disorders were invited. This included people on and at risk of sick leave, as well as people on long-term benefits (primarily participants on work assessment allowance after >12 months sick leave). During the first assessment of eligibility, a clinical psychologist assessed the presence of common mental disorders. This assessment was based on informal self-reports of symptoms and symptoms consistent with anxiety and/or depression. This included the sub-threshold symptoms of anxiety and depression disorders, as they too are strongly associated with functional disability and absence from work.<sup>17</sup> Eligible participants had to express a motivation to RTW/stay at work. Participants were excluded if reasons other than common mental disorders were the primary cause of problems with work participation, such as no motivation to participate in working life; severe psychiatric disorders; high suicide risk; pregnancy and ongoing substance abuse. Inability to read Norwegian and engagement in psychotherapy elsewhere also led to exclusion.

1416 potential participants were referred and considered for inclusion (6/1/2010–2/1/2012). Of these, 197 did not fulfil the inclusion criteria, 17 did not consent to participate, and 9 withdrew their consent and required data deletion (see online supplementary figure). In total, 1193 participants entered the trial and were randomised, 52.8% (n=630) to the intervention group and 47.2% (n=563) to the control group. The unbalanced allocation (a 2:1 randomisation ratio in the first 5 months of recruitment) ensured that the centres could run according to maximal capacity. The randomisation procedure

strictly adhered to the formal requirements of adequate randomisation at all times (see online supplementary file for the full trial protocol).

Of the 1193 participants, 336 (32%) were referred from NAV, 238 (23%) from their GP, 351 (22%) were self-referred, 124 (12%) got referred from other service providers, and 144 participants did not inform on the pathway to the trial.

Potential participants were informed about the project and screened for inclusion and exclusion criteria at the centres by a clinical psychologist. Eligible and willing participants signed the informed consent and completed the baseline questionnaire. After random allocation, participants were informed about the outcome, and the intervention group was given a date for its first session.

### Ethical considerations

The research was carried out in compliance with all principles in the Helsinki declaration. Personal confidentiality was guaranteed, and informed consent was signed by each participant with emphasis on the right to withdraw from the study at any time without any explanation.

### Interventions

The AWaC programme provides a systematic approach where individual CBT and job support are integrated. Minitams of therapists and employment specialists were formed at each centre to ensure integration between CBT and the explicit work focus. CBT was characterised by 'cognitive work-coping', and focused on managing mental health problems as they relate to work situations. Up to 15 sessions of CBT were offered. The individual job support was based on the 'Individual Placement and Support (IPS)' approach, developed for people with severe mental illness,<sup>18</sup> and was offered to those in need of individual job support (primarily participants on long-term disability) to facilitate workplace adaptations or identification of appropriate employment. IPS represents a relatively new approach to vocational rehabilitation and incorporates the following eight principles: eligibility based on consumer choice, focus on competitive employment, integration of mental health and employment services, attention to client preferences, work incentives planning, rapid job search, systematic job development and individualised job support. The IPS framework is less specific on choice of therapeutic approach within the mental health services. The integration of the IPS principles and work-focused CBT in the AWaC thus represents a unique and innovative elaboration of the IPS model for people with common mental disorders (extensive information available in the study protocol, online supplementary file, pp.23–37).

Patients allocated to the control group received standard treatment from their GP, national insurance office (NAV), other health professionals, and received a letter with information and encouragement to use available services and self-help resources. Employment and health care services for the control group were not restricted (beyond ruling out the AWaC), they could well be followed up by other psychologists and/or participate in other employment schemes initiated by NAV. The control condition thus represents an active, as opposed to passive, control condition, and illustrates how the main distinguishing feature of the AWaC is the systematic and integrated approach.

### Outcomes

Using data from the national social insurance register and the national employee register, we could (with no loss to follow-up) determine if a person (1) was in regular work (part time or full

time), (2) was in combined work and a recipient of benefits, or (3) was out of work, with or without social insurance benefits. The primary outcome was 'increased or maintained work participation' at 12 months post baseline, operationalised as maintained work participation, new employment or a full or partial RTW, depending on the individual's baseline work status. Full or partial RTW was operationalised as: working and no reception of health-related or work-related benefits, or reduced benefit coverage and increased work participation compared with baseline status. This information was collected for each month of follow-up, up until 18 months, to examine sensitivity of the primary 12 months results.

The secondary outcome measures were questionnaire-based changes in psychological distress, and symptoms of anxiety and depression by use of the Hospital Anxiety and Depression (HAD) Scale.<sup>19 20</sup> EQ5D was used to measure changes in health-related quality of life.<sup>21</sup> Self-report questionnaires were administered to the patients at baseline and after 6 and 12 months.

### Sample size

The commissioning body predicted that the AWaC centres would recruit 600–1000 participants/year. On the basis of an optimistic 8% effect difference in favour of AWaC versus control (48% vs 40% increased work participation), we calculated that a sample size of 600 in each group would be sufficient to detect statistically significant results.

### Randomisation

The participants were randomised with a computer-generated randomisation list stratified by centre. At each centre, the person responsible for inclusion emailed the research unit, presented the participant's project ID number, name and gender before treatment allocation was disclosed. The allocation code, including details of block size (10), was not revealed to the researchers or the clinicians until recruitment and data collection were complete.

### Statistical methods

For the main effect analysis, we observed crude rates of participants with increased work participation in the two groups. The effects of treatment were further examined by logistic and multinomial logistic regression analyses when adjusting for minor by-chance remaining differences in observed characteristics between the intervention group and the control group. 95% CIs were based on SEs obtained from logistic and multinomial logistic regressions estimated separately for each calendar month during 12–18 months after inclusion and corrected for clustering on site. For the secondary outcomes (mental health), we performed analyses with inverse probability weights<sup>22</sup> to account for possible attrition bias. Analyses adhered to the 'intention-to-treat' principle. The authors in charge of the data analysis were blinded for intervention assignment. Data were analysed using STATA V.12.

To test for potential subgroup effects of the AWaC programme, characteristics prespecified in the protocol (gender and age, work status at baseline, inclusion early vs late in the project period, and also duration and intensity of mental health symptoms) were entered in the regression model and assessed for potential influence on the main effect. Baseline work status was considered of particular importance and the effects are also presented in tables stratified on this variable.

### Treatment adherence

Only 5% dropped out of treatment (completing <3 sessions) in the AWaC group. Treatment adherence in the control group was not registered.

### Eligibility criteria for care providers

All therapists were monitored, videotaped and scored according to the Cognitive Therapy Adherence and Competence Scale<sup>23</sup> prior to the trial enrolment. The AWaC CBT protocol was clearly defined from the outset. The employment specialists were required to have relevant qualifications and broad experience with supported employment, and extensive knowledge regarding the IPS principles<sup>24</sup> and the job market in the team's region.

### Details on capacity

Maximum client capacity for the six centres varied. At the most, 85 clients were enrolled at one centre, whereas the peak for the lowest ranking centre was 43 enrolled at the same time.

### Cost-benefit analysis of the impact of treatment

We calculated the economic returns of AWaC compared with usual treatment by a standard cost-benefit formula based on the human capital approach.<sup>25–27</sup> Economic benefits from treatment were calculated by multiplying treatment effects by the discounted net present value of productivity gains when a person works instead of receiving disability pension. Treatment effects were measured as the observed differences in RTW between the AWaC and the control group, averaged over the 12–18 months after enrolment. The estimate of the net present value of productivity gains were based on means of the group-specific observed annual income the year before enrolment, measured in Norwegian Krone (NoK) 2012. Estimates of productivity gains from work were based on annual income the year before inclusion. For a single worker, the productivity gain per year is the sum of annual gross earnings and social costs of labour, the latter represented by the payroll tax paid by the employer. In compliance with official recommendations (Ministry of Finance, 2005), the marginal cost of public funds was set at 0.2, whereas the social discount rate was set at 0.04.

Costs of the AWaC programme included the total cost of treatment and follow-up at the centres, as well as the cost of additional treatment and follow-up by NAV and/or primary healthcare providers such as GPs, psychologists, physiotherapists and chiropractors. The costs of usual care included costs of treatment and follow-up by NAV and/or healthcare providers. Information about additional treatment and follow-up by NAV was collected from registers while information about healthcare services was collected through follow-up questionnaires. Information about costs per month for services and participation in labour market programmes provided by NAV, and about costs per consultation for healthcare services, were obtained from NAV.

Calculations of costs and benefits were based on annual production estimates when assuming that the centres can treat and follow-up 615 persons/year. Costs were measured in 2011 (index year). Benefits were calculated in 2012 and discounted to 2011. We performed an *external* economic evaluation based on the overall effect, as well as an *internal* economic evaluation based on the effect observed for participants who had been out of work for more than 12 months. While the former yields the net economic returns of the experiment, the latter approximates the economic returns of treatment if given only to persons on long-term benefits, who otherwise fulfilled the inclusion criteria used in the current study.

## RESULTS

## Loss to follow-up

Data on the main outcome measure, work participation, were complete for all participants. However, for secondary outcomes based on self-report, 740 (62%) participants at 6 months follow-up and 636 (52%) participants at 12 months follow-up returned their questionnaires (see flow chart, online supplementary figure).

## Participant characteristics

More women (67%) than men (33%) were referred and included in the trial. Mean age was 40.4 years (95% CI 39.9 to 41.0) and on average participants reported having had psychological symptoms for 8.6 years (SD=9.76). At baseline, 31.4% of the participants were working (of these, 48% were combining work and sick leave), 39% were fully on sick leave, 21.7% were on long-term benefits (>12 months sick leave) and 7.9% were unemployed (table 1). In the subgroup analyses, the unemployed people are included in the group on long-term benefits.

## Implementation of intervention

In the AWaC group, all received CBT delivered by a clinical psychologist/counsellor, and 32% also received individual job support. In addition, many also received other interventions from NAV and health services (see online supplementary table S1). Services

provided for controls may have assimilated the intervention, but as the key element of AWaC was the integration of CBT and individual job support, the degree and impact of potential contamination was considered minimal.

Qualitative assessments in the early phase of the trial suggested challenges with implementation of the job support elements, but no fidelity assessments using the IPS fidelity scale<sup>24</sup> were conducted at baseline as the employment intervention was being developed throughout the trial. Fidelity was, however, assessed postrecruitment, with results showing satisfactory quality and adherence to IPS. Still, there was no enrolment time×group allocation interaction ( $p=0.207$ ).

In the control group, NAV deployed a total of 255 interventions in 157 individuals, the most common being work with assistance, labour market courses, traineeship and educational interventions. The AWaC protocol did not restrict use of other interventions, and the corresponding figures for the AWaC group were 206 interventions for 143 individuals. The control group reported a higher use of health services than the AWaC group (see online supplementary table S1).

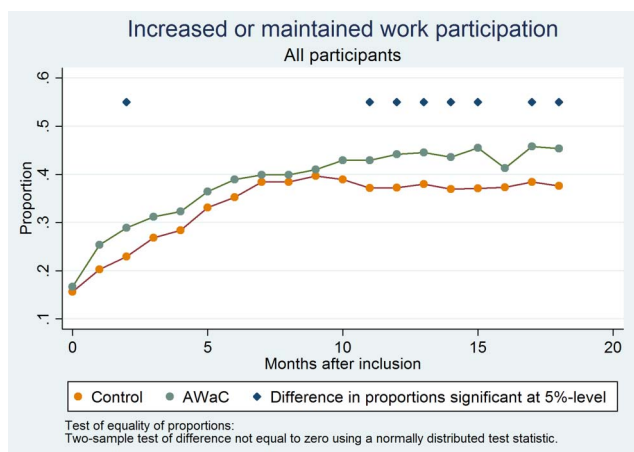
## Number of care providers performing the treatment in each group

The average number of employment specialists during the trial period was 5.7 full-time equivalents (FTEs) distributed across

**Table 1** Baseline characteristics of participants

	AWaC		Control	
	n	Per cent	n	Per cent
Female	437	69.37	365	65.01
Married	189	30.00	184	32.68
Age (years)				
<30	105	16.66	87	15.45
30–39	220	34.92	169	30.01
40–49	198	31.42	185	32.85
50+	107	16.98	122	21.66
Education				
Primary	49	7.78	36	6.39
Senior high	196	31.11	187	33.21
University/college	353	56.03	304	54.00
Other	30	4.76	35	6.22
Missing data	2	0.32	1	0.18
Self-assessed health				
Good	236	37.46	208	36.94
Medium	301	47.78	248	44.05
Poor	86	13.65	104	18.47
Missing data	7	1.11	3	0.53
Employment status				
Work				
Work, no benefits	104	16.51	88	15.63
Combined work and sick leave benefits	95	15.10	88	15.63
Fully on sick leave	254	40.16	210	37.30
Long-term health benefits	131	20.79	128	22.74
Unemployed	46	7.30	49	8.70
	<b>Mean</b>	<b>95% CI</b>	<b>Mean</b>	<b>95% CI</b>
Anxiety (HADS)	10.51	10.21 to 10.82	10.86	10.52 to 11.20
Depression (HADS)	7.76	7.45 to 8.07	8.44	8.10 to 8.78
HADS total score	18.27	17.74 to 18.80	19.29	18.71 to 19.88

AWaC, At Work and Coping; HADS, Hospital Anxiety and Depression Scale.



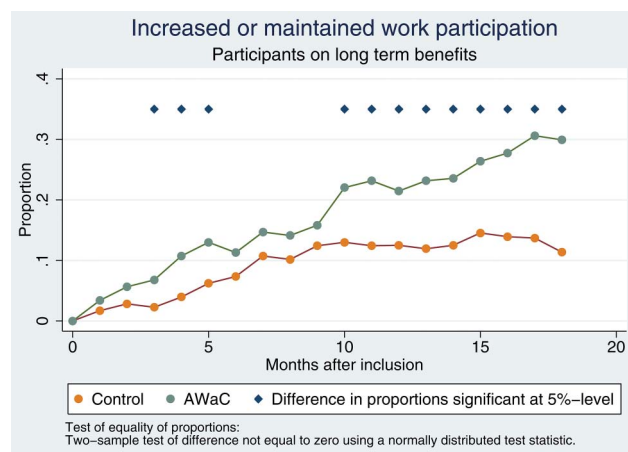
**Figure 1** Full sample. Observed difference in proportions with increased or maintained work participation, intervention versus controls (AWaC, At Work and Coping).

nine people. The average number of therapists providing the work-focused CBT was 20 (20 FTEs), with each therapist treating 30 participants on average during the trial period.

### Primary outcome measure

At 12 months, the proportion with increased or maintained work participation was higher in the AWA-C group than in the control group (44.2% vs 37.2%, difference 6.9%,  $p=0.015$ ), and this difference remained at 18 months (difference 7.8%,  $p=0.018$ ; [figure 1](#)). The effect difference remained statistically significant in adjusted models at 12 months ([table 2](#)).

The difference between the AWA-C group and the control group was largest for those on long-term benefits at baseline, with 24% increased or maintained work participation in the AWA-C group compared with 12% in the control group at 12 months ([figure 2](#)). The difference increased to 30% versus 11% at 18 months follow-up. There was no statistically



**Figure 2** Participants on long-term benefits. Observed differences in proportions with increased or maintained work participation, intervention versus controls (AWaC, At Work and Coping).

significant effect difference between AWA-C and controls in the other subgroups (on sick leave and at risk of going on sick leave). There were no significant effect differences in subgroup analyses based on gender, age, time of inclusion or health status at baseline.

### Secondary outcome measures

The AWA-C group reported significant improvements in mental health and a higher mean health-related quality of life at the 12-month follow-up ([table 3](#)), also after control for baseline scores. Adjusting for attrition using inverse probability weights based on demographics (age, gender, education) and the outcomes of interest (psychological distress, anxiety and depression symptoms) did not alter the results.

We observed no increased effect for the subgroup on long-term benefits regarding symptoms of mental health or health-related quality of life.

**Table 2** Effects of treatment in AWA-C versus treatment as usual on probability of increased or maintained work participation, calculated from logistic and multinomial regression estimates. Marginal effects, evaluated at sample mean of regressors

Month	Increased/maintained work		Fully in work		Partly in work	
	Marginal effect	95% CI	Marginal effect	95% CI	Marginal effect	95% CI
All participants						
12	0.062	0.005 to 0.118	0.034	-0.026 to 0.095	0.025	-0.014 to 0.064
13	0.056	0.000 to 0.113	0.035	-0.023 to 0.093	0.019	-0.027 to 0.065
14	0.055	-0.011 to 0.121	0.026	-0.021 to 0.073	0.027	-0.017 to 0.070
15	0.076	0.000 to 0.152	0.057	0.011 to 0.103	0.017	-0.034 to 0.069
16	0.028	-0.049 to 0.104	0.025	-0.031 to 0.081	0.002	-0.041 to 0.044
17	0.065	0.005 to 0.126	0.036	-0.020 to 0.092	0.026	-0.005 to 0.057
18	0.070	-0.024 to 0.165	0.038	-0.041 to 0.118	0.029	-0.007 to 0.065
Participants on long-term benefits						
12	0.074	0.011 to 0.137	0.002	-0.042 to 0.047	0.058	0.002 to 0.115
13	0.096	0.025 to 0.168	0.025	-0.025 to 0.075	0.058	-0.003 to 0.119
14	0.087	-0.004 to 0.178	0.029	-0.044 to 0.103	0.048	-0.016 to 0.112
15	0.111	0.019 to 0.204	0.044	-0.041 to 0.129	0.056	-0.013 to 0.124
16	0.120	0.009 to 0.230	0.058	-0.043 to 0.159	0.054	-0.009 to 0.116
17	0.159	0.060 to 0.258	0.081	0.012 to 0.151	0.065	-0.010 to 0.141
18	0.178	0.104 to 0.253	0.091	0.033 to 0.149	0.066	0.004 to 0.127

Separate regression analyses for each calendar month after inclusion. Controls for gender, age, marital status, income prior to inclusion, self-assessed health, expectation of return to work, work status at inclusion and treatment site. 95% CIs based on robust SEs allowing for correlated residuals within treatment sites.

**Table 3** Mean (SE) scores of secondary outcomes after 12 months follow-up\*

Outcome	Group	N	Mean	SE	95% CI	t Test	pValue
HAD total	AWaC	376	13.00	0.43	12.14 to 13.84	t=3.14, df=625	0.002
	Control	251	15.12	0.53	14.08 to 16.16		
Depression (HAD-D)	AWaC	376	5.11	0.23	4.67 to 5.56	t=3.23, df=625	0.001
	Control	251	6.27	0.28	5.72 to 6.81		
Anxiety (HAD-A)	AWaC	376	7.88	0.24	7.40 to 8.36	t=2.52, df=625	0.012
	Control	251	8.86	0.30	8.26 to 9.46		
Health-related quality of life (EQ5D)	AWaC	376	65.64	1.15	63.38 to 67.90	t=-2.24, df=616	0.026
	Control	251	61.57	1.41	58.78 to 64.36		

\*Inverse probability weights were performed to account for non-response. The weights included demographics (age, gender and education) and the outcomes of interest (psychological distress, anxiety and depression symptoms).

AWaC, At Work and Coping; HAD, Hospital Anxiety and Depression.

The probability of receiving IPS was estimated by logistic regression including employment status at baseline and other individual characteristics and site as regressors. Persons on long-term benefits had a higher probability of receiving IPS than the other subgroups. The probability of receiving IPS was also higher if scoring  $\geq 8$  on HADS anxiety at inclusion. The centres varied in terms of job support service delivery.

### Cost-benefit analyses

Total costs at the six centres included operating expenses, wage payments and payment for job support services. In 2011, this amounted in total to NoK 17 246 million (about £1 883 040). Divided by the expected number of treated patients per year under normal operating conditions (615), this equates to NoK 28 043 (about £3062) per patient for AWaC. In addition, costs for society through other services provided by NAV and/or healthcare providers amounted to NoK 9469 (about £1034) for each person receiving AWaC (see online supplementary table S2). Persons referred to treatment as usual received more services from NAV and had more consultations with psychologists and other healthcare providers than participants in the treatment group. In total, costs of treatment per person receiving treatment as usual amounted to NoK 16 800 (about £1834). Taking the difference and adjusting for marginal costs of public funds ( $1 + 0.2 \times (37\,512 - 16\,800)$ ), additional costs for the society from participation in AWaC amounted to NoK 24 854 per person (about £2713).

Benefits emerged from observed between-group differences in full RTW (ie, not receiving any sickness benefits) (0.0456) and partly (0.025) RTW. The net social value of production per person per year, based on average annual earnings of participants in the sample (NoK 340 400) and adding social costs, was  $(399\,664 - 76\,496 + 0.2 \times 350\,240)$  NoK 39 3216 when there was full work participation, and multiplied by 0.5 in the case of partly return to work. Based on this, the average per person increase in the net present value of production from participation in AWaC amounted to  $((0.044 \times 393\,216) / 1.04 + 0 \times 5 (0.024 \times 393\,216) / 1.04)$  NoK 21 173 (about £2311). Under the assumption that treatment effects would last for 1 year, economic benefits did not outweigh additional costs of participation in the AWaC programme. On the basis of overall treatment effects of the assumed 615 persons in treatment/year, the estimated net present value of productivity gains was negative NoK 2 263 815 (about £247 141).

Had AWaC been given only to persons on long-term benefits, costs for additional services by NAV would have been considerably higher in both treatment groups. The stronger treatment effects, both in terms of full-time work (0.077) and part time

work (0.072), would, however, generate productivity gains to more than outweigh the additional costs of treatment. In total, the net present value of the productivity gains with treatment effects lasting for 1 year amounted to NoK 4 731 810 (about £516 570).

### DISCUSSION

The main aim of this project was to evaluate if the AWaC programme, a systematic approach integrating elements from CBT and IPS, led to increased or maintained work participation for people with common mental disorders. The results indicated a significant effect in favour of AWaC at 12-month follow-up. In the group that received AWaC, 44% were fully or partially at work at 12 months, compared with 37% in the control group. The main effect remained at 18 months. Further, the effect was stronger among those on long-term benefits, with 24% increased or maintained work participation in the AWaC group compared with 12% in the control group at 12 months, a difference that increased to 30% versus 11% at 18 months. The AWaC group also had a more favourable development in terms of mental health status and health-related quality of life after 12 months. We observed no corresponding larger effect difference for the group on long-term benefits in terms of symptoms of mental health or health-related quality of life.

The stronger effect difference for the subgroup on long-term benefits might have to do with both the intervention better matching the actual needs for this group, but can also reflect less optimal services in the control condition for this group. Whether the stronger results were caused by specific elements in the intervention cannot be answered by the trial, but we did find a higher probability of receiving job support in the subgroup on long-term benefits. This indicates that work is correlated to job support, but it is impossible to distinguish between a potential causal effect and correlation coming from job support being given based on individual characteristics that in and of itself could be correlated to work.

The cost-benefit analyses did not demonstrate positive economic returns of the AWaC programme. However, for the subgroup on long-term benefits, the stronger effect sizes translated to a large positive economic net return. These cost-benefit estimates were all based on the assumption of a treatment effect lasting for 1 year. To the extent a treatment effect outlasts this 1 year assumption, the programme will yield a higher economic return. Furthermore, we acknowledge that increased employment not only brings production gains, but also can promote health and quality of life for the individual. While the latter effects are not included in the strict economic analysis, these important gains would certainly add to the monetary figures.

Earlier this year, the Organization for Economic Cooperation and Development (OECD) stated that Norway has the highest level of sickness absence and costs related to lost labour among all the member countries.<sup>1</sup> Common mental disorders contribute most to the recent rise in expenditures. The report further concludes that the Norwegian system can contribute to exclusion of people with mental disorders through 'welfare-traps' of disincentives. This context is important to bear in mind when interpreting the results. The Norwegian welfare system, with 100% compensation of income from day one and a high level of available services at low cost for the individual, stands out from other countries. As such, the system can influence the achievable effect sizes for interventions in this area. Few previous intervention projects aiming to increase RTW have been able to demonstrate significant results,<sup>28–30</sup> which makes the present effect stand out as profound and particularly clinically important in the Norwegian context.

### Strengths and limitations

The main strength of the study is the multicentre RCT design and the large sample size, providing robust data on the effect of the intervention as deployed in an ecologically valid setting. We included participants who were struggling, but still working, and people who were out of work and further away from employment, as both extremes would be potential targets for the model if further implemented. The research group was not involved in running the sites, or in patient treatment, which ensured an independent evaluation. A key strength was that loss to follow-up for the main outcomes was eliminated through the use of registry data.

National registry data provided information about all social security benefits received during the project period, work participation and vocational rehabilitation services. There was, however, no registry information available for health outcomes such as use of health services or health status. Data on services outside those directly related to work participation therefore relied on self-report, with corresponding potential for measurement error and non-response bias. Staff adherence towards the IPS components was not evaluated during the project, as development and improvement towards the gold standard of IPS for this new target group was ongoing throughout the project period. Adherence to the eight IPS principles was, however, continuously pursued, and any diversions from the IPS principles are explained in the attached study protocol (see online supplementary file).

We chose not to measure staff adherence to the CBT treatment protocol, as the aim was to test the intervention in a natural setting, rather than to adhere to a stricter efficacy trial. Streamlining of the intervention was promoted through monthly seminars for the teams at the centres, with courses delivered from approved supervisors within the Norwegian Association for Cognitive Therapy.

Previous research shows that CBT reduces symptoms and improves function for patients with common mental disorders.<sup>31–34</sup> There is some previous evidence that CBT focusing on work has an effect on reduced sickness absence,<sup>35</sup> while studies with a less pronounced focus on work resumption are lacking RTW effects. A few previous studies of CBT interventions have indeed failed to demonstrate an increased RTW effect in common mental disorders.<sup>36–39</sup> A key difference between those studies and this study is, however, the explicit focus on work, and the integration of work-focused CBT and individual job support. No previous studies have ever combined and integrated these two elements for people with common mental disorders. The current trial is the first large-scale randomised

controlled study to demonstrate an effect of a behavioural intervention on work participation for the large group of workers with common mental disorders.

### Implications for research and practice

A significant implication for both clinicians and those who struggle with work participation due to common mental disorders is the demonstration of an adequate, efficient and available intervention. Such interventions have previously been lacking or at least not delivered systematically.<sup>40</sup> Through the AWaC programme, participants not only receive support resulting in increased work participation, but also experience improvements in mental health and health-related quality of life. In line with recent OECD recommendations,<sup>1</sup> the AWaC programme integrates mental healthcare and rehabilitation services, and incorporates concerns for both work and health.

The AWaC trial was the first RCT commissioned by the Norwegian health and welfare authorities. This initiative is unique in a Norwegian (and probably also international) context. The experience of successful collaboration between academic researchers and policymakers, where a novel policy scheme and intervention underwent rigorous scientific evaluations before large-scale implementation, has important policy implications and should serve as an inspiration for further use of trials in this sector. Beyond strengthening the evidence base, such collaborations can help bridge the gap between knowledge and practical application.<sup>41</sup>

Our main recommendation for future research is thus to run more trials of this kind to rapidly improve our evidence base on this vital topic. We recommend using registry-based outcomes where available, with long-term follow-up whenever possible, and also further trials and replications where combinations of CBT and job support are offered. Our results, and the rapidly growing IPS literature for severe mental disorders, suggest that this might be a viable route to reduced workforce dropout from mental disorders.

Common mental disorders are not just an individual clinical problem; they are also a major challenge for stakeholders in both the work and health sectors and the society at large. A combined model of work-focused CBT and individual job support was more effective than usual care in increasing or maintaining work participation for people with common mental disorders. The effects were profound for people on long-term benefits, and translated to a major net value of productivity gains for this group.

**Correction notice** This paper has been amended since it was published Online First. Camilla Løvrik's affiliations have been corrected from 1,2 to 1,4.

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**Contributors** SER and CL were responsible for the general coordination of the study. ALG, SAL and SØ were responsible for the data analyses. All the authors designed the study, helped write the manuscript, and read and approved the final version of the manuscript. SER and ALG had full access to all the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis.

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**Patient consent** Obtained.

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