ORIGINAL ARTICLE

What is responsible for the carcinogenicity of PM_{2.5}?

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Aims: To test whether exposure to known chemical carcinogens in the atmosphere is capable of explaining the association between concentrations of PM_{2.5} and lung cancer mortality observed in the extended ACS Cohort Study.¹

Methods: Taking account of possible cancer latency periods, lung cancer rates due to exposure to As, Cr(VI), Ni, and polycyclic aromatic hydrocarbons (PAHs) were calculated based on a review of historic measurements from the United States and the use of unit risk factors. The predicted rates were compared with rates of cancer attributable to PM_{2.5} derived from data in the ACS study.¹

Results: Despite many uncertainties, the lung cancer rates predicted due to exposure to US urban concentrations of the carcinogenic substances arsenic, nickel, chromium, and PAHs measured in 1960 and earlier (and hence allowing for a latency period) were within the range predicted on the basis of the ACS Cohort Study due to exposure of PM_{2.5}. There are, however, many caveats, most particularly that for the chemical carcinogens to be responsible for the effects attributed to PM_{2.5} by Pope and colleagues,¹ the concentrations of chemical carcinogens at the time of relevant exposures would need to be correlated with the concentrations of PM_{2.5} in US urban areas measured between 1979 and 2000 and used in the ACS study.

Conclusions: While many uncertainties remain, it appears plausible that known chemical carcinogens are responsible for the lung cancers attributed to PM_{2.5} exposure in the extended ACS Cohort Study. However, the possibility should not be ruled out that particulate matter is capable of causing lung cancer independent of the presence of known carcinogens.

There has long been evidence of higher lung cancer rates in urban than rural areas, especially among non-smokers. For example, the Nashville Air Pollution Study^{2,3} investigated the influence of social class and air pollution levels on the rates of various cancers, finding that of the four pollutants studied for their association with mortality from cancer (sulphur trioxide, soiling, dust fall, and sulphur dioxide), the most consistent pattern was for suspended particulate matter as measured by the soiling index. They noted that at that time no complete explanation was available for that observation. While the pollution monitoring methods were not specified in the published paper, soiling indices are generally determined from the blackness of filters used to collect airborne particulate matter⁴ and relate most typically to the airborne concentration of fine combustion particles, nowadays referred to as coefficient of haze or black smoke.⁵ In a study in the United Kingdom, Stocks and Campbell⁶ determined lung cancer death rates from mid-1952 to mid-1954 by age, smoking category, and population area divided according to rural, mixed, or urban character. A substantial increase in lung cancer rates was reported for urban areas, most pronounced among the non-smokers.^{6,7} While such studies generally controlled for smoking prevalence, control for socioeconomic confounding and occupation related to place of residence was poor.

In the recently published extension to the American Cancer Society Study¹ it was reported that fine particulate and sulphur oxide related pollution was associated with all cause lung cancer and cardiopulmonary mortality. Each 10 µg m⁻³ increase in fine particulate air pollution (PM_{2.5}) was associated with approximately a 4%, 6%, and 8% increase in all cause, cardiopulmonary, and lung cancer mortality respectively. The study was a prospective study involving recruitment of approximately 1.2 million adult subjects commencing in 1982. Enrolment was restricted to persons aged 30 years or older who were assessed for individual risk

and lifestyle factors including age, sex, weight, height, smoking history, alcohol use, occupational exposures, diet, education, marital status, and other characteristics. Vital status of study participants was ascertained at various points up to December 1998. Deaths were recorded according to cause of death. Each participant was assigned a metropolitan area of residence based on address at the time of enrolment, and death rates in the various metropolitan areas were analysed according to pollution indices including various measures of particulate matter (PM_{2.5}, PM₁₀, PM₁₅, PM_{15-2.5}, TSP, and sulphate) and gases (sulphur dioxide, nitrogen dioxide, carbon monoxide, and ozone). Analysis of the results indicated a statistically significant association between the concentration of PM_{2.5} and all cause, cardiopulmonary, and lung cancer mortality. The relative risk of lung cancer mortality associated with a 10 µg m⁻³ change in PM_{2.5} was 8% using PM_{2.5} concentration data from 1979 to 1983, 13% using PM_{2.5} data collected from 1999 to 2000, and 14% when an average of the two sets of PM_{2.5} data was used. Unfortunately, PM_{2.5} data were not collected in the intervening period between 1983 and 1999, although a fairly consistent downward trend in PM_{2.5} concentrations was observed for the various metropolitan areas. The possibility that the results are explained by socioeconomic confounding is extremely low given the detailed individual level information included in the statistical analysis.

While the ACS study paper¹ provides a very detailed assessment of the risk factors for mortality in relation to pollutant exposure, it does not consider what causal agent is responsible for the association between mortality and PM_{2.5} exposure. In particular, the important question of whether the apparent carcinogenicity of PM_{2.5} is explicable in terms of its content of chemical carcinogens is not addressed, and it is questionable whether adequate data exist to make an assessment directly. If the concentrations of known chemical carcinogens were shown to be insufficient to account for the

Main messages

- Allowing for a latency period of 20 years or more, concentrations of known chemical carcinogens in the atmosphere may be able to account for the carcinogenic effects of PM_{2.5} exposure as quantified in the American Cancer Society Study.
- If known chemical carcinogens (PAH, Cr, Ni, and As) are responsible, their concentrations would need to have correlated with the PM_{2.5} concentrations of different cities. This has not been tested.
- It remains a possibility that PM_{2.5} may possess carcinogenic properties beyond those of the known chemical carcinogens which it contains.

demonstrated level of carcinogenicity of PM_{2.5}, then it might have to be inferred that the particulate state of matter confers carcinogenicity on air pollutants irrespective of the presence of chemicals known to be capable of inducing lung cancer, or that other, hitherto unrecognised chemical carcinogens are present.

METHODOLOGY AND RESULTS

As noted above, the volunteers in the extended American Cancer Society Study were enrolled in 1982 and followed up until 1998. The data analysis¹ uses air quality data for PM_{2.5} for periods between 1979 to 1983, and between 1999 to 2000. It therefore analyses lung cancer rates in relation to contemporary concentrations of PM_{2.5} and other pollutants. Given that data from studies of cigarette smokers and lung cancer indicate a substantial latency period between commencement of exposure and expression of the cancer, it is reasonable to assume that this might apply also to air pollutant exposure and lung cancer, and if a 20 year latency period is assumed, relevant air pollutant exposures probably occurred between 1962 and 1978. We have therefore reviewed concentrations of known carcinogens in airborne particulate matter measured in US cities between the 1950s and 1990s. The data up to the late 1970s appear in fig 1 for concentrations of benzo(a)pyrene (B(a)P), fig 2 for concentrations of arsenic, fig 3 for concentrations of chromium, and fig 4 for concentrations of airborne nickel. All graphs indicate that concentrations of these carcinogenic substances fell substantially between the early measurements in the 1950s and concentrations measured in the 1970s. Concentrations have fallen further in the intervening period, but not as steeply as during that period of substantial clean up. Typical concentrations from the review of published data for the years 1960, 1970, 1980, and 1990 appear in table 1.

In its Air Quality Guidelines for Europe,⁸ the World Health Organisation publishes unit risk factors for carcinogenic air pollutants. These factors are derived from occupational epidemiology assuming the absence of a threshold and a linear relation between attributable cancer incidence and the exposure to a chemical carcinogen. According to the World Health Organisation,⁸ the unit risk factors represent upper limit values for cancer risk. The WHO assessment indicates a lung cancer risk to be associated with the following substances: acrylonitrile, arsenic, chromium(VI), nickel compounds, polycyclic aromatic hydrocarbons (PAHs), refractory ceramic fibres, and trichloroethylene. Both acrylonitrile and trichloroethylene are industrial chemicals which have rather localised use and are not widespread urban pollutants. They are not monitored routinely in the outside atmosphere and any increases in atmospheric concentrations are likely to be

Policy implications

- In order to further reduce the carcinogenicity of ambient air revealed in the results of the American Cancer Society study, it may be necessary to abate airborne concentrations of PM_{2.5} as well as those of the chemical carcinogens which it contains.

relatively localised to the point of use. They have relatively low unit risks associated and have consequently been discounted as likely to be associated significantly with lung cancer in the general population. Similarly, refractory ceramic fibres are restricted primarily to the industrial environment and the risk to the general public is considered very low. Asbestos has been used far more widely, and at one time was used in brake pads. Measurements in the outdoor atmosphere are relatively few and tend to show very low concentrations. The lung cancer risk from environmental exposure to asbestos is considered to be very low.⁹ Having therefore excluded from consideration acrylonitrile, asbestos, refractory ceramic fibres, and trichloroethylene, the remaining lung carcinogens of potential importance are arsenic, chromium(VI), nickel compounds, and polycyclic aromatic hydrocarbons. It cannot be concluded with certainty that these are the only substances of significance, but they are the chemicals judged most likely to be responsible for lung cancer in the general population as a result of air pollution exposure. If chemical carcinogens present in the vapour phase were to correlate in concentration with airborne particles, they might explain an apparent carcinogenicity of PM_{2.5}. However, the major non-particulate chemical carcinogens common in urban air—that is, benzene and 1,3-butadiene are associated primarily with leukaemia and are unlikely to be a significant cause of lung cancer. Polycyclic aromatic hydrocarbons are known to partition between the particulate and vapour phases,¹⁰ but the carcinogenic activity is known to lie almost wholly in the particle phase. The occupational cancer studies from which the unit risk factor for PAH has been derived are based on measurements of particulate benzo(a)-pyrene as a marker for the mixture and implicitly allow for vapour phase PAH. While chromium and nickel both have compounds which can exist as vapours under atmospheric conditions (for example, nickel carbonyl), there is no evidence for their widespread presence in the atmosphere and the normal assumption is that both nickel and chromium in the atmosphere are present solely in a particle associated form.

The WHO unit risk factors have been combined with concentrations of airborne carcinogens typical of US cities in the various years examined to predict annual cancer rates. An example of the calculation appears in table 2. It should be noted that for chromium it is only the chromium(VI) form of the element which is carcinogenic, and in the absence of speciated data for chromium, it is assumed that all chromium measured in ambient air is in the carcinogenic Cr(VI) form, which will overestimate the cancer risk if less than 100% of Cr is in this form. If the carcinogenic effects are assumed additive and the results of these calculations are summed, the total lung cancer rate due to chemical carcinogens which appear in table 3 are estimated. These decrease very substantially between 1960 and 1990.

Using lung cancer (ICD9 code 162) mortality rates as in Pope and colleagues¹ for the United States derived from the Centres for Disease Control¹¹ for 1980 and 1990 and the relative risks of lung cancer associated with PM_{2.5} exposure and average PM_{2.5} concentrations reported by Pope and

Table 1 Typical United States urban concentration of chemical carcinogens (ng m⁻³)

Pollutant	Year			
	1960	1970	1980	1990
PAH (as B(a)P)	20	4	1	0.5
Cr (all valence states)	40	10	6	4
Ni	100	60	20	10
As	25	12	5	3

benzo(a)pyrene ($r^2 = 0.192$, $n = 99$) concentrations. Unfortunately, measurements of PM_{2.5} were not made as part of that study. A study which examined relations between collocated annual PM₁₀ and fine particle measurements²² showed highly variable ratios, and hence the two measurements are likely to be poorly correlated in a cross sectional sense. The results of the ACS Study¹ show no significant effect of particle fractions (including PM₁₀ and TSP) other than PM_{2.5} on lung cancer mortality. Given that the majority of the carcinogenic trace elements and polycyclic aromatic hydrocarbons would be concentrated in the finer particles (see below), it may well be that the correlation between these species and PM_{2.5} was much stronger than that with TSP, which can contain substantial coarser dust material also. Given the cancer latency period, it would be necessary for chemical carcinogen concentrations in 1960–80 to correlate with PM_{2.5} measured 20 years later cross sectionally across the cities. This is probably untestable due to a lack of adequate data.

For PM_{2.5} to be markedly carcinogenic relative to other fractions, it would be likely that the carcinogenic components would be focused in this size fraction. The evidence is that this is the case. With regards to PAHs in the UK, 95% of particulate phase PAH sampled in London was found to be associated with aerosols less than 3.3 μm in diameter, while during winter up to 82% was less than 1.1 μm .^{23–24} In the USA,²⁵ there are indications of the existence of a unimodal distribution for particulate phase PAHs, the peak being between 0.1 and 1 μm . The same study identified 75% of B(a)P to be associated with particles <0.26 μm . Ambient air in Los Angeles has been found to have bimodal PAH distributions with peaks in the 0.05–0.12 and 0.5–1.0 μm size ranges.²⁶

At an urban location in Birmingham (UK), Ni, Cr, and As were found to be present mostly in the fine fraction of particulate matter (<2.1 μm).²⁷ At a nearby site,²⁸ it was observed that the lower accumulation mode contained the largest mass concentration of Ni. Davison and colleagues²⁹ reported that concentrations of toxic elements in fly ash from coal fired power stations increased markedly with decreasing particle size. With regard to the size distribution of metals components in US urban air, Lee and colleagues^{30–31} found that the mass median diameter of Cr was between 1.5 and 1.9 μm and that of Ni between 1.2 and 1.7 μm in the urban air of Ohio. Source apportionment studies undertaken throughout cities in the USA,^{32–33} have observed trace metals to be concentrated in the fine size fraction (fine fraction comprised <2.5 μm in the definitive “quail roost” source apportionment studies).

A point examined in detail by the Expert Panel on Air Quality Standards in the United Kingdom³⁴ was whether benzo(a)pyrene represented a similar proportion of the carcinogenic potency of PAH in ambient air samples as in the epidemiological studies associating PAH exposure with lung cancer, which used benzo(a)pyrene as a marker of the

Table 2 Predicted cancer rates based on 1960 exposures to chemical carcinogens

Pollutant	Conc. (ng m ⁻³)	Unit risk factor (ng m ⁻³) ⁻¹	Annual cancer rate (per 10 ⁵ pop ^m)
PAH (as B(a)P)	20	8.7×10^{-5}	2.49
Cr(VI)	40*	4.0×10^{-5}	2.29
Ni	100	3.8×10^{-7}	0.05
As	25	1.5×10^{-6}	0.05
		Total	4.88

*Overestimate as it includes all valence states of Cr.

whole PAH mixture. By recalculating the sum of the potential carcinogenic contributions (that is, product of concentration and relative potency)³⁴ of six individual PAHs measured in ambient air compared with that of B(a)P alone (which served as a reference compound), we found that benzo(a)pyrene represented 60–78% of the carcinogenic potency of the PAH mixture for US sites in the mid 1970s and early 1980s. This is broadly consistent with the relative contribution of B(a)P to the carcinogenicity of PAH in London, and in the atmosphere of an aluminium smelter from which occupational epidemiology data were used by the Expert Panel on Air Quality Standards to set an air quality standard.³⁴ We therefore believe that changes in the composition of the mixture of PAH in ambient air are unlikely to have invalidated the use of benzo(a)pyrene as a marker and estimator of carcinogenicity.

The above analysis assumes that the various carcinogens act wholly independently of one another and do not depend on co-carcinogens whose concentrations may have also varied over the time period of study. This is probably a fair assumption for PAH and Cr(VI), which are generally believed to be primary (complete) genotoxic carcinogens, and represent the bulk of the carcinogenic activity in the airborne particles.

It seems likely, although very far from certain that the chemical carcinogen content of air in US cities in around 1960 would be sufficient to explain the increase in lung cancer mortality associated with PM_{2.5} concentrations some 20–40 years later. However, the question still arises as to whether particulate matter irrespective of its known chemical carcinogens may be responsible for causing lung cancer. Evidence from groups occupationally exposed to particulate matter indicates that a range of particulate material, including hardwood dust and welding fume, may cause nasal and lung cancer respectively. In the case of welding fume, known chemical carcinogens (for example, Cr) may be present. Studies of workers exposed to diesel exhaust have revealed carcinogenicity,³⁵ but the specific agents responsible have not been identified.

Studies on rats have repeatedly shown that chronic inhalation of high concentrations of particles, including particles of low toxicity, results in lung tumour formation. The likely mechanism behind such effects is that poorly soluble particles (PSP) overload the rat lung, which results in

Table 3 Total predicted lung cancers due to chemical carcinogens per 10⁵ population by year

Year	Cancers (per 10 ⁵ population)
1960	4.88
1970	1.13
1980	0.48
1990	0.29

impaired alveolar clearance and subsequently chronic inflammation.^{36–38} It is the inflammatory response which is thought to play a key role in PSP induced lung tumours. Interestingly, while studies with rats show a statistically significant increase in the incidence of lung tumours under conditions of overload, similar results have not been seen in mice or hamsters. This inter-species difference between the rat and other rodent species implies that the possibility that humans will exhibit a different response to particle overload cannot be discounted.³⁶

Particle overload has not been reported in human epidemiological studies, and human exposure to particles seldom results in overload. While occupational exposure to coal dust is clearly associated with increased risk of non-malignant effects in the lung, there is currently no convincing evidence of a statistically significant risk of lung cancer,³⁶ although the particle size is relatively coarse and the surface area per unit mass consequently low. However, it is unlikely that coal dust exposure results in particle overload per se. The lack of any evidence of overload in humans suggests that any cancer effect associated with particles is very unlikely to be the result of a particle overload type mechanism. Current evidence suggests that at lower doses where overload and chronic inflammation does not occur, PSP exposures do not make a significant contribution to human lung cancer risk.^{36–38} However, individuals with pre-existing diseases, such as those with chronic obstructive pulmonary disease or asthma, may already be exhibiting oxidative stress which may make them more susceptible to the effects of particle induced inflammation,³⁷ perhaps at concentrations below overload levels.

Some particles may contain significant amounts of organic compounds or metals that could contribute to the overall carcinogenicity of the particle. Studies with rats on the overload effect show that tumours are initiated whether the particle is of low toxicity or contains carcinogens such as PAH. It has been suggested that the effect from these carcinogens is very low if not undetectable.³⁸ At environmental concentrations (that is, below overload levels), it is likely that the composition of the particle plays a increasingly important role in possible particle induced cancers.

A number of recent papers have shown that particulate matter can induce oxidative DNA damage which is believed to be due to the generation of hydroxyl radicals by Fenton chemistry of trace metals contained within the particles.³⁹ Recent work⁴⁰ has shown that in vitro particulate matter has the ability to cause specific DNA adducts that form through oxidative stress pathways. It is reported that the pathway from DNA adducts to accumulations of mutations culminating in transformation to a cancer cell is already well documented.⁴¹ There was no direct relation between the levels of single transition metals in the samples used in the above study⁴⁰ and their ability to cause the DNA adducts, suggesting that factors other than metals might be involved in generating hydroxyl radical.⁴¹ The study did however show a greater effect overall with coarse fraction particles, although Donaldson comments that small particles aggregate and filter derived samples are not necessarily recovered in the same size fractions as they were present in the air.⁴¹ Clearly, there are substantial mechanistic uncertainties and the mechanisms by which the DNA adducts were formed are currently unclear. However, there may be mechanisms by which particles, irrespective of their content of known carcinogens are able to cause molecular changes leading to cancer.

Using a broadly similar approach to our own, Rösli and colleagues⁴² have compared estimates of lung cancer risk derived from coefficients based on particulate matter mass concentration from the American cohort studies, including the ACS study,¹ and the summed application of unit risk factors for a range of chemical carcinogens. The risk estimate

derived from the former approach far exceeds that resulting from the application of unit risk factors. In both cases, however, contemporary air quality data were used, and no account was taken of cancer latency periods and changing levels of air pollution.⁴²

Conclusions

The extended ACS cohort study¹ gives an apparently unequivocal message that long term exposure to PM_{2.5} particulate matter leads to increased mortality due to lung cancer. Identifying the agent or agents responsible for carcinogenesis due to PM_{2.5} exposure has proved very difficult for a number of reasons, including the latency periods associated with lung cancer and hence the key period in relation to exposure to chemical carcinogens. If a latency period of at least 20 years is assumed, it is quite plausible that the known chemical carcinogens in urban air associated with particles may account for the observed carcinogenicity of PM_{2.5}. However, the broad agreement between the cancer rates predicted from the unit risk factors and those observed in the ACS study in no way demonstrates causality. A key issue which is untestable in relation to the historic exposures is whether in a cross sectional analysis, airborne concentrations of chemical carcinogens in US cities at the key time for exposure correlated with the PM_{2.5} concentrations measured in the later years used to establish exposure-response relations in the ACS study. Evidence from occupational exposures to particulate matter and toxicological studies of the mechanisms of particle interactions with the lung suggest that there may be some plausibility in the concept of particulate matter irrespective of its content of chemical carcinogens being carcinogenic in its own right.

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