Ergonomic stressors and upper extremity musculoskeletal disorders in automobile manufacturing: a one year follow up study

L Punnett, J Gold, J N Katz, R Gore, D H Wegman

Aims: To estimate the one year cumulative incidence and persistence of upper extremity (UE) soft tissue disorders, in a fixed cohort of automotive manufacturing workers, and to quantify their associations with ergonomic exposures.

Methods: At baseline and at follow up, cases of UE musculoskeletal disorders were determined by interviewer administered questionnaire and standardised physical examination of the upper extremities. The interview obtained new data on psychosocial strain and updated the medical and work histories. An index of exposure to ergonomic stressors, obtained at baseline interview, was the primary independent variable. Cumulative incidence and persistence of UE disorders (defined both by symptoms and by physical examination plus symptoms) were analysed in relation to baseline ergonomic exposures, adjusting for other covariates. The incidence of new disorders was modelled using multivariate proportional hazards regression among workers who were not cases in the first year and the prevalence on both occasions was modelled by repeated measures analysis.

Results: A total of 820 workers (69% of eligible cohort members) was examined. Follow up varied slightly by department group but not by baseline exposure level or other characteristics. Among the non-cases at baseline, the cumulative incidence of UE disorders was 14% by symptoms and 12% by symptoms plus examination findings. These rates increased with index of physical exposures primarily among subjects who had the same jobs at follow up as at baseline. Increased exposure during follow up increased risk of incidence. The persistence of UE disorders from baseline to follow up examination was nearly 60% and somewhat associated with baseline exposure score.

Conclusions: These longitudinal results confirm the previous cross sectional associations of UE musculoskeletal disorders with exposure to combined ergonomic stressors. The exposure-response relation was similar for incident cases defined by symptoms alone and those confirmed by physical examination.
In this manufacturing workforce, about 10% of participants without symptoms or physical examination findings at baseline developed new disorders within a period of about one year.

Written informed consent was obtained at the beginning of each examination. The study protocol was approved by the Institutional Review Board of the University of Massachusetts Lowell.

A standardised, interviewer administered questionnaire obtained demographic and personal characteristics such as height, weight, prior acute injuries, surgery, relevant systemic diseases, alcohol intake, tobacco use, sports, and hobbies. Subjects reporting musculoskeletal symptoms in the year preceding the interview were asked additional questions such as year of onset, symptom frequency, and duration within the past year.

A structured physical examination consisted of active range of motion manoeuvres, to screen for discomfort and restriction of motion; abnormal findings triggered corresponding passive range of motion manoeuvres. The examination also included selected resisted motions, Phalen’s test for carpal tunnel syndrome, and Finkelstein’s test for de Quervain’s disease. The examiner was blinded to questionnaire responses and the interviewer to examination findings. Both staff members were blinded to the baseline associations and the procedure for constructing the exposure score from questionnaire responses.

Two case definitions were applied, each of which required a report of upper extremity musculoskeletal pain or discomfort within the past year. The “symptom case” required symptoms on at least 12 occasions or for at least one week within the past year, while the “physical examination (PE) case” required symptoms during the past year plus one or more findings on examination of the same body part. Both at baseline and at follow up, a subject who met a case definition at one or more locations (neck, shoulder/upper arm, elbow/forearm, wrist/hand) was considered to have an upper extremity disorder. Because of statistical power considerations, most analyses were conducted for cases at all UE regions combined.

**Occupational exposures**

Baseline questionnaire data had included ratings of the physical features of subjects’ usual jobs. Eight psychophysical items covered intensity of exposure to non-neutral postures, work pace, vibration, manual forces to handle tools and parts, and mechanical pressure concentrations from hand held tools in the subject’s usual job. The Borg CR-10 scale was used to grade subjects’ responses, with instructions from the interviewer to interpret the scale anchors in terms that were relevant to the specific exposure dimension. The five items that were comparable between the two surveys were summed and each individual’s difference in score was calculated from baseline to follow up. Subjects were asked whether or not their job assignments had changed and whether there had been any changes in the content of their job. The follow up instrument also contained the Job Content Questionnaire (JCQ) core items for assessment of the psychosocial work environment. Standard JCQ algorithms were used to compute job demand (five variables) and job control (nine variables); these were dichotomised at the mean values for the US male working population (30.67 for job demands and 65.92 for job control).10

**Statistical analysis**

All statistical analyses were performed with the SAS software package for personal computer. One-year cumulative incidence, for each case type, was computed among the baseline non-cases. Persistence of UE MSD from baseline to follow up was defined as the probability that a worker with any upper extremity disorder at baseline also had a disorder of any region of the upper extremity at follow up.

Since time to onset was not obtained on a prospective basis, it was treated as uniform across all subjects with new UE MSDs during the follow up period. The proportional hazard regression procedure, modified as per Breslow, was used for multivariate analysis of UE MSD cumulative incidence and persistence. A four level categorical variable was used to represent the physical exposure index, using the first quartile (0–6, “very low”) as the reference value for the “low”, “moderate”, and “high” quartiles. Covariates included: gender; age (three strata); plant (EP/SP); high seniority (25 years); high Quetelet body mass index (kg/m²) (BMI≥26); previous acute injury to the upper limb; systemic disease; and weekly participation in second jobs, sports, or other recreational activities (all yes/no). Retention of covariates was based primarily on whether or not they changed other point estimates by at least 15%, although coefficients with p values above 0.15 generally were excluded.

An alternative statistical approach was employed to avoid the assumptions implicit in the terms of “cumulative incidence” (first onset of an irreversible condition) and “persistence” (continuous presence of pain from one survey occasion to the next). Multivariate generalised estimating equation (GEE) regression for repeated measures (SAS Proc Genmod) was employed to model the prevalence of PE disorders in each survey as a function of exposure level at the same occasion, with unstructured correlations and log link to estimate relative risk. Repeated measures analysis takes into account the within subject correlation between case statuses at the two survey occasions; that is, case status at follow up was conditional on baseline case status.

**RESULTS**

Thirty hourly workers sought for follow up were deceased, retired, transferred out of the study plants, or changed from hourly to salaried status during the follow up interval. Of the remaining 1180 eligible for follow up, 820 (69%) were examined. The follow up interview and examination took

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**Main messages**

- In this manufacturing workforce, about 10% of participants without symptoms or physical examination findings at baseline developed new disorders within a period of about one year.

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**Policy implications**

- Reducing occupational ergonomic exposures such as repetitive work, non-neutral postures, and forceful exertions would protect against both new and persisting upper extremity musculoskeletal morbidity.
place 44 ± 6 (median 45, range 26–66) weeks after the baseline survey.

Follow-up participation was the same between the two plants and by baseline case status, seniority, and gender. There was no trend in participation by baseline exposure (Table 1).

Of the 820 subjects examined at follow up, 30 were excluded because of language or comprehension difficulties or unreliable responses. The 790 remaining participants had similar demographic and work history characteristics as the study population at baseline (Table 2). The workforce was largely male and middle aged, and more than half was African-American.

Most follow-up subjects (744 of 790) had provided physical exposure data at baseline. For the sum of physical exposure items asked identical on both surveys, individual between survey differences ranged from −10 to 10 but averaged about zero. More than one fourth of workers reported a change in either job assignment or job content during the follow-up period. Those who reported changed job assignments had slightly decreased exposure scores (mean −0.7 ± 3.2), in contrast to those reporting no job change (mean 0.1 ± 2.6) (p = 0.03). Two thirds of participants providing exposure data on both surveys remained in the same exposure quartile, while 17% moved to a higher quartile and 16% to a lower one. Only eight subjects, all cases at baseline, reported job changes related to their MSD status.

The baseline physical ergonomic index was moderately correlated with psychological job demands (r = 0.32, p < 0.0001) at follow up but not at all with job control (r = 0.51). Among individual items, the psychophysical rating of work pace was associated with the JCQ items, “work very hard” (r = 0.26) and “work very fast” (r = 0.25). About one fourth of the subjects were in jobs with “high” psychological demands and about one half in “low control” jobs, but only 15% (110) were in jobs with both high demands and low control. Workers with baseline physical exposures above the middle of the scale (13–25) were 2.4 times more likely to be in high strain versus low strain jobs (95% CI 1.6 to 3.7).

### Cumulative incidence

In the follow-up population, 247 (31%) had an upper extremity disorder by symptom history and 215 (28%) had an upper extremity PE disorder. As in the baseline survey, prevalences for the wrist/hand and shoulder/upper arm were about double those of the neck or elbow.

The subjects who were non-case or PE cases at baseline were considered at risk for the corresponding “incident” disorders at follow up. Of each at-risk group, about 90% had provided baseline exposure data and about 75% reported no change in job assignment or job content at follow up.

The cumulative incidence of new disorders was 14% for symptom cases (n = 68) and 12% for PE cases (n = 62). Incidence by UE region ranged from 3% (neck) to 7% (wrist/hand).

By either case definition, frequency of new UE disorders was nearly doubled in workers with physical job exposure score of 13–25, those with high demand/low control jobs by JCQ, women, and people with high BMI. Marginal increases were observed in white workers, those who were younger
than 40, and those with history of acute upper extremity injury or systemic disease. Incidence of new PE disorders only was higher in workers with job changes (RR = 1.7) and current smokers (RR = 1.7 versus former or never smokers). There was no association with alcohol consumption or non-work activities.

The frequency of new UE disorders increased with exposure quartile (Mantel test of trend, p = 0.03 for symptom cases and p = 0.06 for PE cases). The association with baseline exposure level was weak among participants with changed job assignment or content between baseline and follow up. However, among workers who stayed in the same jobs, there was a marked exposure-response trend in crude rates of new disorders (tests of linear trend: symptom cases, p = 0.001; PE cases, p = 0.004) (fig 1). The cumulative incidence of new upper extremity disorders, by each case definition, was more than four times higher in the highest compared with the lowest quartile of baseline ergonomic exposure. The risk ratio for PE cases from a simple Cox regression was 1.5 (1.05–2.20) per exposure quartile in this subgroup.

In multivariable regression models, the risk of new UE disorders increased by about 50% with each quartile of the physical exposure index (table 3, model 1). Similar results were obtained for the symptom-only cases. As already observed, the overall risk was higher among those with job changes at follow up but the exposure index had less predictive value for new cases among these subjects. The relative risk was estimated at 3.2 for the highest exposure quartile compared with the lowest. Inclusion of high body mass index and high demand/low control did not affect the coefficients for other covariates. In separate models, the risk increased (RR = 1.5) for workers who changed to a higher exposure quartile during follow up and decreased (RR = 0.8) for those who moved to a lower quartile, in addition to the effect of baseline exposure.

Restricting the analysis to subjects with no job changes (model 2) did not materially change the relative risks. In this subgroup, the most parsimonious model for symptom cases included only exposure quartile (RR = 1.6, 95% CI 1.1 to 2.2) and baseline PE findings (RR = 2.7, 95% CI 1.4 to 5.1). Restricting those to the same exposure quartile at both surveys (n = 302) further reduced statistical power and explained less variability (likelihood ratio test p = 0.38), suggesting that the effect of job changes could not be explained solely by changes in exposure score.

Persistence
A total of 301 workers were symptom cases at baseline and 265 were PE cases. Again, about 90% had provided baseline exposure data and about 70% had the same job assignment and job content at follow up. Among cases who could recall date of onset, 71% had been symptomatic for more than one year at baseline (mean 1951, median 1090 days).

The overall persistence of upper extremity disorders was 59% for both symptom cases (n = 179) and PE cases (n = 147). Persistence was higher for shoulder and wrist/hand disorders (about 40–50%) than the neck or elbow (25–35%). By either case definition, it was slightly higher in workers who were 50 years old or more versus 20–39 and also in those whose jobs had not changed at follow up (all p values >0.2). Symptom cases were less likely to persist among African-American (56%) than white (68%) workers (p = 0.04) and among former smokers than either current or never smokers (p = 0.05). In contrast, never drinkers had the lowest persistence and former drinkers had the highest. All associations were less pronounced for PE cases. Persistence was markedly lower in the lowest quartile of baseline exposure (tests of linear trend: symptom cases, p = 0.08; PE cases p = 0.13). These associations did not vary much with stratification on job change between surveys, except that the drop in the highest quartile was more pronounced in those with job changes (fig 2). No meaningful multivariate models could be fit to the persistence data for either UE case type.

Repeated measures analysis
The magnitude of the association with ergonomic exposure index was similar for baseline prevalence, cumulative incidence of new UE disorders, and the repeated measures analysis, when the same covariates were included in the model (table 4). The cumulative incidence model had a slightly larger coefficient for gender and smaller effect of systemic disease than the other two models.

DISCUSSION
In this fixed cohort of automotive manufacturing workers, the prevalence of upper extremity musculoskeletal disorders was similar at follow up to that found in the baseline survey of the same population. MSD cases were defined both on the basis of symptoms uniquely and also by the combination of symptoms plus physical examination findings. Although disorders by symptoms alone were slightly more frequent than disorders confirmed by physical examination, the two case types had quite similar risk factors. The cumulative incidence of new disorders was strongly associated with previously assessed level of exposure to combined ergonomic
stressors affecting the upper extremity. Nearly 60% of subjects who had disorders at baseline were still (or again) cases in the second survey. We did not identify consistent predictors of persistence. The incidence results generally confirmed our previous cross sectional findings. "Length biased sampling" means that a cross sectional study necessarily identifies cases of longer duration than average, which could be either more sensitive (if lower thresholds) or less sensitive (if long latency period) to recent exposures than cases of new onset. In this population, there was no evidence of such bias operating in either direction in the baseline study. The relative risk for cumulative incidence was similar to what had been estimated from the prevalence data, with a relative risk of 1.5 per quartile of the exposure index and RR = 3 for the highest quartile compared with the lowest.

The magnitude of the relative risk estimates is striking in light of the fact that all study jobs were drawn from a single, highly engineered industry. Even at baseline these subjects were already middle aged and had been employed for two decades, on average, in automobile manufacturing. Thus the population variance in exposure was necessarily reduced relative to the range of ergonomic exposures expected across all sectors of the economy.

Change in job assignment or job content appeared to confer an increased risk for development of new disorders, even when the exposure score was unchanged. This finding deserves further investigation. Only a small proportion of reported job changes were apparently accommodation of upper extremity symptoms; most were attributed to modernisation of production technology and consequent changes in work organisation. Subjects with ergonomic exposure ratings on both surveys changed very little over the follow up interval, and those that did were slightly lower on average in the new jobs, particularly among workers who had higher exposures at baseline. Persistence was lower among baseline cases whose jobs had changed—a finding more consistent with a potential benefit of the lower exposures, however small the reductions.

We find no obvious explanation for the apparent discrepancy that job change was a risk factor for incidence but a protective factor for persistence. It is possible that the risk of new disorders is influenced by psychosocial aspects of how production technology changes are implemented, although JCQ scores were the same for workers with and without job change, and psychosocial strain was included in the multivariable regression models. Alternatively, if job change was injurious, persistence may have been affected by the factors determining who did or did not remain in the most physically strenuous jobs. Also suggestive of a "healthy worker effect" was the slight decrease in persistence of UE disorders from baseline to follow up in the highest exposure stratum, in the presence of an otherwise strong exposure-response relation.

The combination of high demands with low control at follow up was associated with incidence but not persistence of UE MSDs. However, psychosocial strain was not assessed at baseline, so its equivocal associations with UE MSDs

| Table 3 Cumulative incidence of upper extremity disorders on physical examination; risk ratios (95% CI) from multivariate Cox regression models; automotive stamping and engine plant workers, Detroit, MI, 1993–94 |
|---------------------------------|---------------------------------|
| Exposure index quartile (1–4)  | 1.5 (1.0 to 2.2)                |
| Gender (female/male)           | 1.4 (0.7 to 2.7)                |
| Upper extremity injury (yes/no)| 1.4 (0.8 to 2.4)                |
| Baseline physical examination findings (yes/no)| 1.7 (1.0 to 3.0) |
| High demand/low control (yes/no)| 1.5 (0.8 to 2.8)                |
| High body mass index (>26 v <26)| 1.5 (0.9 to 2.7)                |
| Job change at follow up (yes/no)| 4.8 (1.5 to 15.4)               |
| Exposure score x job change    | 0.5 (0.2 to 0.9)                |
| Model p value from likelihood ratio test | 0.01 | 0.02 |

Figure 2 Persistence of upper extremity disorders, by quartile of baseline ergonomic exposure score and job change from baseline to follow up survey; automotive stamping and engine plants, 1993–94. (A) Symptom cases; (B) physical examination case (symptoms plus examination findings).
should be treated with caution. Further, unfortunately, the psychosocial aspects of job change during follow up could not be assessed. The substantial collinearity between physical and psychosocial job demands suggests that both dimensions are of concern; an integrated approach to prevention is justified in light of the role of work organisation features as the likely common upstream determinants.14

The physical examination manoeuvres used, to the extent that they have been evaluated, are considered lacking in specificity and sensitivity.15–17 The goal in utilising this examination protocol was not to assign a specific clinical diagnosis, but rather to require an examiner’s finding that corresponded with the symptoms. Any errors introduced would most likely have been non-differential with respect to exposure status, since the examiner was blinded to questionnaire responses. Some specificity may also have been lost by the aggregation of all UE disorders into a combined endpoint. Of note, subjects with baseline physical examination findings but no important symptoms in the same UE region had increased risk of being cases at follow up, suggesting that some manoeuvres might have more accuracy or predictive value than others have shown.

Misclassification of ergonomic exposures, either differential or non-differential, could result from inaccurate assessment by worker self report.18–20 However, in this study, the primary index of physical exposures was obtained prospectively, making it unlikely that systematic information bias could be an alternative explanation of case status about one year later.

Among confounding variables, UE MSDs were not associated with sports and other recreational activities or second jobs. Covariates that were associated with at least some endpoints included gender, prior acute injury to the upper extremity, systemic disease affecting the soft tissues, and high age and/or plant seniority. However, these risk factors exerted little confounding influence, in that the RRs estimated in multivariate analyses were not notably different from the crude estimates.

The calculation of cumulative incidence implicitly requires the assumption of first onset of an irreversible condition, and “persistence” similarly implies the continuous presence of pain from one survey occasion to the next. Nevertheless, MSDs are frequently reversible or episodic in nature. Thus, an alternative statistical approach is to analyse prevalent cases, conditional on previous case status and exposures. This approach also maximises statistical power, as all observations are included rather than only the subset of subjects who were or were not cases in the previous survey. Comparison of this approach to the traditional analysis, in this population, showed very similar exposure-response relations (table 4).

Table 4 Relative risks (95% CI) for baseline prevalence, prevalence (repeated measures) on two surveys, and one-year cumulative incidence of UE MSD cases on physical examination, among non-cases at baseline; workers with exposure ratings on both surveys, two automobile manufacturing plants, Detroit, MI

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<th>Prevalence</th>
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<th>Cumulative incidence</th>
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<tr>
<td></td>
<td>Baseline only (n = 714)</td>
<td>Both surveys: repeated measures (n = 1855)</td>
<td>Follow up survey (n = 470)</td>
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<td>Ergonomic exposure index (p = 0.81)</td>
<td>(p = 0.20)</td>
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<td>(quartiles)</td>
<td>1.3 (1.1 to 1.5)</td>
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<td>(quartiles)</td>
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*OEE log-link model also included terms for year of survey (p = 0.81) and interaction of exposure index × year (p = 0.20).

ACKNOWLEDGEMENTS

This study was funded by a contract from the United Auto Workers-Chrysler (now Daimler-Chrysler) National Joint Committee (NJC) on Health and Safety. Its performance relied heavily on the assistance and cooperation of National Training Center staff, plant managers, safety directors, UAW ergonomics trainers, and health and safety committee members. Ms Twila Eshelman and Ms Trudy Johnson Hall conducted the physical examinations and interviews. Ms Lei Peng performed extensive data management and analysis. Dr Robert Karasek offered valuable insights regarding interpretation of the JCQ findings. Dr Rebecca Moreland has been an unflagging supporter and liaison for this project to the NJC. Dr Katz was supported by NIH # P60 AR36308, P60 AR 47782, K24 AR 02132, and the Arthritis Foundation.

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Occup Environ Med 2004 61: 668-674
doi: 10.1136/oem.2003.008979

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