Ergonomic stressors and upper extremity musculoskeletal disorders in automobile manufacturing: a one year follow up study

L Punnett, J Gold, J N Katz, R Gore, D H Wegman

Aims: To estimate the one year cumulative incidence and persistence of upper extremity (UE) soft tissue disorders, in a fixed cohort of automotive manufacturing workers, and to quantify their associations with ergonomic exposures.

Methods: At baseline and at follow up, cases of UE musculoskeletal disorders were determined by interviewer administered questionnaire and standardised physical examination of the upper extremities. The interview obtained new data on psychosocial strain and updated the medical and work histories. An index of exposure to ergonomic stressors, obtained at baseline interview, was the primary independent variable. Cumulative incidence and persistence of UE disorders (defined both by symptoms and by physical examination plus symptoms) were analysed in relation to baseline ergonomic exposures, adjusting for other covariates. The incidence of new disorders was modelled using multivariate proportional hazards regression among workers who were not cases in the first year and the prevalence on both occasions was modelled by repeated measures analysis.

Results: A total of 820 workers (69% of eligible cohort members) was examined. Follow up varied slightly by department group but not by baseline exposure level or other characteristics. Among the non-cases at baseline, the cumulative incidence of UE disorders was 14% by symptoms and 12% by symptoms plus examination findings. These rates increased with index of physical exposures primarily among subjects who had the same jobs at follow up as at baseline. Increased exposure during follow up increased risk of incidence. The persistence of UE disorders from baseline to follow up examination was nearly 60% and somewhat associated with baseline exposure score.

Conclusions: These longitudinal results confirm the previous cross sectional associations of UE musculoskeletal disorders with exposure to combined ergonomic stressors. The exposure-response relation was similar for incident cases defined by symptoms alone and those confirmed by physical examination.

The epidemiological literature on musculoskeletal disorders (MSDs) in relation to occupational exposures consists primarily of cross sectional rather than prospective studies. Until recently there have been few longitudinal studies of occupational risk factors for upper extremity MSDs, and many of those addressed psychosocial stressors exclusively. The cross sectional literature documents numerous associations with physical exposures, but methodological concerns about these studies include the temporal relation of exposure to outcomes; information bias, especially when symptoms and exposures are collected simultaneously by self report (common instrument bias); over-representation of long duration cases (length biased sampling); and under-estimation of effect due to potential selection bias (“healthy worker effect”). Further, knowledge remains sparse as to the factors that predict recovery or persistence among workers who continue in their jobs after onset of a musculoskeletal disorder.

In a cross sectional study of ergonomic stressors and upper extremity musculoskeletal disorders (UE MSDs) in automotive manufacturing, 1314 workers had been surveyed in selected production areas of one engine plant and one stamping plant. Upper extremity, shoulder, and wrist/hand disorders were all associated with exposure to physical ergonomic stressors in the subjects’ usual jobs. A follow up survey was conducted about one year later. The primary objective was to examine whether the same occupational ergonomic exposures associated with UE disorders cross sectionally were also predictors of occurrence of UE disorders among previous non-cases and of MSD persistence among baseline cases, thus resolving the temporal direction of the previously reported associations.

SUBJECTS AND METHODS

Study population

The study was conducted at one automotive stamping plant (SP) and one automobile engine assembly plant (EP), both in Detroit, Michigan (USA). Of the 1314 workers originally surveyed, 1210 were sought for follow up. Exclusions were for poor baseline data quality or cooperation; inability to be fully examined because of prior injury (for example, amputation); and non-production work duties (for example, full time union officers). These exclusions were made blinded to both exposure and health status.

Morbidity data

Follow up interviews and examinations were carried out in 1993–94, using a similar protocol to the baseline study. All workers were interviewed and examined in work time.

Abbreviations: BMI, body mass index; EP, engine assembly plant; GEE, generalised estimating equation; JCQ, Job Content Questionnaire; MSD, musculoskeletal disorder; PE, physical examination; RR, relative risk; SP, stamping plant; UE, upper extremity
Main messages

- In this manufacturing workforce, about 10% of participants without symptoms or physical examination findings at baseline developed new disorders within a period of about one year.

Written informed consent was obtained at the beginning of each examination. The study protocol was approved by the Institutional Review Board of the University of Massachusetts Lowell.

A standardised, interviewer administered questionnaire obtained demographic and personal characteristics such as height, weight, prior acute injuries, surgery, relevant systemic diseases, alcohol intake, tobacco use, sports, and hobbies. Subjects reporting musculoskeletal symptoms in the year preceding the interview were asked additional questions such as year of onset, symptom frequency, and duration within the past year.

A structured physical examination consisted of active range of motion manoeuvres, to screen for discontent and restriction of motion; abnormal findings triggered corresponding passive range of motion manoeuvres. The examination also included selected resisted motions, Phalen’s test for carpal tunnel syndrome, and Finkelstein’s test for de Quervain’s disease. The examiner was blinded to questionnaire responses and the interviewer to examination findings. Both staff members were blinded to the baseline associations and the procedure for constructing the exposure score from questionnaire responses.

Two case definitions were applied, each of which required a report of upper extremity musculoskeletal pain or discomfort within the past year. The “symptom case” required symptoms on at least 12 occasions or for at least one week within the past year, while the “physical examination (PE) case” required symptoms during the past year plus one or more findings on examination of the same body part. Both at baseline and at follow up, a subject who met a case definition at one or more locations (neck, shoulder/upper arm, elbow/forearm, wrist/hand) was considered to have an upper extremity disorder. Because of statistical power considerations, most analyses were conducted for cases at all UE regions combined.

Occupational exposures

Baseline questionnaire data had included ratings of the physical features of subjects’ usual jobs. Eight psychophysical items covered intensity of exposure to non-neutral postures, work pace, vibration, manual forces to handle tools and parts, and mechanical pressure concentrations from hand held tools in the subject’s usual job. The Borg CR-10 scale was used to grade subjects’ responses, with instructions from the interviewer to interpret the scale anchors in terms that were relevant to the specific exposure dimension. Each measure was re-scored from the original 10 point scale to a 0–3 scale. Relevant to the specific exposure dimension. Each measure divided into equal width quartiles (0–6, 7–12, 13–18, and 19–25).

In the follow up interview the posture intensity ratings were replaced with posture frequencies for better correspondence with observed postural frequencies. The five items that were comparable between the two surveys were summed and each individual’s difference in score was calculated from baseline to follow up. Subjects were asked whether or not their job assignments had changed and whether there had been any changes in the content of their job. The follow up instrument also contained the Job Content Questionnaire (JCQ) core items for assessment of the psychosocial work environment. Standard JCQ algorithms were used to compute job demand (five variables) and job control (nine variables); these were dichotomised at the mean values for the US male working population (30.67 for job demands and 65.92 for job control).

Statistical analysis

All statistical analyses were performed with the SAS software package for personal computer. One-year cumulative incidence, for each case type, was computed among the baseline non-cases. Persistence of UE MSD from baseline to follow up was defined as the probability that a worker with any upper extremity disorder at baseline also had a disorder of any region of the upper extremity at follow up.

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RESULTS

Thirty hourly workers sought for follow up were deceased, retired, transferred out of the study plants, or changed from hourly to salaried status during the follow up interval. Of the remaining 1180 eligible for follow up, 820 (69%) were examined. The follow up interview and examination took

Policy implications

- Reducing occupational ergonomic exposures such as repetitive work, non-neutral postures, and forceful exertions would protect against both new and persisting upper extremity musculoskeletal morbidity.

In this manufacturing workforce, about 10% of participants without symptoms or physical examination findings at baseline developed new disorders within a period of about one year.
place 44 ± 6 (median 45, range 26–66) weeks after the baseline survey.

Follow-up participation was the same between the two plants and by baseline case status, seniority, and gender. There was no trend in participation by baseline exposure (table 1).

Of the 820 subjects examined at follow up, 30 were excluded because of language or comprehension difficulties or unreliable responses. The 790 remaining participants had similar demographic and work history characteristics as the study population at baseline (table 2). The workforce was largely male and middle aged, and more than half was African-American.

Most follow-up subjects (744 of 790) had provided physical exposure data at baseline. For the sum of physical exposure items asked identically on both surveys, individual between survey differences ranged from −0.7 to 0.7 but averaged about 0.0. Two thirds of participants providing exposure data on both surveys remained in the same exposure quartile, while 17% moved to a higher quartile and 16% to a lower one. Only eight subjects, all cases at baseline, reported job changes related to their MSD status.

The baseline physical ergonomic index was moderately correlated with psychological job demands (r = 0.32, p < 0.0001) at follow up but not at all with job control (p = 0.51). Among individual items, the psychophysical rating of work pace was associated with the JCQ items, "work very hard" (r = 0.26) and "work very fast" (r = 0.25). Among one fourth of the subjects were in jobs with "high" psychological demands and about one half in "low control" jobs, but only 15% (110) were in jobs with both high demands and low control. Workers with baseline physical exposures above the middle of the scale (13–25) were 2.4 times more likely to be in high strain versus low strain jobs (95% CI 1.6 to 3.7).

**Cumulative incidence**

In the follow up population, 247 (31%) had an upper extremity disorder by symptom history and 215 (28%) had an upper extremity PE disorder. As in the baseline survey, prevalences for the wrist/hand and shoulder/upper arm were about double those of the neck or elbow.

The subjects who were not symptom or PE cases at baseline were considered at risk for the corresponding "incident" disorders at follow up. Of each at-risk group, about 90% had provided baseline exposure data and about 75% reported no change in job assignment or job content at follow up.

The cumulative incidence of new disorders was 14% for symptom cases (n = 68) and 12% for PE cases (n = 62). Incidence by UE region ranged from 3% (neck) to 7% (wrist/hand).

By either case definition, frequency of new UE disorders was nearly doubled in workers with physical job exposure score of 13–25, those with high demand/low control jobs by JCQ, women, and people with high BMI. Marginal increases were observed in white workers, those who were younger

### Table 2 Baseline demographic characteristics and medical histories of automotive stamping plant and engine plant workers; total baseline cohort and subjects with reliable data in follow up survey, Detroit, MI, USA, 1993–94

<table>
<thead>
<tr>
<th>Exposure level</th>
<th>Baseline (n)</th>
<th>No. (%) at follow up</th>
</tr>
</thead>
<tbody>
<tr>
<td>Missing</td>
<td>127</td>
<td>76 (60%)</td>
</tr>
<tr>
<td>Very low [0–6]</td>
<td>116</td>
<td>76 (66%)</td>
</tr>
<tr>
<td>Low [7–12]</td>
<td>344</td>
<td>251 (73%)</td>
</tr>
<tr>
<td>Moderate [13–18]</td>
<td>468</td>
<td>336 (72%)</td>
</tr>
<tr>
<td>High [19–25]</td>
<td>125</td>
<td>61 (65%)</td>
</tr>
<tr>
<td>Total</td>
<td>1180</td>
<td>820 (69%)</td>
</tr>
</tbody>
</table>

*p value = 0.19 from χ² statistic on 3 d.o.f. test of difference in proportions among non-missing exposure levels.

### Table 1 Follow up survey of automotive stamping plant and engine plant workers, Detroit, MI, USA, 1993–94; population examined at follow up, as a proportion of eligible baseline subjects, by baseline exposure level*

<table>
<thead>
<tr>
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<th>Baseline (n)</th>
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History of diabetes mellitus, lupus, gout, thyroid, and/or kidney disease.

†Regular participation in sports, hobby, second job, or other activity every week.

‡Data not obtained at baseline.
than 40, and those with history of acute upper extremity injury or systemic disease. Incidence of new PE disorders only was higher in workers with job changes (RR = 1.7) and current smokers (RR = 1.7 versus former or never smokers). There was no association with alcohol consumption or non-work activities.

The frequency of new UE disorders increased with exposure quartile (Mantel test of trend, p = 0.03 for symptom cases and p = 0.06 for PE cases). The association with baseline exposure level was weak among participants with changed job assignment or content between baseline and follow up. However, among workers who stayed in the same jobs, there was a marked exposure-response trend in crude rates of new disorders (tests of linear trend: symptom cases, p = 0.001; PE cases, p = 0.004) (fig 1). The cumulative incidence of new upper extremity disorders, by each case definition, was more than four times higher in the highest compared with the lowest quartile of baseline ergonomic exposure. The risk ratio for PE cases from a simple Cox regression was 1.5 (1.05–2.20) per exposure quartile in this subgroup.

In multivariable regression models, the risk of new UE disorders increased by about 50% with each quartile of the physical exposure index (table 3, model 1). Similar results were obtained for the symptom-only cases. As already observed, the overall risk was higher among those with job changes at follow up but the exposure index had less predictive value for new cases among these subjects. The relative risk was estimated at 3.2 for the highest exposure quartile compared with the lowest. Inclusion of high body mass index and high demand/low control did not affect the coefficients for other covariates. In separate models, the risk increased (RR = 1.5) for workers who changed to a higher exposure quartile during follow up and decreased (RR = 0.8) for those who moved to a lower quartile, in addition to the effect of baseline exposure.

Restricting the analysis to subjects with no job changes (model 2) did not materially change the relative risks. In this subgroup, the most parsimonious model for symptom cases included only exposure quartile (RR = 1.6, 95% CI 1.1 to 2.2) and baseline PE findings (RR = 2.7, 95% CI 1.4 to 5.1). Restricting those in the same exposure quartile at both surveys (n = 302) further reduced statistical power and explained less variability (likelihood ratio test p = 0.38), suggesting that the effect of job changes could not be explained solely by changes in exposure score.

### Persistence

A total of 301 workers were symptom cases at baseline and 265 were PE cases. Again, about 90% had provided baseline exposure data and about 70% had the same job assignment and job content at follow up. Among cases who could recall date of onset, 71% had been symptomatic for more than one year at baseline (mean 1951, median 1090 days).

The overall persistence of upper extremity disorders was 59% for both symptom cases (n = 179) and PE cases (n = 147). Persistence was higher for shoulder and wrist/hand disorders (about 40–50%) than the neck or elbow (25–59%). By either case definition, it was slightly higher in workers who were 50 years old or more versus 20–39 and also in those whose jobs had not changed at follow up (all p values >0.2). Symptom cases were less likely to persist among African-American (56%) than white (68%) workers (p = 0.04) and among former smokers than either current or never smokers (p = 0.05). In contrast, never drinkers had the lowest persistence and former drinkers had the highest. All associations were less pronounced for PE cases.

Persistence was markedly lower in the lowest quartile of baseline exposure (tests of linear trend: symptom cases, p = 0.08; PE cases p = 0.13). These associations did not vary much with stratification on job change between surveys, except that the drop in the highest quartile was more pronounced in those with job changes (fig 2). No meaningful multivariate models could be fit to the persistence data for either UE case type.

### Repeated measures analysis

The magnitude of the association with ergonomic exposure index was similar for baseline prevalence, cumulative incidence of new UE disorders, and the repeated measures analysis, when the same covariates were included in the model (table 4). The cumulative incidence model had a slightly larger coefficient for gender and smaller effect of systemic disease than the other two models.

### DISCUSSION

In this fixed cohort of automotive manufacturing workers, the prevalence of upper extremity musculoskeletal disorders was similar at follow up to that found in the baseline survey of the same population. MSD cases were defined both on the basis of symptoms uniquely and also by the combination of symptoms plus physical examination findings. Although disorders by symptoms alone were slightly more frequent than disorders confirmed by physical examination, the two case types had quite similar risk factors. The cumulative incidence of new disorders was strongly associated with previously assessed level of exposure to combined ergonomic...
stressors affecting the upper extremity. Nearly 60% of subjects who had disorders at baseline were still (or again) cases in the second survey. We did not identify consistent predictors of persistence.

The incidence results generally confirmed our previous cross sectional findings. “Length biased sampling” means that a cross sectional study necessarily identifies cases of longer duration than average, which could be either more sensitive (if lower thresholds) or less sensitive (if long latency period) to recent exposures than cases of new onset. In this population, there was no evidence of such bias operating in either direction in the baseline study. The relative risk for cumulative incidence was similar to what had been estimated from the prevalence data, with a relative risk of 1.5 per quartile of the exposure index and RR = 3 for the highest quartile compared with the lowest.

The magnitude of the relative risk estimates is striking in light of the fact that all study jobs were drawn from a single, highly engineered industry. Even at baseline these subjects were already middle aged and had been employed for two decades, on average, in automobile manufacturing. Thus the population variance in exposure was necessarily reduced relative to the range of ergonomic exposures expected across all sectors of the economy.

Change in job assignment or job content appeared to confer an increased risk for development of new disorders, even when the exposure score was unchanged. This finding deserves further investigation. Only a small proportion of reported job changes were apparently accommodation of upper extremity symptoms; most were attributed to modernisation of production technology and consequent changes in work organisation. Subjects with ergonomic exposure ratings on both surveys changed very little over the follow up interval, and those that did were slightly lower on average in the new jobs, particularly among workers who had higher exposures at baseline. Persistence was lower among baseline cases whose jobs had changed—a finding more consistent with a potential benefit of the lower exposures, however small the reductions.

We find no obvious explanation for the apparent discrepancy that job change was a risk factor for incidence but a protective factor for persistence. It is possible that the risk of new disorders is influenced by psychosocial aspects of how production technology changes are implemented, although JCQ scores were the same for workers with and without job change, and psychosocial strain was included in the multivariable regression models. Alternatively, if job change was injurious, persistence may have been affected by the factors determining who did or did not remain in the most physically strenuous jobs. Also suggestive of a “healthy worker effect” was the slight decrease in persistence of UE disorders from baseline to follow up in the highest exposure stratum, in the presence of an otherwise strong exposure-response relation.

The combination of high demands with low control at follow up was associated with incidence but not persistence of UE MSDs. However, psychosocial strain was not assessed at baseline, so its equivocal associations with UE MSDs

<table>
<thead>
<tr>
<th>Model 1</th>
<th>Model 2</th>
</tr>
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<tbody>
<tr>
<td>All workers at risk (n = 459)</td>
<td>Workers with no job change during follow up period (n = 335)</td>
</tr>
<tr>
<td>Exposure index quartile (1–4)</td>
<td>1.5 (1.0 to 2.2)</td>
</tr>
<tr>
<td>Gender (female/male)</td>
<td>1.4 (0.7 to 2.7)</td>
</tr>
<tr>
<td>Upper extremity injury (yes/no)</td>
<td>1.4 (0.8 to 2.4)</td>
</tr>
<tr>
<td>Baseline physical examination findings (yes/no)</td>
<td>1.7 (1.0 to 3.0)</td>
</tr>
<tr>
<td>High demand/low control (yes/no)</td>
<td>1.5 (0.8 to 2.8)</td>
</tr>
<tr>
<td>High body mass index (&gt;26 v &lt;26)</td>
<td>1.5 (0.9 to 2.7)</td>
</tr>
<tr>
<td>Job change at follow up (yes/no)</td>
<td>4.8 (1.5 to 15.4) –</td>
</tr>
<tr>
<td>Exposure score x job change</td>
<td>0.5 (0.2 to 0.9)</td>
</tr>
<tr>
<td>Model p value from likelihood ratio test</td>
<td>0.01</td>
</tr>
</tbody>
</table>

**Figure 2** Persistence of upper extremity disorders, by quartile of baseline ergonomic exposure score and job change from baseline to follow up survey; automotive stamping and engine plants, 1993–94. (A) Symptom cases; (B) physical examination case (symptoms plus examination findings).
should be treated with caution. Further, unfortunately, the psychosocial aspects of job change during follow up could not be assessed. The substantial collinearity between physical and psychosocial job demands suggests that both dimensions are of concern; an integrated approach to prevention is justified in light of the role of work organisation features as the likely common upstream determinants.14

The physical examination manoeuvres used, to the extent that they have been evaluated, are considered lacking in specificity and sensitivity.15–17 The goal in utilising this examination protocol was not to assign a specific clinical diagnosis, but rather to require an examiner’s finding that corresponded with the symptoms. Any errors introduced would most likely have been non-differential with respect to exposure status, since the examiner was blinded to questionnaire responses. Some specificity may also have been lost by the aggregation of all UE disorders into a combined primary index of physical exposures from the GEE models. This study adds to the growing literature of prospective studies of upper extremity disorders showing that exposure to ergonomic stressors such as repetitive movements, non-neutral postures, or forceful exertions contribute to the occurrence of upper extremity MSDs in manufacturing,22–25 food processing,26–28 clerical work,29–31 health care,32 forestry,33 mixed occupations.35–38 This evidence, further strengthened by the findings reported here, suggests that positive associations reported in cross sectional studies should not be dismissed as artefacts of that study design and that, in light of the basic science evidence,3 are consistent with a causal relation.

ACKNOWLEDGEMENTS

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REFERENCES


Table 4 Relative risks (95% CI) for baseline prevalence, prevalence (repeated measures) on two surveys, and one-year cumulative incidence of UE MSD cases on physical examination, among non-cases at baseline; workers with exposure ratings on both surveys, two automobile manufacturing plants, Detroit, MI

<table>
<thead>
<tr>
<th></th>
<th>Prevalence</th>
<th>Cumulative incidence</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Baseline only (n = 714)</td>
<td>Both surveys: repeated measures (n = 1835)*</td>
</tr>
<tr>
<td>Ergonomic exposure index (quartiles)</td>
<td>1.3 (1.1 to 1.5)</td>
<td>1.3 (1.2 to 1.4)</td>
</tr>
<tr>
<td>UE injury (yes/no)</td>
<td>1.2 (0.9 to 1.6)</td>
<td>1.1 (1.0 to 1.3)</td>
</tr>
<tr>
<td>Gender (male/female)</td>
<td>1.7 (1.2 to 2.2)</td>
<td>1.6 (1.4 to 1.9)</td>
</tr>
<tr>
<td>Systemic disease (yes/no)</td>
<td>1.4 (1.1 to 1.9)</td>
<td>1.4 (1.2 to 1.6)</td>
</tr>
</tbody>
</table>

*GEE log-link model also included terms for year of survey (p = 0.81) and interaction of exposure index × year (p = 0.20).
Answers to multiple choice questions on Current concepts of irritant contact dermatitis by J S C English, on pages 722–726

(1) C
(2) A
(3) D
(4) D
(5) D
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