Evaluation research in occupational health services: general principles and a systematic review of empirical studies

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Abstract

Objectives—To study the nature and extent of evaluation research in occupational health services (OHSs)

Methods—Literature review of evaluation research in OHSs. On the basis of a conceptual model of OHS evaluation, empirical studies are categorised into aspects of input, process, output, outcome, and OHS core activities.

Results—Many methods to evaluate OHSs or OHS activities exist, depending on the objective and object of evaluation. The amount of empirical studies on evaluation of OHSs or OHS activities that met the non-restrictive inclusion criteria, was remarkably limited. Most of the 52 studies were more descriptive than evaluative. The methodological quality of most studies was not high. A differentiated picture of the evidence of effectiveness of OHSs arises. Occupational health consultations and occupational rehabilitation are hardly studied despite much time spent on the consultation by occupational physicians in most countries. The lack of effectiveness and efficiency of the pre-employment examination should lead to its abandonment as a means of selection of personnel by OHSs. Periodic health monitoring or surveillance, and education on occupational health hazards can be carried out with reasonable process quality. Identification and evaluation of occupational health hazards by a workplace survey can be done with a high output quality, which, however, does not guarantee a favourable outcome.

Conclusions—Although rigorous study designs are not always applicable or feasible in daily practice, much more effort should be directed at the scientific evaluation of OHSs and OHS instruments. To develop evidence-based occupational health care the quality of evaluation studies should be improved. In particular, process and outcome of consultation and rehabilitation activities of occupational physicians need to be studied more.

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Health services research

As 45% of the world's population belong to the workforce, occupational injuries and work related diseases have an important impact on health. Other diseases, although not primarily caused by work, may influence the working ability. Occupational health services (OHSs) are supposed to play an important part in prevention and control of occupational diseases and injuries and in occupational rehabilitation. In the World Health Organisation (WHO) global strategy for "occupational health for all", governments are asked to prepare actions for providing competent OHSs for all people at work and for effective implementation of OHSs.¹ The terms competent and effective assume knowledge on the required quality of health care provided by these services. What do we know about this? Scientific evaluation of health care is part of *health services research*. Health services research in general seeks to analyse the functions and objectives of health services, including the political, social, and economic forces shaping and conditioning the funding, organisation, management, priorities, efficiency, and effectiveness of the services.² Due to the demand for effectiveness of care, for decision making in health programmes, for the development of standards and guidelines, and for the need for cost containment, health services research has become much more prominent in recent years.³ Epstein refers to "the outcomes movement: the third revolution in medical care".⁴ This development seems not yet to be reflected in the field of occupational health. There was a lack of published empirical studies on the work in OHSs.^{5,6} In past years, similar developments in occupational health care can be identified to those in general health care: budget cuts, market competition, and decrease or withdrawal of governmental grants. Because of the specific setting of occupational health care in social and economic life, there is an increasing demand for justification of the effectiveness and efficiency of OHSs from outside the profession: employers, branches of economic activity, governments, trade unions, scientists, and insurance companies. Behrens *et*

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al refer to “the path breaking function of evaluation research”.⁷ Concerns about quality of care, cost, and unnecessary medical care have also emerged in occupational health care.⁸ All this can be seen as the need for external evaluation.⁹ There is also a need for internal evaluation. New developments in working life and the work environment, and demographic changes in working populations call for new strategies and programmes. Changing legislation and professional and scientific interest in the quality of occupational health care can also form a stimulus for studying aspects of quality in OHSs.¹⁰ In 1982, a WHO working group recommended that the evaluation of OHSs should be a regular activity, fully integrated into the planning and implementation of occupational health and safety programmes.¹¹ However, despite a rich history of aetiological research, the field of occupational health and safety does not have a long history of research on what works and what does not work to prevent and control occupational diseases and injuries.¹² Also in the field of occupational rehabilitation in cases of sickness absence or disability, a considerable lack in scientific knowledge on effective and efficient strategies exists. The need has arisen for studies on the effectiveness of prevention strategies, programmes, and services. Skov and Kristensen distinguish between aetiological intervention studies seeking causes of diseases, and prevention effectiveness studies evaluating the effectiveness of methods for prevention,¹³ which is often inspired or conducted by principles and methods in use in evaluation research.

Although the term evaluation research is commonly used, there is no single or clear cut definition of it. Depending on the context or the scientific field in which the research is conducted, various research activities can be categorised under this heading. From social science publications, clinical or epidemiological research, and quality assurance, different concepts and types of evaluation research can be derived. Notwithstanding this difference in scientific origin and terminology, many analogies and overlap between these concepts exist.

Objective

The purpose of this paper is to review the nature and extent of evaluation research in OHSs. We studied the scientific literature for some general principles and methodological aspects of evaluation research in occupational health care and we reviewed the empirical studies in this field. The main question of this review is almost a rhetorical one: how well are we doing? What is known of input, process, and outcome of occupational health care as it is provided by OHSs?

In this paper, we focus on evaluation of the activities of OHSs. This is excluding a considerable amount of prevention effectiveness research in the field of occupational health. Research on non-OHS related interventions, programmes, and policies to reduce workplace health hazards and public health oriented research on health promotion at the work site—for example, hypertension control, em-

ployee assistance programmes on drugs, alcohol, or fitness—are not represented in this review. In these areas, several comprehensive reviews have been published. Goldenhar and Schulte reviewed the intervention studies in the field of occupational health and safety published between 1988 and 1993, and concluded that in particular the number and methodological rigor of intervention studies has to be increased to identify effective intervention methods.¹⁴ To contribute to the development of practice guidelines for occupational physicians, van der Weide *et al* assessed the level of evidence of the efficacy of non-surgical interventions for workers with low back pain. Vocational status was a measure of outcome and they concluded that the scientific evidence for the efficacy of interventions for patients with low back pain in decreasing rates of sickness absence or duration of sick leave is limited.¹⁵ In a review on economic implications of programmes that promote health in the workplace, Warner *et al* raised doubts on the evidence of cost effectiveness of many of these programmes.¹⁶ In another review on health and cost effective outcome of promotion of health at the workplace and disease prevention programmes, Pelletier was more optimistic: all of the 24 studies included indicated positive health benefits or positive cost effects.¹⁷ An update of this review in 1993 confirmed these findings and also reported an important improvement of research design, data analysis, and complexity of interventions.¹⁸ For the field of occupational health and safety in general, the findings of these reviews in both areas provide important information. However, for evaluating the *practice* of occupational health care, the information is limited. At best, they offer an indication of the efficacy of treatments or interventions in a well controlled and often more or less artificial situation. Black recently called attention to the fact that most randomised trials are explanatory—that is, they provide evidence of what can be achieved in the most favourable circumstances.¹⁹ They often do not deal with effectiveness in health care in everyday practice. In this review, emphasis is on process and outcome of occupational health care as it is provided in its typical everyday practice setting: the OHS.

Methods

SELECTION OF THE PUBLICATIONS

For the publications on general principles and methodological aspects of evaluation research in occupational health care, we used a few essential handbooks and monographs and collected additional scientific literature by checking citations in relevant publications and by a computerised search in Medline. The available publications on empirical studies in OHSs were selected in an automatic search of the computerised databases Medline, OSH-ROM, CIS-DOC, HSE-line, Embase, and Current Contents. Also, the references in relevant articles and in background literature were further examined. For computer searches we used the following keywords: effectiveness, evaluation study, health services research, occupational

health services, outcomes research, outcome assessment, outcome evaluation, outcome and process assessment, process evaluation, programme evaluation, pre-employment examination, periodic occupational health examination, occupational rehabilitation, medical consultation, audit, quality, and practice guidelines.

The empirical studies had to meet the following inclusion criteria:

(1) The study had to deal specifically with evaluation of OHSs or OHS instruments. The OHS instruments (defined as circumscribed and formalised working methods and measurement protocols, inclusive equipment, and strategies¹⁰) were restricted to workplace investigations and evaluation on work related hazards; management consultation; information and education of employees on work related hazards; pre-employment examination; periodic occupational health examination or surveillance; consulting hours; occupational rehabilitation, and first aid organisation.

(2) The paper had to present original study results; reviews were excluded.

(3) The study was published in English in an international (peer reviewed) journal.

(4) The work was published between 1985 and 1996.

In particular, the third criterion excluded many evaluation studies. Most evaluation research actually carried out in OHSs goes unpublished or is published in reports in the "grey literature", often exclusively directed at financial suppliers, programme funders, or decision makers. We insisted on this because we think that dissemination of research findings in the scientific and professional field is an essential prerequisite.²⁰

QUALITY ASSESSMENT

Quality assessments in a review can be used as a threshold for inclusion, as a possible explanation for differences in results between studies, in sensitivity analyses, and as weights in statistical analysis or meta-analysis of the results.²¹ In systematic reviews on the efficacy of a specific intervention, often there is an exclusion of studies with a lower methodological quality or studies are rated to see if they meet some minimum (particularly methodological) quality criteria. In this review, we chose not to use a quality assessment procedure for inclusion or weighting of studies. Because of the broad focus of this review (the nature and extent of evaluation research in OHSs) and consequently, the heterogeneity of the studies and study objects, it is very difficult to adopt a quality rating system applicable to the different types of studies in OHSs.

PRESENTATION OF PUBLICATIONS

In the first part of this paper, we highlight some general principles and methodological aspects and present a conceptual model for evaluation of OHSs. This model is used in the second part of the paper to present the empirical studies. We used the conclusions of the authors to report positive or negative findings. If authors did not formulate a concrete finding or studies

were more descriptive in nature, the results are reported as indefinite.

Results

EVALUATION RESEARCH IN HEALTH CARE; GENERAL PRINCIPLES

Terminology

The history of evaluation research is linked to the growth and standing of the social sciences, in particular to the evaluation of educational programmes, and to the assessment of public health initiatives to reduce morbidity and mortality from infectious diseases.¹² Evaluation of health care is defined as "the assessment of effectiveness, efficiency, acceptability, and acceptance of a care system or programme in achieving the stated objectives".^{11, 22} According to this definition, evaluation research is closely related to intervention research: "the study of planned and applied activities designed to produce designated outcomes"¹⁴ and to outcomes research: "study of health care received by typical patients with a particular condition to a range of positive and negative outcomes to identify what works best and for whom".⁸ Effectiveness of health care is a measure of technical outcome, in terms of health. Efficiency is an economic concept referring to the costs of the care system or programme relative to its effectiveness. Acceptability refers to whether the care is professionally and socially satisfactory and adequate. Acceptance can be defined as the psychosocial process (individually or collectively) of accepting health care.²²

The study objects of evaluation research in health care can be classified in different ways. According to Donabedian most of these classifications distinguish input (or structure), process, and outcome aspects of health care.²³ Input or structure aspects can be divided into "system characteristics" (administrative, organisational, physical, and financial facilities), "provider characteristics" (knowledge, specialty training, beliefs, and attitudes), and "patient or client characteristics" (age, sex, health habits, preferences, expectations). Process refers to the content of the provided care; technical aspects (activities, continuity of care, etc) and treatment aspects like interpersonal manner and communication style. Outcome deals with the effects of the care on the health of patients or populations. In its most basic form, the outcome of health care can be classified under the "five ds": death, disease, disability, discomfort, and dissatisfaction.²² Recent developments in health services research show the use of other and broader outcome variables such as functional, general wellbeing, satisfaction with care, quality of (working) life, knowledge, skills, and behaviour outcomes.

Outcome evaluation: aspects of study design

Outcome evaluation requires an explicit research design. The most important elements in selecting a design are: the use of comparison or control groups (truly experimental, quasi-experimental, or non-experimental) and the timing of measures: pretest-post-test, post-test only, or time series.²⁴ The most rigorous evaluation design is the true experimental

pretest-post-test control group design.^{25, 26} In clinical research, this design is better known as a randomised controlled trial (table 1). It is regarded as the “gold standard” in clinical outcome research, especially because of its high internal validity. However, when evaluating effectiveness of health care, this may be disputed. In particular, the low external validity of many randomised controlled trials may cause problems by offering an indication of the theoretical efficacy of an intervention rather than its effectiveness in everyday practice.¹⁹ This also holds true for evaluation research in occupational health care. In this field, often the applicability of such a rigorous research design is limited. In particular, in activities of OHSs directed to groups (improvement of working conditions or an educational programme) randomisation at the individual level is not possible. This problem may sometimes be solved by randomisation at the population level—for example, plant or department—but this requires very large sample sizes, often not feasible. In such cases, researchers have to rely on a less rigorous design. Also ethical or legal matters in occupational health care can interfere with the use of a true experimental design. Therefore, in health services research, quasi-experimental study designs are often chosen. Such designs, also called non-equivalent pretest-post-test designs, make use of control groups not selected by random assignment but by techniques of matching, stratification, etc.²⁵ In studies evaluating OHSs, researchers will often assign factories or factory departments to experimental and control groups.

A non-experimental evaluation design (also known as single group design) includes an experimental group only. No control group is used in its most basic form: the one group post-test only design. From this design, one cannot easily infer that the treatment is related to any kind of change.²⁶ More often a design is used in which the target populations act as their own control, often on a before-after comparison basis: the one group pretest-post-test design. Although widely used, the validity of such a design is limited. A possibility for strengthening of this design is to increase the number of observations before and after the intervention. Such a time series design ideally includes at least three measurements before and three after the intervention has taken place. The changes in trends must be consistent for the different groups but the same intervention must have been introduced at different times.²⁷ A different category is formed by the judgemental designs. In this design, no objective measurements are made, but experts, programme staff, participants, or other parties

involved, assess the outcome of the programme.²⁸

Process evaluation

Process evaluation is the evaluation of the various components of the health care provided. Process evaluation involves making judgements about how well a programme operates.²² Two basic questions of process evaluation are: does the intervention reach the target group and was the intervention carried out in the way it was planned?²⁹ A scale of different measurement methods can be used in process evaluation: questionnaire surveys—for example, testing knowledge or attitudes before and after a health education programme—analysis of registered activities, direct observation, measurement of use, audit, etc.

Process evaluation may sometimes be regarded as a proxy measure for judging outcome but it remains difficult to show cause and effect between process used and outcome achieved.³⁰ The worth of process evaluation should not be underestimated. For new health programmes, knowledge of how a successful or an unsuccessful outcome was obtained, will have the most impact on future decision making.³¹ Especially, when outcome findings are negative, a thorough process evaluation can provide information on the reason for this negative outcome; is it a lack of implementation or a lack of efficacy of the service or programme? Compliance with health programme components is always an important factor in intervention studies.³² As a part of process evaluation, it is necessary to document the degree of compliance as much as possible. Measuring the process of care may in some circumstances be even more effective than measuring outcomes. Outcome studies often need to be run for several years to detect deficiencies in care.³³ Sometimes, traditional outcome measures—for example, accidents in safety performance—are rare events in the statistical sense, and consequently, not sensitive enough to evaluate the effectiveness of specific intervention programmes.³⁴ Process data may sometimes be more sensitive measures of quality than outcome data because a poor outcome does not occur every time there is an error in the provision of care.³⁵ Therefore, in comprehensive evaluation studies, true or quasi-experimental designs for outcome measurements should be combined with process evaluation to monitor how this outcome was achieved. A similar distinction can be made between summative and formative evaluation. Summative evaluation has to give a judgement (in quantitative or statistical terms) of the value or outcome of a programme, mostly by an outside expert.

Table 1 Comparability and terminology of study designs in different scientific disciplines

Rank order	Evaluation study (social sciences)	Clinical research/observational epidemiology	Quality assurance
1	True experimental, pretest-post-test control group design	Randomised controlled trial, community intervention trial	
2	Quasi-experimental design	Cohort study, case control study	
3	Non-experimental design: eg time series	Patient series, descriptive study	
4	Judgemental design Process evaluation	Compliance, descriptive study	Peer review, audit, satisfaction with care Audit, certification, guidelines, satisfaction

Formative evaluation is the systematic monitoring, often by a member of in house staff, of an ongoing programme or policy with the intention to control and improve the progress.³⁶

Quality and audit

Another contribution to evaluation research in health care comes from quality control principles. A definition of quality of care is “the degree to which health services for individual subjects and populations increase the likelihood of desired health outcomes and are consistent with current professional knowledge”.³⁷ Also in the assessment of quality of care, the Donabedian structure, process, and outcome triad can be applied. Quality assurance is the process that ensures that the standards or level of quality which have been specified are met. This requires audit and measurement. Audit involves observing practice and comparing it with a standard. Realistic performance standards need to be set and performance indicators have to be developed.³⁸ The most important critical success factor in this approach is to develop performance indicators and perform meaningful measurements.

Practice guidelines

In the quest for evidence-based medicine, the development of practice guidelines for health professionals is rapidly gaining popularity. Professionalisation, accountability, and efficiency are the most important reasons.³⁹ The degree of implementation and use of professional standards or guidelines within OHSs may reflect a measure of quality of the care provided and may be subject to evaluation at the process level. Evidence of change in health outcomes due to the effectiveness of practice guidelines should be the subject of outcome evaluation. In a systematic review on the effect of clinical guidelines on medical practice, Grimshaw and Russel concluded that explicit guidelines do improve clinical practice.⁴⁰ The impact of practice guidelines on quality of care is, however, often hampered by poor implementation.⁴¹

Satisfaction

The effectiveness of health care is not only determined by quality variables but also by the acceptance of the parties involved. Acceptance is closely associated with satisfaction of patients or populations with care. Some authors regarded satisfaction as a process measure, important as a means to gain acceptance of and participation in the service being provided.²⁷ Others considered patient satisfaction to be one of the desired outcomes of care, even an element in health status itself.²³

MODELS AND METHODS IN OHS EVALUATION RESEARCH

Evaluation objectives in OHSs

The formulation of clear objectives is a prerequisite for evaluation research.⁴² Therefore, it is important to know what can be considered as the primary goal of OHSs. The OHSs vary much in structure and function, more so than primary health care or hospital services, even in industrialised countries.⁴³ In the United States,

many occupational physicians and nurses are involved in general work site health promotion programmes. In 1985, the United States Public Health Service's national work site survey showed that 65% of work sites with >50 employees had at least one ongoing health promotion programme.⁴⁴ In analysing this American phenomenon of health promotion programmes, Conrad distinguishes corporate factors—for example, the lack of a national health insurance system means that most of the companies pay for a large portion the general health bill—health factors (the emergence of the lifestyle risk factor paradigm in medicine) and cultural factors (an improved interest in jogging, fitness, and wellness).⁴⁵ He draws attention to the many pitfalls of this lifestyle approach, in particular to the danger of crossing the thin line from individual responsibility to blaming the victim. In some other countries, OHSs are also involved in curative health care. In Finland, employees use the OHS units instead of the municipal healthcare centres partly for general practitioners services.⁴⁶ Therefore, the question “what is the goal of OHSs?” may lead to different answers in different countries or even in different regions or companies within one country. Moreover, OHS professionals, OHS managers, employers, and employees may have different opinions about the goals of OHSs.

OHS evaluation models

In the scientific literature, a clear distinction is not always made between evaluation definitions, types of evaluation, and evaluation models. Menckel gives an overview of approaches to and models for evaluation of OHS activities.⁴⁷ She presents a classification of some major evaluation models, the *systems analysis model* and the *behavioural objectives model*, being the most prominent ones. The aim of the systems analysis model is to provide an evaluation of an entire body of activities. It is always summative and is mostly initiated after a programme has been completed—for example, “can OHSs contribute to a reduction in occupational injuries?”. The behavioural objectives model, commonly used in health education programmes, is more formative in nature. It evaluates the effects of a specific measure taken—for example, “have back exercises led to improved physical fitness?”. Process criteria are important and OHS personnel may be involved more directly in this type of evaluation. In general health services research, the system analytical model is a framework often used for evaluation. Examples of its use in OHSs are described by Cho *et al* and by Parillo.^{48, 49} In Finland, Husman *et al* applied this concept to develop and evaluate a national OHSs system for farmers.⁹ Input, process, and outcome components were distinguished. To achieve the ultimate goal, a change in prevalence of work related diseases, intermediate objectives—for example, change in work methods and work behaviour—were chosen. This shows that it might be possible to evaluate an OHS system at different levels of objectives, which could increase the efficiency of the analysis.

Figure 1 Model of changing impact of OHS activities during risk identification, assessment, and control of a work related risk.⁵⁰

A more general problem in evaluation studies in occupational health care, is the fact that in the ultimate outcome of OHSs, other actors and factors may play important and sometimes more decisive parts.⁵⁰ This is schematically outlined in figure 1. During the successive phases of identification of occupational health risk, risk assessment and control of a work related health risk, which influence OHSs, vary considerably. Risk identification and risk assessment are important tasks of OHSs, and occupational health professionals in OHSs are expected to play a competent and active part in this. However, the actual control of risk itself—for example, changes in work conditions—is the direct responsibility of the employer, to a much larger degree than of the employee. When the performed activities do reach the final goal, it is not necessarily a failure of the evaluated OHS system as such. Maybe the OHS activities were carried out correctly, but for some reason the employer totally ignored all advice. In evaluation of the outcome of OHSs or OHS activities such mechanisms have to be taken into account. This again stresses the

importance of combining outcome evaluation with process measurements.

A general model for evaluation of OHSs

For practical and for methodological reasons, it is often not feasible to study long term outcome objectives such as a decrease in the prevalence of work related diseases. Therefore, in studies that evaluate OHSs, emphasis will be on intermediate objectives such as changes in exposure or changes in knowledge, skills, attitudes, or work methods in target groups. Activities of OHSs often have only indirect influence on the ultimate outcome on work and health. The output or product of most OHS activities is advice. This advice may be given to an individual employee, to a group of employees, or to a supervisor or manager. In evaluation of OHSs, this advice can be regarded as an essential link between the process of delivery of care and the outcome.²⁸ From concepts of evaluation research, a general model for evaluation of OHSs can be extracted.⁵¹ This general model (illustrated by an OHSs approach on prevention and control of noise induced hearing loss), showing the different dimensions of aetiological research and evaluation or intervention research, is presented in figure 2.

EMPIRICAL STUDIES ON EVALUATION OF OHSS OR OHS INSTRUMENTS

A total of 52 empirical studies met the inclusion criteria. Most of the publications come from four countries: United States, United Kingdom, Netherlands, and Finland. The studies were categorised according to their main object; 21 studies were directed at input or structure of OHSs, 13 studies deal with processes, six with output, and 13 with outcome of OHSs or OHS instruments. Two publications did refer to the same study and one study was directed at both input and

Figure 2 General model for evaluation of OHSs.⁵¹

output. Tables 2–6 summarise the studies and their main findings. In 28 studies, general aspects of OHSs were investigated, whereas the other 24 studies dealt with specific OHS activities or instruments, in particular pre-employment examination and (periodic) occupational health surveillance.

Evaluation of input or structure of OHSs

We have made a distinction between characteristics of OHS systems or provider and characteristics of clients (table 2). Almost all of the studies reviewed on input or structure of OHSs were descriptive, non-experimental, and cross sectional. In only two studies, data of repeated measurements were used and a trend was analysed, although comparable data over time were few and inaccessible, as the authors indicate themselves.^{52–60} The study by Woodall *et al* is the only one that compared the results of the study group (frequent visitors of the OHSs) with a reference group (random sample of non-frequent visitors), but was hampered by a low response in both groups.⁵² In most of the studies, the data were collected by postal or interviewer administered questionnaire. In six studies, additional health services data or case records were used.^{52–55–58–59–68–70} Because of the descriptive character, most studies lacked statistical analyses of the results. In one study,⁴⁶ multivariate analysis was used to explain the findings and in another study,⁵⁵ Cohen's κ s were calculated to study the agreement between physicians' and employees' perceptions of work relatedness of the health problems. In a few other studies, descriptive statistics were presented.

With the exception of the study on sickness absence and fitness for work by Agius *et al*,⁶⁸ the objectives of the studies on input or structure of OHSs were not evaluated against certain criteria. The information in most studies in this section may therefore be considered more as a description of input and structure of OHSs in different countries than a real evaluation. A real evaluation would require available standards of (best) practice or well defined criteria of care. Despite this general limitation and the heterogeneous character of the study objectives, some general trends emerge from the findings. Five studies, conducted in different populations, all reported a shortage of physicians or specialists in occupational medicine in the United States and a shift away from in factory OHSs to free-standing OHSs, often operating on a commercial basis.^{52–55–60} Examination of and advice on matters of work environment and preventive health examinations of workers are, in different countries, seen as the most important OHSs tasks, in particular by employees.^{63–66–69} For other tasks—for example, rehabilitation or public health oriented health promotion—less agreement exists between employers, employees, and occupational physicians.^{67–69} These preferences or perceptions of the role of OHSs are, however, not always reflected in the actual use of OHSs or in the work content of occupational physicians in practice. In many developing countries, OHSs are often concentrated on the predominant health problems like malnu-

trition and only to a small part on occupationally related ailments.⁵⁸ In a study of OHSs in San Diego, it was reported that employers responding to the survey cited acute care as the service most often obtained from outside providers.⁵² In Finland workers often use OHS units for general practitioners' services.⁴⁶ In the United Kingdom, assessment of fitness for work or sickness absence ranked first in use of physicians' time,⁵⁶ and in Norway, 30% of the working time of occupational physicians was directed to curative activities.⁵⁷ This was also the case in the developed countries, the actual practice does not always follow the demands of the clients or customers of the OHSs.

Evaluation of process of OHSs or OHS instruments

As in the previous section, most of the studies on processes of OHSs used a non-experimental and cross sectional study design. Although the emphasis is still on description of the activities of OHSs (what do they do?), some studies have a more evaluative nature (how well is it done?). Sugita *et al* studied the quality of biological monitoring methods in use in OHSs and saw a gradual improvement in scores on a well defined evaluation system between 1980 and 1987.⁷¹ In an external audit of occupational medical consultation records, Agius *et al* used a set of quality criteria to judge the medical consultation process.⁷⁴ Because the "career" occupational physicians had significantly better scores than the "non-career" occupational physicians (usually part time general practitioners), the authors stress the importance of further training of physicians practising occupational medicine. Behrens and Müller evaluated the self reported compliance of company doctors with the workplace related activities as required by the German law on work security.⁷² They found that only one third of the responders carried out these activities. By contrast with Agius *et al*, they saw no significant effect of the qualifications of the physicians; more important were compulsory factors such as state regulations or a prevention oriented policy in the company. In a study on pre-employment examinations, the variability between experienced occupational physicians in a governmental OHS was used as a measure of reproducibility and thus as a measure of quality.⁷⁷ Poor agreement was found, suggesting that the validity of judgement of medical fitness for a job may be seriously questioned, even when detailed fitness criteria are available. In another study, the value of haematological screening as part of pre-employment examination in health-care workers was questioned because in half of the cases abnormalities were found, but they almost never affected the decision on fitness for employment.⁷⁶ More positive conclusions were drawn in a study on the feasibility of pre-employment screening on occupational allergens in a vocational school of bakers.⁷⁹ Because of the specificity of the findings (positive skin prick tests to wheat flour, rye, and amylase), the fact that 4% of the total group of these young bakers already had respiratory symptoms after short exposure, and the opinion that the social

Table 2 Evaluation studies on input or structure of occupational health services (OHSs)

Study	Objective	Study design
Occupational health services system and provider characteristics:		
Guidotti and Kuetzing ⁵² 1985 (USA)	Profile and trends in occupational health services in San Diego between 1974 and 1984	Descriptive study cross sectional (with trend analysis)
Brandt-Rauf <i>et al</i> ⁵³ 1988 (USA)	Current use and perceived future need for occupational physicians in non-industrial occupational health services settings	Descriptive study cross sectional
Pransky ⁵⁴ 1990 (USA)	Characteristics of occupational medicine (occupational medicine) specialists	Descriptive study cross sectional
Ducatman <i>et al</i> ⁵⁵ 1991 (USA)	Variation in occupational physician employment in large companies	Descriptive study cross sectional
Agius <i>et al</i> ⁵⁶ 1993 (UK)	Characteristics of occupational physicians and involvement in audit	Descriptive study cross sectional
Hulshof <i>et al</i> ⁵⁰ 1993 (Netherlands)	Occupational health services involvement in control of adverse effects of whole body vibration	Descriptive study cross sectional
Wannag and Nord ⁵⁷ 1993 (Norway)	Work content of occupational physicians	Descriptive study cross sectional
Isah <i>et al</i> ⁵⁸ 1996 (Nigeria)	Profile of occupational health services in manufacturing industries in Nigeria	Descriptive study cross sectional
Client characteristics and use of occupational health services:		
Woodall <i>et al</i> ⁵⁹ 1987 (USA)	Patient characteristics (frequent visitors)	Descriptive study 1 year follow up
Pedersen and Sieber, ⁶⁰ 1989 (USA)	Worker access to health care as a result of employment	Descriptive study cross sectional (with trend analysis)
Spiegel and Yassi, ⁶¹ 1989 (Israel)	Employers' need, use, accessibility and demand of occupational health services' for small workplaces	Descriptive study cross sectional
Barron <i>et al</i> ⁶² 1990 (South Africa)	Provision of occupational health services in the manufacturing industry	Descriptive study cross sectional
Plomp ⁶³ 1992 (Netherlands)	Employees' attitude towards occupational health services and occupational physicians (occupational physician)	Descriptive study cross sectional
Dryson ⁶⁴ 1993 (New Zealand)	Occupational health needs assessment and use of services of workers in small companies	Descriptive study cross sectional
Plomp ⁶⁵ 1993 (Netherlands)	Employees' and physicians' perceptions of work relatedness of problems	Descriptive study cross sectional
Räsänen <i>et al</i> ⁶⁶ 1993 (Finland)	Use of occupational health services	Descriptive study cross sectional
Ritchie and McEwen ⁶⁶ 1994 (UK)	Employee perception of role of occupational health services	Descriptive study cross sectional
Williams <i>et al</i> ⁶⁷ 1994 (UK)	Perception of role of occupational health services by managers, employee representatives and occupational physicians	Descriptive study cross sectional
Agius <i>et al</i> ⁶⁸ 1995 (UK)	Information from managers or supervisors in referrals for sickness absence or fitness for work	Performance study stratified sample over a 26 month period
Dryson ⁶⁹ 1995 (New Zealand)	Workers' preferences in delivery of occupational health services in small industry	Descriptive study cross sectional
Plomp ⁷⁰ 1996 (Netherlands)	Accessibility and use of occupational health services	Descriptive study cross sectional

cost at this age is more acceptable, the authors concluded that pre-employment screening in this particular occupational group may be useful. Mikovic-Kraus and Macan gave a positive opinion on the usefulness of pre-employment patch testing to prevent occupational contact allergy in industries at risk.⁸⁰ The paper is, however, not particularly informative, in particular with respect to the selection of the population used. Some of the studies on process are the result of medical audit from quality assurance procedures, in particular in occupational health departments within the National Health Service (NHS) in the United Kingdom.^{74 75 78} The study of Braddick *et al* shows a distinct variance in comprehensiveness of pre-employment examination procedures in different departments with slightly higher

rejection or restriction rates in the OHSs which examine more comprehensively.⁷⁵ Whitaker and Aw confirmed the variation in examining practice, but they found no significant difference in rejection rates between various assessment methods.⁷⁸ The authors of both studies questioned the efficiency of the current pre-employment practice in the NHS and made recommendations for pre-employment assessments targeted at specific occupational groups. The study by Agius *et al* also included an attempt to "audit the audit"; it evaluated the possible benefit of audit on the medical consultation process and found it as yet to be only of minor significance.⁷⁴ Quality assurance procedures were also used by Udasin *et al* in evaluating the periodic occupational health surveillance practice.⁸¹ By auditing medical

Table 2 Continued

Methods	Study group/sample size	Main findings
Analysis of demographic and health services data; questionnaire survey	Occupational health services (facilities and human resources) in San Diego; 130 employers (response: 29% in 1981 and 22% in 1983)	Decline in in-plant occupational health services facilities; increase of freestanding industrial medical clinics; certified occupational medicine specialists remain few; more approval of acute care, screening and employee assistance than preventive services
Postal questionnaire	Random sample of group medical practices (n=100, response 44%) and health maintenance organisations (n=100, 35%)	44 Group practices employ in total only 18 occupational physicians and 11 occupational health nurses; 35 health maintenance organisations employ 20 occupational physicians and 7 nurses; a 200% increase of occupational health personnel between 1987 and 1997 is foreseen
Postal questionnaire	1056 Physicians occupational medicine certified response: 67%	Number of occupational medicine specialists less than expected; 35% employed in one company, 17% academic setting, 12% in federal or military government, 8% independent clinic; younger physicians less often in industry
Interview of corporate officials and analysis of occupational physician employment data	25 Largest US companies (n= 514 occupational physicians)	Oil and chemical plants employ largest number of occupational physicians per employee; positive relation between company profits and number of occupational physicians
Postal questionnaire	200 Occupational medicine practitioners in UK response: 83%	Wide diversity in specialty characteristics Assessment of fitness for work or sick leave ranked first in physicians' time 48% engaged in audit; in 18% audit of structure, process and outcome of care
Postal questionnaire	All officially registered occupational health services (n=166); response rate 67%	75% of occupational health services judge their expertise and ability in prevention of effects related to whole body vibration as insufficient; small impact of occupational health services
Interview by telephone	50 Occupational physicians, (5 workdays per occupational physician)	40% of work time concerned work matters and 30% curative activities; Work situation or type of occupational health services cannot explain observed differences in work content
Questionnaire survey observation (visits)	123 Occupational health services (randomly selected) response rate: 91%	Poor provision of occupational health services in small industries; only 4.3% of cases seen are occupationally related.
Analysis of 1 year occupational health services visits and sickness absence records; Questionnaire survey	Frequent visitors of occupational health services of automobile plant (n=235); non-frequent visitors (random sample n=199) response: 19%	16% of employees accounted for 50% of all visits; frequent visitors had higher absence rates and greater health risk; black and young employees were overrepresented
Questionnaires in 2 national surveys by NIOSH in 1972 and 1981	4016 Companies in 1972 (8133 workers) and 4258 companies in 1981 (1572638 workers) in private sector	Increase of delivery of off site contractual medical care (instead of on site physicians); large increase in the use of screening tests and decreased use of pre-employment examinations
Interview	51 Plant managers, size stratified sample from a community health centre area	Use of occupational health services by small workplaces is restricted, few small workplaces have met mandatory regulations; a community health centre based occupational health service deemed to be acceptable
Postal questionnaire	760 Manufacturing organisations (response rate 51%)	General deficiency in the quantity of occupational health services; salaried employees better off than wage earners; no substantial change since 1976
Interview	3 companies with different occupational health service setting, selected sample of employees (n=310)	Examination of workers and working conditions seen as most important occupational health services task; lack of clarity about loyalty and independence of occupational physician in practice; company and occupational health services characteristics had little effect on workers' perception/appreciation
Interviewer administered questionnaire	200 Workers in 35 small companies (cluster sampling technique) factory response: 70%	15% assessed working conditions as poor, 38% had needed occupational health information/advice; boss and general practitioner commonest sources of information on occupational health issues
Interview	Employees in 3 companies (n= 313) and occupational physicians in these companies (n=6)	Large disagreement between physicians' and employees' judgement; socioeconomic implications of the label work relatedness is important factor in this discrepancy
Computer assisted telephone interview	Employees, random sample from national health survey (n=1029)	Employees often use occupational health services units for general practitioner services; availability of occupational health services did not increase overall use of physicians' services (coverage of occupational health services: 79%)
Postal questionnaire	300 Employees (public sector organisation) response: 46%	Monitoring the working environment by 60% seen as the prime function of occupational health services; stress greatest health concern
Postal questionnaire	264 Managers, 68 union representatives, and 145 occupational physicians; response: 51%-61%	Advice on work environment and on medical retirement seen as most important occupational health services tasks; for other tasks (eg rehabilitation) less agreement
Audit by external peer review	(Total sample: see Agius ⁷⁴ 1994) 162 randomly selected referral letters in case records	Referral requests adequate in specifying duration of absence; information on other relevant issues less frequent, only 12% provided employees' job description
Interviewer administered questionnaire	200 workers in 35 small companies (see Dryson ⁶⁴ 1993)	Good support for work protective or preventive tasks of occupational health services in small industry; little demand for general health promotion activities like lifestyle issues
Rates of use from medical records; Interview	Random sample of employees (n=911) interview: selected sample (see Plomp ⁶³)	Use of occupational health services is determined by organisation of its access; open consultation hour has restricted function for occupational health problems

records of 17 different occupational health facilities and comparing them against performance standards, they studied the quality of medical surveillance programmes for hazardous waste workers. They found the level of medical surveillance to vary dramatically among the providers.

Evaluation of output of OHSs

The output of OHSs is an essential link between process and outcome. One study evaluated the output of medical consultations, one was directed to pre-employment examinations, two to periodic health examinations, and two studies dealt with the output of workplace investigations. Agius *et al* examined both input aspects (the quality of the referrals from managers or supervisors) and the output (the occu-

pational physicians' response) of OHSs consultations on sickness absence and fitness to continue work.⁶⁸ Although the quality of the input was often found to be poor, the quality of the physicians' response (the way physicians answered the questions of the managers or supervisors and gave advice to both managers and employees) was rated higher. Moreover, the frequency of occupational physicians' responses was often higher than the frequency of questions posed to them, suggesting added value of the physicians in the formulation of the problem. De Kort *et al* analysed all pre-employment examinations of applicants for governmental functions during a 6 year period.⁸⁵ Applicants >50 years old were four times more likely to be rejected than applicants between 20 and 30 years old. Only for

Table 3 Evaluation studies on process aspects of occupational health service or occupational health service instruments

Study	Objective	Study design	Methods	Study group/sample size	Main findings
Various aspects: Sugita <i>et al</i> ⁷¹ 1991 (Japan)	Quality control of biological monitoring by occupational health service	Performance study time series analysis	Round robin test; evaluation based on strict criteria	Between 79 (1980) and 179 (1987) participating occupational health service	Gradual improvement in evaluation scores during research period; quality control programme promoted adoption of modern and reliable analytical methods
Behrens and Müller ⁷² 1993 (Germany)	Compliance of occupational physicians with workplace related activities as required by law	Descriptive study cross sectional	Postal questionnaire	502 Completed questionnaires out of 3000 randomly selected physicians with certification	Workplace related activities carried out by 1/3 of respondents, mainly due to demand side factors (eg prevention oriented culture in company); supply side (eg qualifications or resources) less influence
Menckel ⁷³ 1993 (Sweden)	Activities on accident prevention of safety engineers, occupational health nurses and physiotherapists	Descriptive study cross sectional	Questionnaire survey (questions concerned the activities in the preceding year)	All safety engineers (n=55), occupational health nurses (n=38) and physiotherapists (n=47) from occupational health service units in slaughter house and meat industry	Accident related work is very limited in time and scope. Most important obstacles: "time, lack of demand for their services" (engineers), "time" (nurses), "pressure to concentrate on provision of treatment" (physiotherapists)
Agius <i>et al</i> ⁷⁴ 1994 (UK)	Quality of occupational medical consultation records	Performance study stratified sample over a 20 month period	Audit of records by external peer review	324 Consultations in occupational health service of three health boards from 19 physicians (7 "career" and 12 "non-career" = part time general practitioner)	Adequate occupational history in only 36% of the records; significantly better records in career occupational physicians compared with "non-career" occupational physicians; audit status of occupational health service plays part, but effect is smaller than career status
Pre-employment examination: Bradfield <i>et al</i> ⁷⁵ 1992 (UK)	Local practice of pre-employment examination	Descriptive study cross sectional	Postal questionnaire	22 Occupational health service departments in one NHS region (response: 77%)	Rejection rate: 0.5%; restriction: 1%; self administered questionnaire used by all; variance in comprehensiveness of further examination
Evans and Aw ⁷⁶ 1992 (UK)	Efficacy of pre-employment haematological screening	Descriptive study 17 month follow up	Analysis of pre-employment examination records	All pre-employment examination records and consequential actions performed by one occupational health service during 17 months (n=988)	In 50% of records at least one parameter outside reference range, screening in pre-employment examination not efficacious
De Kort <i>et al</i> ⁷⁷ 1992 (The Netherlands)	Interobserver variability in pre-employment examination	Diagnostic quality study	Analysis of degree of agreement on fitness for a job of patient cases on paper	Panel of 5 occupational physicians rejudging 180 paper patient cases (randomly selected from a stratified sample) of job applicants who were formerly seen by different occupational health service physicians	Poor agreement between panel and original occupational health service physicians (κ : 0.35) and poor agreement between panel physicians (κ : 0.38); highest and lowest rejection % differed by a factor of 2
Whitaker and Aw ⁷⁸ 1995 (UK)	Practice of pre-employment examination in the NHS	Descriptive study 3 month period	Audit by questionnaire on each pre-employment examination; additional medical information on rejected applicants	Random sample of occupational health service units in NHS (n=65) response rate: 82%; 9139 returned questionnaires in 3 month study period	Rejection rate 0.7%; main reasons: body mass (40%) and skin (21%) Self administered questionnaire alone most common method (49%); no significant difference in rejection rates between various assessment methods
De Zotti <i>et al</i> ⁷⁹ 1995 (Italy)	Feasibility of pre-employment examination screening on allergic occupational respiratory disease among trainee bakers	Diagnostic quality study cross sectional	Analysis of prevalence of atopy and sensitisation to occupational allergens in a vocational school	Trainee bakers (n=144) graphic art students (n=81)	Positive skin prick test to wheat flour, rye and amylase specific to bakers; 4% of bakers had respiratory symptoms due to flours; pre-employment screening at this age is useful in this occupational group
Milkovic-Kraus and Macan ⁸⁰ 1996 (Croatia)	Usefulness of patch testing during pre-employment examination for at risk workplaces	Diagnostic study cross sectional	Analysis of patch tests and medical histories	175 Candidates undergoing pre-employment examination for pharmaceutical industry	7% of subjects showed positive reaction; patch testing as a part of pre-employment examination may be useful for occupations in at risk workplaces
Periodic occupational health examination or surveillance: Udasin <i>et al</i> ⁸¹ 1991 (USA)	Quality assurance of medical surveillance programmes for hazardous waste workers	Performance study one year follow up	Audit of medical records by external peer review	325 Medical records from 17 examining occupational health facilities	Most facilities completed required paperwork but relevant occupational history was often lacking and spirometric examination seldom performed correctly; workers were not always informed on the findings; level of medical surveillance varied dramatically among providers
Conway <i>et al</i> ⁸² 1993 (USA)	Practice of (periodic) occupational medical surveillance	Descriptive study cross sectional	I: Nationwide survey with standardized questionnaire (tel.) II: follow on survey III: site visits see Conway <i>et al</i> ⁸²	I: 7177 Workplace establishments (random sample) II: 238 establishments with comprehensive occupational medical surveillance III: 25 plants with occupational medical surveillance see Conway <i>et al</i> ⁸²	56% Of plants > 200 employees have occupational medicines; 4% in plants < 20 employees general physical examination most common component; audiometric testing most common periodic test
Conway <i>et al</i> ⁸³ 1993 (USA)	Purposes and health findings of periodic occupational medical surveillance	see Conway <i>et al</i> ⁸²	see Conway <i>et al</i> ⁸²	see Conway <i>et al</i> ⁸²	Main occupational medical surveillance purposes: "protect general health", "judge fitness for duty" and "comply with OSHA or other regulations"; repetitive trauma (8%), hearing loss (7%), and skin disorders (5%) most commonly identified health effects
Broersen <i>et al</i> ⁸⁴ 1995 (The Netherlands)	Reliability and generalisability of periodical occupational health survey data from different regional occupational health services	Diagnostic validity study	Comparison of aggregated data collected with a standardised periodical occupational health survey questionnaire from different sources	1. Periodical occupational health survey data from one large occupational health service (n=36 000) 2. Periodical occupational health survey data in construction industry from five occupational health services spread over the country (n=11 000)	Direct comparisons of questionnaire scores between the two data files are biased by regional differences; however, similarities in the relative position of occupations on items with a widespread distribution: generalisability of the results plausible

Table 4 Evaluation studies on output of occupational health service or occupational health service instruments

Study	Objective	Study design	Methods	Study group/sample size	Main findings
Medical consultation: Agius <i>et al</i> ⁸⁵ 1995 (UK)	Responses and response time of occupational physicians in sickness absence or fitness for work consultations	Performance study stratified sample over a 26 month period	Audit by external peer review	162 Consultation records from referrals dealing with sickness absence or fitness for work	Physicians' response rate high (96%) for 'likely date of return to work' but lower for other items, eg work limitations; frequency of occupational physicians' responses higher than frequency of questions added value of occupational physicians in formulation of the problem; no correlation between response time and completeness of records
Pre-employment examination: de Kort <i>et al</i> ⁸⁵ 1991 (The Netherlands)	Efficacy of pre-employment examination (pre-employment examination) in a large occupational health service	Case-referent study	Analysis of pre-employment examination records	All pre-employment examination in a large governmental occupational health service during a 6 year time period (101754 cases)	Overall rejection rate 0.6%, applicants > 50 years fourfold increased risk; accepted and rejected applicants had diagnoses in common; poor efficacy of pre-employment examination in reducing absenteeism and disablement
Periodic occupational health examination or surveillance: Hessel and Zeiss ⁸⁶ 1988 (South-Africa)	Efficacy of periodic examination with respect to screening purposes, assessing fitness for work, and identifying compensable diseases	Patient series	Reanalysis of periodic examination findings by two specialists	Consecutive periodic examinations in mining industry during a 6 month period (n=7758)	Only hearing loss and hypertension occurred frequently enough for screening; periodic examinations useful for assessing fitness for work (8 % of workers required consideration), but not in identifying workers with compensable diseases
Rose and Bengtsson ⁸⁷ 1991 (Sweden)	Efficacy of a health examination programme based on laboratory examinations	Patient series	Retrospective analysis of case records of participants	117 White collar workers selected by age or work related risks) from 2000 employees	Few measures were taken as a result of the laboratory examinations. The programme seemed to be of limited value
Workplace investigation: Mattila ⁸⁸ 1989 (Finland)	Usefulness of new workplace investigation method in construction industry	Non-experimental before-after	Comparison of output of workplace investigation before and after introduction of a new method	8 Site visits on 3 building sites (414 job analyses) questionnaire survey of workers (n=531; response 80%)	Increase of the number and quality of proposals for preventive measures; improvement of occupational health service surveillance programme on basis of new method possible; new method superior to previous practice and implemented at moderate cost
Peretz <i>et al</i> ⁸⁹ 1992 (Israel)	Output of occupational health hazard surveys and implementation of recommendations of an occupational health service unit	Judgemental (constituency approach)	Interview/questionnaire	Managers and safety officers of 100 workplaces (= 79% of all workplaces surveyed by the unit in 1988)	80% Satisfied with quality of the report 51%; of recommendations fully implemented and 33% not at all; implementation not related to actual hazard but to existence of regulations covering it

musculoskeletal disorders, was an association between diagnostic category and job demands apparent. Accepted and rejected applicants had diagnoses in common. These findings suggest poor efficacy of the pre-employment examination for reducing absenteeism and disablement. Hessel and Zeiss evaluated a periodic examination programme in the mining industry and concluded that it was probably useful as a means of assessing fitness for work, but not so much in health screening or in identifying compensable occupational diseases.⁸⁶ Rose and Bengtsson reported the limited value of ECG and laboratory examination as a part of a general health examination of employees.⁸⁷ Few measures were taken as a result of these examinations, other than re-examinations. Mattila studied the output of a new systematic method of investigating the workplace (based on job analysis, worker involvement, and group problem solving) used by OHS teams in the construction industry.⁸⁸ In a non-experimental before-after study design, the new method was found to be better than the previous examination method: it increased the number and quality of proposals to line management for preventive measures and improved the occupational healthcare programme. In a questionnaire survey, Peretz *et al* evaluated workplace investigation reports, aiming to assess the satisfaction of managers and

safety officers with the content and clarity of the reports.⁸⁹ The study was also dealing with an outcome aspect: the extent to which the recommendations, given in the reports, were implemented after 2 years. Satisfaction with the quality of the reports was high but half of the recommendations were not or partially carried out.

Evaluation of outcome of OHSs or OHS instruments

The effects of care delivered by OHSs on work environment and health status of individual employees or worker populations can be regarded as the ultimate outcome. Although seen by some authors as a process measure, the degree of clients' satisfaction with care is often used as an outcome variable. In this review, we have classified three studies on satisfaction with the care delivered by OHSs under outcome evaluation (table 5).

Seven of the outcome studies evaluated care delivery by OHSs in general. The other outcome studies dealt with a specific OHS activity. From a methodological point of view, the research designs of most of the outcome evaluation studies are weak. In only one study was a quasi-experimental study design applied.⁹¹ Another study used a before-after design with repeated measurements.¹⁰² Lowenthal made internal comparisons in a group

Table 5 Evaluation studies on outcome of occupational health service or occupational health service instruments

Study	Objective	Study design	Methods	Study group/sample size	Main findings
Occupational health service in general: Wood <i>et al</i> ⁶⁰ 1987 (Australia)	Satisfaction of managers, employees, and occupational health workers with delivery of occupational health service	Descriptive study cross sectional	Questionnaire survey	32 Firms with occupational health service; response 54%, 143 usable questionnaires (32 managers, 76 employees, 35 OH workers)	Employees less satisfied with current services than managers; occupational health workers more sceptical of health maintenance programmes
Husman <i>et al</i> ⁶¹ 1990 (Finland) Nofkola <i>et al</i> ⁶² 1990 (Finland)	Use and effectiveness of a farmers' occupational health service system, delivered by municipal health centres	Quasi-experimental pretest-post-test design; farmers' occupational health service experiments: 1980-1982 and 1985	Questionnaire survey "before" and "after": 1979-1982-1986	1980-1982: Seven experimental and seven reference occupational health service (n=3200 farmers); 1985: 4 experimental occupational health services (n=881) "after" questionnaire surveys (n=10 700, respondents n=2866)	Knowledge, use of personal protective equipment and hygienic behaviour increased significantly among farmers' occupational health service-participants; no differences in improvement of working conditions between participants and non-participants ultimate goal not realised
Draaisma <i>et al</i> ⁶³ 1993 (The Netherlands)	Quality and effectiveness of occupational health service activities in selected companies as seen by the providers themselves	Judgemental design cross sectional	Interview with occupational health service teams (constituency approach)	Occupational physician or occupational health teams (n=51), equally distributed over occupational health service type, size, and involvement in sickness absence certification	Consultation in sickness absenteeism takes most time (33%); 85% positive about effects of their advice but most occupational health teams had no criteria for effectiveness
Rogers <i>et al</i> ⁶⁴ 1993 (USA)	Employee satisfaction with occupational health service	Descriptive study cross sectional	Questionnaire mailed to employees after every occupational health service visit	All scheduled occupational health service-visits in 3 months (n=600) in a large company; response 82%	90% Waited < 15 minutes to see nurse or physician; 2/3 were very satisfied with both nursing and medical care provided
Fitko <i>et al</i> ⁶⁵ 1994 (USA)	Comparing costs of in-house occupational health service versus external occupational health service	Cost effectiveness analysis	Comparison of in-house occupational health service base expenses with invoices from outside providers	One in house refinery medical department; a total of 109 invoices	Cost for the same services of in-house occupational health service 42% less than of outside providers (savings of time away from work not included)
Weel and Slotboom ⁶⁶ 1996 (The Netherlands)	Feasibility of and company satisfaction with a company specific programme of occupational health service activities	Judgemental design before and after	Registration of occupational health service activities; interviews with company officials; inquiry of occupational health service professionals	One year experiment with new programme of occupational health service activities in companies (n=7)	New approach was feasible for occupational health service professionals; satisfaction of companies was increased
Pachman <i>et al</i> ⁶⁷ 1996 (USA)	Cost savings of an on-site occupational health service	Questionnaire survey	Estimation of saved days of absenteeism	All employees using the occupational health service over a period of 4 months (n=133)	On-site occupational health service resulted in average reduction of absenteeism of 3.3 days per employee per year
Pre-employment examination: Lowenthal ⁶⁸ 1986 (USA)	Effectiveness of different pre-employment examination methods	Retrospective follow up study follow up period: 2-4 years	Analysis of worker placement, compensation claims and healthcare costs	Records of 200 consecutive candidates with comprehensive pre-employment examination and 200 candidates with minimal pre-employment examination	No significant difference between groups; comprehensive pre-employment examination is not a cost-effective activity
Periodic occupational health examination or surveillance: Conway <i>et al</i> ⁶⁹ 1993 (USA)	Effects or benefits of occupational medical surveillance programs as perceived by respondents to the OSHA survey	Descriptive study cross sectional	See Conway <i>et al</i> ⁶²	See Conway <i>et al</i> ⁶²	In 61% no change in outcome parameters (illnesses, insurance costs); main perceived benefits were "healthier and happier employees" (42%); most firms had no procedure for evaluating effectiveness of their occupational medical surveillance
Ren <i>et al</i> ⁷⁰ 1994 (Japan)	Impact of periodic health examination on health care utilisation and costs	Patient series	Analysis of health care insurance claim and utilisation rates after introduction of annual periodic health examination	Local government employees in public service sectors (n=19,146) in 6 year period (96% participation in periodic health examination)	Abnormalities found in 57-70% of which 7-14% required serious medical attention; the comprehensive periodic health examination played some part in increasing health care utilisation and costs.
Workplace investigation and health education: Kahan <i>et al</i> ⁷¹ 1995 (Israel)	Consumer satisfaction with quality of occupational hygiene services	Descriptive study	Postal questionnaire	All regular clients (managers, safety officers) of occupational hygiene services during a 2 year period (n=144); response 47%	High satisfaction (waiting time for last report strongest predictor of satisfaction); safety improvement, legal requirements, and service credibility determine contract-continuation
Porru <i>et al</i> ⁷² 1993 (Italy)	Effectiveness of a health education programme on lead	Non-experimental before and after design	Repeated measurements (questionnaire/blood samples)	Workers in seven small factories (n=50); 34 subjects in complete follow up	Significant improvement of blood lead concentrations and knowledge scores; reduction of blood lead seems to be due to change in hygienic behaviour

of pre-employment examinations.⁹⁸ Most of the other studies were descriptive. Wood *et al* investigated the satisfaction of managers, employees, and the OHS workers involved with the delivery of care by OHSs in 32 firms in industry.⁹⁰ Polarised views were found: employees were less satisfied than managers, with OHS workers in between. By contrast, Rogers *et al* reported high employee satisfaction with both nursing care and physicians' services from an OHS in a large pharmaceutical company.⁹⁴ High levels of satisfaction were also found in a study of Kahan *et al* among managers and safety officers with accessibility, quality, and cost of occupational hygiene services, although the response rate of only 47% may be selective.¹⁰¹ The publications of Husman *et al* and Notkola *et al*, both referring to the same study, described the development and evaluation of a national farmers' OHS system in Finland between 1979 and 1987.^{91, 92} The functional adequacy (with input and process aspects) and the effectiveness of this system was evaluated. Unfortunately, information on the evaluation of OHSs in both articles on methodology and design of this unique large scale study was not optimal; in particular the assignment of farmers to the experimental and the control group and the relation between the questionnaire surveys and the experiments. When improvement in working conditions was the ultimate indicator of the outcome evaluation, the system was not effective: differences

between participants and non-participants were negligible. However, farmers' knowledge on health hazards, use of protective equipment, and occupational hygienic behaviour scored significantly higher among participants than among the reference group, indicating that on the process level the OHS system was successful. As a possible reason for this discrepancy, the authors suggest that the farmers' OHS system may be concentrated too much on the use of personal protective equipment. Draaisma *et al* evaluated the effectiveness of OHS activities in selected companies by interviewing the OHS teams themselves (constituency approach).⁹³ Most of the teams were positive in their assessment of the results of their advice to the companies but their criteria for defining effectiveness were vague and output criteria for their own activities were lacking. Weel and Slotboom evaluated a method of delivering differential company health care based on the particular demands and needs of companies compared with the standard care in seven companies within an OHS.⁹⁶ The approach was found to be feasible and a trend of increased satisfaction within the companies was noted. Fitko *et al* examined the cost effectiveness of the trend in the United States for corporations to switch from in house medical departments to outside contract organisations for OHSs.⁹⁵ They found the cost for the same services of an in house department at a large oil refinery to be 42% less than that of outside providers (other benefits

Table 6 Summary of evaluation of occupational health service or occupational health service instruments

	Input	Process	Output	Outcome
Occupational health service in general	Guidotti and Kuetzing ⁵² - Brandt-Rauf <i>et al</i> ⁵³ - Pransky ⁵⁴ - Isah <i>et al</i> ⁵⁸ - Spiegel and Yassi ⁶¹ - Barron <i>et al</i> ⁶² - Plomp ⁶³ - Dryson ⁶⁴ - Ducatman <i>et al</i> ⁵⁵ ± Agius <i>et al</i> ⁵⁶ ± Wannag and Nord ⁵⁷ ± Woodall <i>et al</i> ⁵⁹ ± Pedersen and Sieber ⁶⁰ ± Ritchie and McEwen ⁶⁶ ± Williams <i>et al</i> ⁶⁷ ± Räsänen <i>et al</i> ⁴⁶ + Dryson ⁶⁹ +			Husman <i>et al</i> ⁹¹ - Wood <i>et al</i> ⁹⁰ ± Draaisma <i>et al</i> ⁹³ ± Rogers <i>et al</i> ⁹⁴ + Fitko <i>et al</i> ⁹⁵ + Weel and Slotboom ⁹⁶ + Pachman <i>et al</i> ⁹⁷ +
Occupational health consultation	Plomp ⁶⁵ - Plomp ⁷⁰ -	Agius <i>et al</i> ⁷⁴ -		
Occupational rehabilitation	Williams <i>et al</i> ⁶⁷ - Agius <i>et al</i> ⁶⁸ - Plomp ⁷⁰ ±		Agius <i>et al</i> ⁶⁸ +	
Pre-employment examination		Braddick <i>et al</i> ⁷⁵ - Evans and Aw ⁷⁶ - De Kort <i>et al</i> ⁷⁷ - Whitaker and Aw ⁷⁸ ± De Zotti <i>et al</i> ⁷⁹ + Milcovic and Macan ⁸⁰ +	De Kort <i>et al</i> ⁸⁵ -	Lowenthal ⁹⁸ -
Periodic occupational health examination/surveillance		Udasin <i>et al</i> ⁸¹ - Conway <i>et al</i> ^{82,83} ± Sugita <i>et al</i> ⁷¹ + Broersen <i>et al</i> ⁸⁴ +	Hessel and Zeiss ⁸⁶ - Rose and Bengtsson ⁸⁷ -	Conway <i>et al</i> ⁹⁰ ± Ren <i>et al</i> ¹⁰⁰ ± Sugita <i>et al</i> ⁷¹ + Broersen <i>et al</i> ⁸⁴ +
Workplace investigation or evaluation of hazards	Hulshof <i>et al</i> ⁵⁰ - Dryson ⁶⁹ +	Behrens and Müller ⁷² - Menckel ⁷³ -	Mattila ⁸⁸ + Peretz <i>et al</i> ⁸⁹ +	Kahan <i>et al</i> ¹⁰¹ +
Occupational health education	Hulshof <i>et al</i> -	Husman <i>et al</i> ⁹¹ + Porru <i>et al</i> ¹⁰² +		Porru <i>et al</i> ¹⁰² +

- = Negative result; + = positive result; ± = indefinite result or descriptive study.

not included) and therefore recommend corporations to perform similar analyses before a decision is made to switch from inside to outside OHSs, at least if a desire to reduce expenses is the objective. As well as this, Pachman *et al* assessed the hidden saving in costs of an on site medical centre in a large company; in particular absenteeism was found it to be substantial.⁹⁷ The cost effectiveness of pre-employment examination was also questioned. Lowenthal examined in a retrospective analysis of records in a group of healthcare workers, the outcome of a non-specific comprehensive pre-placement health evaluation compared with a minimal evaluation by a nurse.⁹⁸ No difference in duration of employment, reason for ending work, workers' compensation claims, and use of healthcare resources in a period of 2–4 years after the examination was found. He concluded that comprehensive pre-employment examination is not a cost effective activity. In a large nationwide survey in the United States, the Occupational Safety and Health Administration (OSHA) investigated the prevalence, purposes, and effects or benefits from (periodic) occupational medical surveillance programmes. Conway *et al* reported that most responders with an existing programme did not detect a change in outcome variables like illnesses or insurance costs as a consequence of the programme.⁹⁹ Most of the responders had, however, no procedure for evaluating the effectiveness of their medical surveillance programme. In the same journal issue, however, this OSHA study was severely criticised because of the lack of a clear definition of occupational medical surveillance, leading to misinterpretation by responders.¹⁰³ To test the statement that periodic health examination leads to an increase in use or costs of health care (in our opinion not a negative outcome measure in itself), Ren *et al* analysed rates of use of healthcare services and insurance claims of a large group of local government employees during a 6 year period after introduction of a comprehensive periodic health examination programme.¹⁰⁰ Confirmation of increasing costs and use was found, especially as a short term effect, but the authors themselves discussed distinct limitations of the study—for example, the lack of an adequate control group.

An evaluation study of an employee health education programme was conducted by Porru *et al*.¹⁰² The effects of health education in workers exposed to lead were examined before, 4 months after, and 1 year after the education programme was given by the OHSs in seven small factories. A highly significant improvement in knowledge of workers about lead poisoning and its prevention and also a decrease of PbB concentrations was found. Because during the study period no hygiene improvements or engineering changes were undertaken, the reduction of PbB seemed to be due to a change in hygienic behaviour. The authors therefore concluded that this OHS health education programme was effective.

Discussion

It is widely recognised that health services research and evaluation in OHSs should be placed high on the agenda of both researchers and practitioners in occupational health. In a Delphi study among 150 experts from OHSs, scientific research institutes, governmental and other administrative bodies, and companies in the Netherlands, design, implementation, and evaluation of control measures was ranked highest in the priority topics for research in the field of occupational health and safety.¹⁰⁴ Considering the size of the field of occupational health care, the social and economical magnitude of occupational health problems, and the growing awareness and position of health services research, the number of studies on evaluation of OHSs or OHS activities that met the (not very restrictive) inclusion criteria is remarkably limited. Moreover, the nature of many of the 52 studies included in this review is more descriptive than really evaluative. Probably, many evaluation studies remain unpublished. Cherry refers to the often prevailing lack of interest of funders of intervention programmes in evaluating effectiveness of OHSs, and the rigor of the scientific community rejecting every other approach than randomised controlled trials.¹⁰⁵

Our classification of the studies in input, process, output, and outcome may be arbitrary. A sharp border between process and outcome indicators does not always exist and some studies deal with different aspects. Input, process, and outcome are not characteristics or variables of quality but they offer a suitable approach for gaining information in the presence or absence of indicators of quality.²³ For us, in this field with heterogeneous study objectives, it helped to categorise the evaluation studies.

In general, the methodological quality of most of the reviewed studies is not high. Robust study designs were only occasionally used. Most of the studies did not have an active intervention or a quasi-experimental design, did not use control groups, and did not define standards or criteria against which the study object was evaluated. Of course, this in itself does not necessarily disqualify these studies. Also qualitative research designs and case studies may be of value in studying aspects of occupational health care as provided by OHSs. Evaluation of OHSs can (and has to) be performed at different levels. Moreover, we have considered already the fact that in evaluation of health care in daily practice, the applicability of rigorous (intervention) study designs, for different reasons, is not always possible and researchers are forced to make compromises.

When looking at the results of this literature review (table 6 summarises the findings of this review) a differentiated picture of the evidence of effectiveness of OHSs arises. The OHSs or OHS programmes in general are studied from the input perspective: how many occupational physicians work in OHSs? The drawback of these studies and the reason for the many indefinite results is that they usually remain at

the descriptive level. No criteria are used to assess the quality of the input. So, the questions such as “is the number of physicians sufficient to provide adequate care”, and “are all branches of industry provided with adequate services” usually cannot be answered. Outcome, studied as satisfaction with OHSs in general, shows a slightly positive picture. Despite this satisfaction, input in OHSs is in most studies considered to be inadequate. Evaluation of the effectiveness of OHS activities and implementation of adequate measures may change this lack of adequate input.

It is striking to see that occupational health consultations and occupational rehabilitation are hardly studied. In sharp contrast with the extensive time spent on consultation by occupational physicians in most countries, the process remains more or less a “black box” and its outcome is hardly known. Moreover, the few studies that are conducted on this tend to be negative on input and process quality.

By contrast, the pre-employment examination has been well studied. Most of the studies give a negative result on process quality as well as on outcome. Only in specific circumstances may the pre-employment examination be useful—such as for the prevention of occupational asthma in certain occupational groups.⁷⁹ However, even in this specific disorder, this can be questioned. In 1982, Cockroft *et al* concluded from a study among laboratory animal workers that pre-employment allergy screening would not substantially reduce the problem of occupational allergy in this group.¹⁰⁶ More recently, de Kort and van Dijk made a calculation based on the validity characteristics of the tests to be used and the available epidemiological data on risk factors relative to the adverse outcome to be prevented, and estimated the effectiveness of pre-employment examination for this disorder to be low.¹⁰⁷ This increasing amount of evidence of lack of effectiveness and efficiency of the pre-employment examination should lead to its general abandonment as a means of selecting personnel by OHSs.

Also, some positive findings emerge from this review. There is some evidence that periodic health monitoring or surveillance, especially when directed to specific occupational exposures, can be carried out with reasonable process quality. Whether this leads to a favourable outcome cannot be inferred from the studies included in this review. In a small scale evaluation of a periodic occupational health examination programme of one OHS in The Netherlands, most of the participating employees were positive about the process quality of the programme, but only 20% noticed a clear improvement in working conditions as a positive result of the programme.¹⁰⁸ Although based on only a few studies, positive results were reported on process and outcome of education on occupational health hazards.^{91 92 102} The identification and evaluation of occupational health hazards by a workplace survey can be done with a perceived high output quality, which, however, does not guarantee a favourable outcome.

RECOMMENDATIONS

There is still much left to be studied more thoroughly in studies that evaluate OHSs. In research programmes, much more effort should be directed at the scientific evaluation of the occupational health consultation and rehabilitation activities of occupational physicians. In this field it is important to use or develop an explicit theoretical basis for such studies. A clear theory on which activity or intervention could work best can help researchers design studies that provide more interpretable and generalisable results. These activities lend themselves quite well to rigorous study designs of methods—such as the randomised controlled trial. A recent example of such a trial is the study by van der Weide *et al* on the quality of occupational rehabilitation by occupational physicians for low back pain.¹⁰⁹ Studying outcome and process quality of the consultation and rehabilitation activities could give clues for immediate improvement. However, this type of study requires the construction and implementation of professional guidelines with which the usual input and process can be compared. To date we know of few professional guidelines for and process evaluation of OHS activities.

In OHSs activities directed to groups—for example, an educational programme—randomisation at an individual level is not possible. This problem may be solved with a quasi-experimental approach and assigning plants or departments to an experimental and a control group of OHSs. We have recently used this design in evaluating an OHSs prevention programme on the effects of whole body vibration.¹¹⁰

Much work still remains to be done. More research is needed on demands and needs, policy and practice development, aspects of input, process and output (and their interrelations), and efficacy and effectiveness of OHSs in terms of benefits and harms of interventions. A theoretical framework for evaluation of OHSs should be discussed and further developed, in particular on occupational medical consultation and rehabilitation. Researchers and practitioners should collaborate to work on appropriate ways to monitor and evaluate performance and quality of OHSs in practice. The use of OHS databases for evaluation of effect should be encouraged, and easily measurable outcome measures are needed for small scale evaluation by OHSs themselves. There is a need for new and better performance indicators.¹¹¹ In another paper, we have described the development and evaluation of a quality assessment instrument for occupational physicians.¹¹² Such methods can be used for both single evaluations and for a continuing process of improving occupational health care. In the quest for evidence-based occupational health care more and better research on effectiveness of OHSs is needed but also non-experimental activities—such as quality assurance or guideline implementation—should be guided by scientific principles. Researchers must be encouraged to publish the results internationally. Occupational medical journals

should consider measures to facilitate publications on this topic.

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