Nested case-control study of lung cancer in four Chinese tin mines

W Chen, J Chen

Objectives: To evaluate the relation between occupational dust exposure and lung cancer in tin mines. This is an update of a previous study of miners with high exposure to dust at four tin mines in southern China.

Methods: A nested case-control study of 130 male lung cancer cases and 627 controls was initiated from a cohort study of 7855 subjects employed at least 1 year between 1972 and 1974 in four tin mines in China. Three of the tin mines were in Dachang and one was in Limu. Cumulative total exposure to dust and cumulative exposure to arsenic were calculated for each person based on industrial hygiene records. Measurements of arsenic, polycyclic aromatic hydrocarbons (PAHs), and radon in the work sites were also evaluated. Odds ratios (ORs), standard statistic analysis and logistic regression were used for analyses.

Results: Increased risk of lung cancer was related to cumulative exposure to dust, duration of exposure, cumulative exposure to arsenic, and tobacco smoking. The risk ratios for low, medium, and high cumulative exposure to dust were 2.1 (95% confidence interval (95% CI) 1.1 to 3.8), 1.7 (95% CI 0.9 to 3.1), and 2.8 (95% CI 1.6 to 5.0) respectively after adjustment for smoking. The risk for lung cancer among workers with short, medium, and long exposure to dust were 1.9 (95% CI 1.0 to 3.5), 2.3 (95% CI 1.3 to 4.1), and 2.3 (95% CI 1.2 to 4.2) respectively after adjusting for smoking. Several sets of risk factors for lung cancer were compared, and the best predictive model included tobacco smoking (OR=1.6, 95% CI 1.1 to 2.4) and cumulative exposure to arsenic (ORs for different groups from low to high exposure were 2.1 (95% CI 1.1 to 3.9); 2.1 (95% CI 1.1 to 3.9); 1.8 (95% CI 1.0 to 3.6); and 3.6 (95% CI 1.8 5 to 7.3)). No excess of lung cancer was found among silicotic subjects in the Limu tin mine although there was a high prevalence of silicosis. Exposures to radon were low in the four tin mines and no carcinogenic PAHs were detected.

Conclusions: These findings provide little support for the hypothesis that respirable crystalline silica induces lung cancer. Ore dust in work sites acts as a carrier, the exposure to arsenic and tobacco smoking play a more important part in carcinogenesis of lung cancer in tin miners. Silicosis seems not to be related to the increased risk of lung cancer.

The association between crystalline silica and lung cancer has been the subject of extensive discussion in recent years. In 1997, an International Agency for Research on Cancer (IARC) working group reviewed this subject and concluded that there was sufficient evidence in humans for the carcinogenicity of inhaled crystalline silica in the form of quartz or cristobalite from occupational sources. However, in their overall evaluation, the working group also noted that carcinogenicity in humans was not detected in all industrial circumstances. For the studies among ore miners who were potentially exposed to silica dust, consistent evidence for a relation between silica and lung cancer was not found. Also, confounders—such as other known occupational respiratory carcinogens—were not taken into account in most of these studies.

As ore mines, tin mines were the subject in several studies. Hodgson and Jones followed up a cohort of 3010 miners who worked between 1941 and 1984 in two tin ore mines in the United Kingdom. They found that mortality from lung cancer was significantly increased (105 observed, standardised mortality ratio (SMR) 1.58, 95% CI 1.29 to 1.91) and that there was a strong dose-response relation with duration of exposure underground. Smoking and radon daughters (about 10 working level months) were considered to be the main risk factors for lung cancer in their study. High mortality of lung cancer was also reported among southern Chinese tin miners. Fu et al conducted a case-control study in one Chinese tin mine in the Dachang area and showed a significant correlation between lung cancer and years of underground exposure to dust. The smoking adjusted odds ratio (OR) for lung cancer was 1.05 (95% CI 1.03 to 1.07), but they did not consider concomitant exposure to arsenic in that mine.

In 1992, one cohort study (1972–89) conducted by Chen et al including 7855 miners in four tin mines in southern China confirmed that the mortality from lung cancer was 189.25×10^5, higher than the national mortality from lung cancer among city residents in China (89 observed, SMR 1.98, 95% CI 1.59 to 2.43). The mortality from lung cancer in miners with high exposure to dust was 2.2 (95% CI 1.3 to 3.6) compared with miners with low or no exposure. From this cohort, Mclaughlin et al developed one nested case-control study which included tin mines, tungsten mines, copper/iron mines, and pottery factories. Their results supported an OR from lung cancer significantly related to exposure to dust and showed that exposure to arsenic confounded the dose-response relation between exposure to crystalline silica and risk of lung cancer in tin miners. In their study, the mean age of the tin miners was only 50.4 at the end of 1989. Since then new subjects with lung cancer have been diagnosed. The present study followed up the previous cohort in four tin mines to the end of 1994 and initiated a nested case-control...
study of lung cancer for analysis. Besides detailed cumulative total dust, exposure concentrations for individual miners were calculated, and historical estimates of exposure to arsenic were supplied in this study. All four tin mines are underground, with known occupational carcinogens including crystalline silica, arsenic, and radon. The objectives of this study are to verify previous findings and to clarify the role of crystalline silica and arsenic in the high mortality of lung cancer in these tin mines.

**MATERIALS AND METHODS**

**Research subjects**

This study compared four tin mines in Guangxi province in southern China, three tin mines in Dachang and one in Limu. The Dachang tin mines here are not the same as the Dachang tin mine in the study be Fu et al. All 7855 employees who had worked for at least 1 year between 1 January 1972 and 31 December 1974 in any of these four tin mines were selected to the cohort. The cohort was followed up to the end of 1994. All subjects who died of primary lung cancer were selected as cases. Two women cases were excluded from the analysis to avoid the influence of sex. These cases were matched to about five controls, based on age (decade of birth), sex, and mine. Controls who died at an age younger than the age at diagnosis of corresponding cases were excluded from analysis. Exposure and medical data were obtained from personal and medical examination records in each mine. A questionnaire was also administered to the study subject or a member of his family to obtain information on demographic factors, including medical history, and tobacco smoking. Amount of tobacco smoking was expressed as packs of cigarettes/day, one-year in dust is defined as 8 hours/day and 270 days/year. Respirable fraction of total dust was estimated to be 25%–44% and respirable crystalline silica concentration was estimated to be 3.6%–20.8% of the total dust concentration. The conversion factors among different job titles in tin mines were not significantly different.

Airborne arsenic concentration before 1988 was estimated as the product of arsenic content of dust multiplied by the total dust concentration in the work sites. Direct airborne arsenic concentration was measured after 1988. Cumulative exposure to arsenic for individual miners was estimated by combined arsenic concentration and work history, such as cumulative exposure to total dust.

Confounding exposures including arsenic, polycyclic aromatic hydrocarbons (PAHs), radon, cadmium, etc, were only measured on work sites after 1988.

**Statistical analysis**

The Mantel-Haenszel OR was used to measure the association between lung cancer and various hazards or risk factors in this analysis. A 95% confidence interval (95% CI) for the OR was calculated by Miettinen's test based method. The stratified analysis method was used to adjust for the effect of smoking. Statistical analyses were performed with the statistical analysis software (SAS). The \( \chi^2 \) values were calculated by SAS program PROC FREQ. The SAS program PROC CATMOD procedure was used to perform unconditional logistic regression models and to estimate the OR as a surrogate for the relative risk.

**RESULTS**

A total of 7855 miners were identified in our cohort. There were 5322 miners (male 4443 and female 879) from Dachang.
and 2533 (male 2101 and female 432) from Limu. The mean age was 34.9 years when miners entered the cohort in 1974 and 54.1 years for survivors at the end of 1994 in the cohort (table 1). Among the cohort, 3082 miners were still working, 1067 had left tin mines, 2672 had retired, and 1034 miners had died. There were 91 miners (1.2% of whole cohort) considered lost to follow up after they left the tin mines. The mortality of the cohort was $603.8 \times 10^{-5}$ and cancer was the leading cause of death (38.2%). Among various cancers, lung cancer (33.4%) was the top cause for deaths. The SMR of lung cancer was 2.39 times greater than the Chinese national mortality.

One hundred and thirty men with lung cancer and 640 controls were identified. Thirteen controls were excluded because of a lack of complete work history. So in the final analysis, the number of controls were 627. One hundred and one cases of lung cancer and 489 controls who came from Dachang, and 29 cases of lung cancer and 138 control miners came from Limu. A detailed comparison of general characteristics and dust exposure between cases and controls is provided in table 2. Among 112 cases of lung cancer who had worked with dust exposure, 26 cases started exposure before 1950, 74 cases started exposed work in 1950–60, and only 11 cases started exposure after 1960. High percentages of tobacco smokers were found among cases (88.5%) and controls (82.5%) in the tin mines. Smoking more than 20 cigarettes a day was associated with a 1.6 (95% CI 1.1 to 2.4) increased risk of lung cancer.

The mean concentration of total dust in four tin mines was about 25 mg/m$^3$ before the 1950s. The dust concentration has been progressively decreasing since 1960 because work practices with increased protection have been installed and used. The mean concentration of total dust gradually decreased to 4–8 mg/m$^3$ in the 1960s, 3–6 mg/m$^3$ in the 1970s and 1–4 mg/m$^3$ after 1980. The dust concentration in the Limu tin mine was slightly higher than in the Dachang mines, but not significantly so. Crystalline silica dust concentration ranged between 20% and 40% in the four mines, the mean % of crystalline silica was 34.8% in Dachang and 34.6% in Limu. Other metals in the dust included inorganic arsenic, aluminum, lead, and cadmium. The mean arsenic content of dust measured in 1988 was 6.03% in the Dachang tin mines and 0.46% in Limu. The respirable arsenic concentrations at different concentrations of dust are summarised in table 3.

High respirable arsenic concentrations were found in Dachang, but not in the Limu tin mine. The exposure concentration of radon was low in all mines, the mean exposure was 0.02 working level months/year in Dachang and 0.01 working level months/year in the Limu tin mine.
both of them are below the occupational exposure limit in China. Asbestos was not detected.

The risks of lung cancer associated with cumulative exposure to total dust and duration of exposure after adjusting for tobacco smoking are given in table 4. The mean values from low exposure to high exposure were 25.0 mg/m$^3$-y, 82.5 mg/m$^3$-y, and 186.5 mg/m$^3$-y. The mean values from short to long duration of exposure were 5.8 years, 14.3 years, and 26.4 years. Compared with no exposure to dust, risk of lung cancer showed a significant increased trend with rising cumulative exposure to dust and extending duration of exposure. The risk of lung cancer among miners with exposure to dust was 2.2 (95% CI 1.3 to 3.7) times higher than in those without exposure to dust. However, exposure to dust did not increase the risk of lung cancer in the Limu tin mine (OR 2.2; 95% CI 0.5 to 9.6) when the Limu and Dachang mines were analyzed separately, although similar increased trends for the risk of lung cancer in both types of mines were found in three groups of exposure to dust.

Table 5 shows the risk ratios (95% CIs) for lung cancer adjusted for smoking by cross categories of cumulative exposure to dust and cumulative exposure to arsenic. Significantly increased trends were found in the risk of lung cancer with increasing exposures to arsenic. The relative risk for subjects with low exposure to arsenic and high exposure to dust was 2.2, close to the risk for subjects with low exposure to dust and medium exposure to arsenic (OR 2.0). High correlation ($r=0.82, p=0.0001$) was found between exposure to dust and exposure to arsenic, which prevented any adjustment for arsenic in the estimate of risk related to crystalline silica.

The percentage of silicosis in Dachang tin mine was 31% (185/590) and in Limu it was 35% (58/167). Table 6 shows the...
risk for lung cancer by the categories of silicosis after control-
ing smoking. Few subjects were found in silicosis category 3
because most miners died for other complications before they
developed to this stage. Significant excess of lung cancer
among silicotic workers was found only in Dachang tin min-
ers (OR 2.4, 95% CI 1.6 to 3.8), and not in Limu tin miners (OR
0.8, 95% CI 0.3 to 1.9).

Table 7 shows the relative risk (95% CI) for lung cancer esti-
mated from multivariate logistic regression models with
different sets of risk factors. Tobacco smoking (>20 cigarette/
day v <20 cigarette/day) combined with cumulative exposure
to dust, duration of exposure to dust, and cumulative exposure
to arsenic were associated with the risk of lung cancer (mod-
els 1, 3, and 5). The likelihood ratio was used to test the good-
ness of fit for these models. When silicosis was included in the
model, the goodness of fit of model 2 was higher than model 1
and model 7, but silicosis did not significantly contribute to
the risk of lung cancer (p=0.08) in model 2. The goodness of
fit of model 4 and model 7 was lower than that of model 3, and
the goodness of fit of model 6 and model 7 was lower than that
of model 5 after silicosis was included. The duration of expo-
sure did not show a significant trend in model 4 and silicosis
did not significantly contribute to the risk of lung cancer in
model 6 (p=0.08). Thus, the most significantly fitting model is
model 5, then model 3, and models 1 and 7.

DISCUSSION

Historically, the dust concentrations in the four tin mines in
our study have been high and the percentage of crystalline
silica in bulk dust was about 20%–40%. The findings of this
study confirm the results of previous study—strong increasing
trends in risk of lung cancer with exposure to dust. This study
also showed an increasing correlation risk of lung
cancer and duration of exposure to dust and cumulative expo-
sure to arsenic. Tobacco smoking was another main factor
related to the risk for lung cancer.

The carcinogenic risk of crystalline silica on humans in ore
mines was assessed in several studies, but consistent evidence
for a relation between crystalline silica and lung cancer was
not found. The cohort studies conducted by McDonald et al.,
Steenland and Brown, Lawler et al., and Kinlena and Willows
provided limited support for the hypothesis that lung
cancer is induced by crystalline silica. But contrary con-
clusions were drawn from the studies of Hnizdo and Sluis-
Cremers, Reif and Sluis-Cremer, Kusiak et al., Pham et al.,
and Amandus and Costello. They found increased standard-
ised mortality ratios for lung cancer among miners exposed to
crystalline silica dust. The results of case-control studies also
provided different conclusion. Hnizdo et al supported the idea
that the risk of lung cancer was associated with cumulative
exposure to dust. Mastangelo et al suggested an increased
risk of lung cancer among silicotic workers. However, the
studies by Samet et al and Heesel et al did not find significant
dose-response effects for exposure to silica and lung cancer.

In our study, it is interesting that the relation between risk
of lung cancer and cumulative exposure to dust or duration of
exposure to dust was found only in the Dachang tin mines, not
in Limu, despite the fact that dust concentrations and
percentages of crystalline silica in dust were similar in all the
tin mines. The fewer cases of lung cancer in the Limu tin mine
can be at least partly account for this difference because the
trend of increasing ORs in three exposed groups of the Limu
tin mine is not much different from that in the Dachang tin
mines. However, the evidence of no excess of lung cancer
among silicotic workers in the Limu tin mine cannot be
explained only by smaller numbers. The number and
prevalence of silicosis in the Limu tin mine (516 silicotic
workers, 20.4%) are higher than that in Dachang, because a clear exposure-
response relation for silicosis and cumulative exposure to dust
was reported in our previous study in the same tin mines. Thus, the results from the Limu tin mine strongly suggest that
silicosis is not a risk factor for lung cancer. This suggestion is
also confirmed from the logistic model. When silicosis was
included, it did not significantly contribute to the risk for lung
cancer. As silicosis means high exposure to dust, the excess of
lung cancer among silicotic workers should be attributed to
the high cumulative exposure to dust.

The effects from other lung carcinogens, arsenic, PAHs,
radon, and tobacco smoking were also evaluated in our study.
Firstly, the carcinogenic PAHs were not detected in four tin
mines, and radon exposures in all work sites were very low.
Secondly, tobacco smoking is related to risk of lung cancer. It
should be pointed that the percentages of smokers in both
cases and controls were high (88.5% in lung cancer cases and
82.5% in controls). The relation between exposure to dust or
arsenic and risk of lung cancer was not changed after adjust-
ning for smoking. Thirdly, arsenic as a positive carcinogenic
agent was found to be associated with the risk for lung cancer.
The positive dose-response trend was shown between
cumulative exposure to arsenic and risk for lung cancer. Also,
antipathetic concentrations were high in Dachang and low in Limu.
This would be another reason for lack of lung cancer among
silicotic miners exposed to dust in the Limu tin mine. The

---

**Table 7** Relative risk (95% CI) for lung cancer from the logistic regression model

<table>
<thead>
<tr>
<th></th>
<th>Model 1</th>
<th>Model 2</th>
<th>Model 3</th>
<th>Model 4</th>
<th>Model 5</th>
<th>Model 6</th>
<th>Model 7</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cumulative exposure to total dust (mg/m³·y):</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.1–49.9</td>
<td>2.0 (1.1 to 3.7)</td>
<td>1.7 (0.9 to 3.1)</td>
<td>1.4 (0.7 to 2.8)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>50–99.9</td>
<td>2.1 (1.1 to 3.8)</td>
<td>2.0 (1.1 to 3.7)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>≥120</td>
<td>2.8 (1.6 to 5.0)</td>
<td>2.1 (1.1 to 4.2)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Duration of exposure:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.1–9.9</td>
<td>2.0 (1.1 to 3.7)</td>
<td>1.7 (0.9 to 3.2)</td>
<td>1.4 (0.7 to 3.0)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10–19.9</td>
<td>2.3 (1.3 to 4.0)</td>
<td>1.9 (1.0 to 3.6)</td>
<td>1.4 (0.7 to 3.0)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>≥20</td>
<td>2.3 (1.2 to 4.5)</td>
<td>1.9 (1.0 to 3.7)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cumulative exposure to arsenic (µg/m³·y):</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.1–9.9</td>
<td>2.1 (1.1 to 3.9)</td>
<td>1.8 (0.9 to 3.5)</td>
<td>1.4 (0.7 to 3.0)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>100–499.9</td>
<td>2.1 (1.1 to 3.9)</td>
<td>1.9 (1.0 to 3.5)</td>
<td>1.4 (0.7 to 3.0)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>500–999.9</td>
<td>1.8 (1.0 to 3.6)</td>
<td>1.4 (0.7 to 3.0)</td>
<td>1.3 (0.6 to 2.6)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>≥1000</td>
<td>3.6 (1.8 to 7.3)</td>
<td>2.6 (1.2 to 5.7)</td>
<td>2.0 (1.0 to 4.4)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tobacco smoking*</td>
<td>1.6 (1.1 to 2.4)</td>
<td>1.5 (1.0 to 2.3)</td>
<td>1.7 (1.1 to 2.9)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Silicosis</td>
<td>1.5 (1.0 to 2.4)</td>
<td>1.4 (0.9 to 2.3)</td>
<td>1.3 (0.8 to 2.2)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>p Value†</td>
<td>p=0.40</td>
<td>p=0.75</td>
<td>p=0.81</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* Tobacco smoking was divided into ≥20 cigarettes/day and <20 cigarettes/day; † p value for goodness of fit test, p=1 means completely fit, p<0.1 means can not fit.
study conducted by Taylor et al. in tin mines in Yunnan province in China (high mortality from lung cancer was also found in this area) provided a conclusion consistent with ours. The concentrations of arsenic exposure in their study were close to (a little higher than) those in our study, and their results suggested that the incidence of lung cancer is related to high arsenic concentration. They also suggested that duration of exposure to arsenic may be more important than intensity in the aetiology of lung cancer. Several other studies found high exposure to arsenic induced high incidence of lung cancer in smelter workers.7–10 But in these studies, airborne arsenic concentrations were higher than 50 µg/m³ and cumulative exposure to arsenic reached 750 µg/m³-year or more, higher than that in this study. Therefore, the carcinogenesis of crystalline silica cannot be excluded in our study, because a significant excess of lung cancer was found even in the lowest category of exposure to arsenic. The mean arsenic concentration was estimated to be about 3.7 µg/m³ and mean cumulative exposure to arsenic was 46.6 µg/m³-year in this category, too low to cause lung cancer because no excess of lung cancer was noted at the 10 µg/m³ concentration in the study by Enterline et al.11 High correlations between exposure to arsenic and exposure to dust or silica prevented us from going on to adjust any of these values during the analyses. Thus, crystalline silica was not the only carcinogenic factor in this study, ore particles work like carriers, arsenic stuck to ore particles seems to be more important for risk of lung cancer. Also, it should be noted that exposure assessment for confounding agents including arsenic, PAHs, and radon began in the 1980s. Total dust concentration greatly decreased from the 1950s to the 1980s, therefore the cumulative exposure to arsenic may have been underestimated or overestimated in the earlier years.

In summary, this study has shown some evidence to support the view that high exposure to dust may induce a high risk of lung cancer, and silicosis is not a direct risk for increased lung cancer. A strong dose-response relation was found between the risk of lung cancer and cumulative exposure to dust, cumulative exposure to arsenic, and duration of exposure to dust. High arsenic concentration in dust and smoking seem to play a more important part than crystalline silica in causing high mortality from lung cancer.

ACKNOWLEDGEMENT
We thank Dr Paul J A Borm (Medical Institute for Environmental Hygiene in Duesseldorf) and Professor Joachim Bruch (Institute for Hygiene and Occupational Medicine in University of Essen) for reviewing the manuscript and providing many valuable comments. We also thank Professor Chen Rongan at Tongji Medical College and industrial hygienists in the four tin mines for participating in this study.

Authors’ affiliations
W Chen, J Chen, Department of Labor Health and Occupational Diseases, Tongji Medical College, 13 Hang Kong Lu, Wuhan, Hubei, People’s Republic of China

REFERENCES