Organisational downsizing and musculoskeletal problems in employees: a prospective study

M Kivimäki, J Vahtera, J E Ferrie, H Hemingway, J Pentti

Abstract

Objectives—To study the association between organisational downsizing and subsequent musculoskeletal problems in employees and to determine the association with changes in psychosocial and behavioural risk factors.

Methods—Participants were 764 municipal employees working in Raisio, Finland before and after an organisational downsizing carried out between 1991 and 1993. The outcome measures were self-reports of severity and sites of musculoskeletal pain at the end of 1993 and medically certified musculoskeletal sickness absence for 1993–5. The contribution of changes in psychosocial work characteristics and health related behaviour between the 1990 and 1993 surveys was assessed by adjustment.

Results—After adjustment for age, sex, and income, the odds ratio (OR) for severe musculoskeletal pain between major and minor downsizing and the corresponding rate ratios for musculoskeletal sickness absence were 2.59 (95% confidence interval 1.5 to 4.5) and 5.50 (3.6 to 7.6), respectively. Differences between the mean number of sites of pain after major and minor downsizing was 0.99 (0.4 to 1.6). The largest contribution from changes in work characteristics and health related behaviour to the association between downsizing and musculoskeletal problems was from increases in physical demands, particularly in women and low income employees. Additional contributory factors were reduction of skill discretion (relative to musculoskeletal pain) and job insecurity. The results were little different when analyses were confined to initially healthy participants.

Conclusions—Downsizing is a risk factor for musculoskeletal problems among those who remain in employment. Much of this risk is attributable to increased physical demands, but adverse changes in other psychosocial factors may also play a part.

Keywords: occupational health; musculoskeletal disorders; downsizing

Main messages

- Earlier research on the health effects of organisational downsizing has paid little attention to development of musculoskeletal disorders.
- Downsizing is a risk factor for musculoskeletal problems among those who remain in employment.
- This risk involves men and women irrespective of socioeconomic status. The effect was stronger in those with pre-existing musculoskeletal problems, but was also found in initially healthy employees.
- Much of the adverse effect of downsizing on musculoskeletal health is attributable to increasing work demands arising from work force reductions.
- Adverse changes in other psychosocial factors—such as job control and job insecurity—may also contribute to musculoskeletal morbidity after downsizing.

Policy implications

- Those making decisions about organisational downsizing should be aware of the potential negative consequences of this managerial strategy to health of employees.
- Measures to prevent increasing numbers of musculoskeletal problems among staff may decrease excess morbidity relative to downsizing.
- Heightened work demands seems to be a main contributor to the association between downsizing and musculoskeletal problems.

Organisational downsizing (reduction of personnel by businesses and other organisations) has become an important aspect of modern working life. In the United States, for example, 43 million jobs were erased between 1979 and 1995, and in one third of all households, a family member had been laid off during this period. Downsizing can be a risk to the health of those who remain in employment. However, there is a lack of studies investigating potential psychosocial and behavioural mechanisms linking downsizing and health outcomes. Musculoskeletal problems are among the most common causes of disability in workers across occupational groups. There is also a rising trend in work related musculoskeletal problems. The aetiology of musculoskeletal disorders is multifactorial. Risk factors include high work demands, job insecurity, low job control, smoking, and vigorous exercise. These factors have also been associated with...
Sickness absence records are important indicators of health in working populations and an association between downsizing and musculoskeletal sickness absence has already been found. However, sickness absence for musculoskeletal problems may be influenced by the physical demands of the job, the severity of disability, and the culture of absence behaviour. Self reports of musculoskeletal problems do not face such work based constraints. As far as we are aware, no previous study on downsizing has considered both self reported and record based outcomes.

The longitudinal Raisio study is uniquely able to investigate associations between downsizing, changes related to work and other areas of life, and musculoskeletal problems. It focused on municipal staff in the service of the Finnish town of Raisio before and after the most severe economic decline in Finland since the first world war. Unemployment rose from 3% in 1990 to 16% in 1993 and was still 15% in 1995. The average reduction of days worked in Raisio was 15% between 1991 and 1993 but there was considerable variation between occupational groups. Only a slight (4%) increase in days worked between 1993 and 1995 followed the downsizing.

The objectives of this study were (a) to determine the association between downsizing and musculoskeletal problems, measured with self reported and sickness absence data, and (b) to identify psychosocial and behavioural mechanisms underlying this association. We hypothesised that such mechanisms may include increased work demands, high job insecurity, and loss of job control. Furthermore, we tested whether smoking and vigorous exercise, as attempts at coping, may increase the likelihood of musculoskeletal problems relative to downsizing.

**Methods**

**Participants**

The cohort was the same as that used in our previous study of downsizing. It comprised 189 male and 575 female municipal employees who had responded in 1990 and 1993 to surveys designed to assess characteristics of work, social relations, and health related behaviour. Participants represented 78% of the eligible population—that is, those permanent, full time, Raisio employees in continuous employment for at least 6 months in both 1991 and 1993. The mean age was 41 (range 20–62) in men and 42 (range 21–60) years in women. Twenty nine per cent were higher grade white collar workers (managers, physicians, teachers), 45% lower grade white collar workers (technicians, registered nurses, office workers), and 26% blue collar workers (cleaners, maintenance workers, kitchen assistants). Data covered 936 person-years of follow up for men and 2800 person-years of follow up for women during the 5 year study period from 1991 to 1995.

Participants were representative of the eligible population from which they did not differ in terms of age (41 years in both groups), sex (75% and 73% women respectively), socio-economic status (76% white collar and 26% blue collar workers in both groups), and musculoskeletal sickness absence (mean rate 17.5 and 17.2 spells/100 years respectively).

**Design**

We obtained baseline information about severity and sites of musculoskeletal pain, psychosocial work characteristics, and health related behaviour from a survey in 1990. Baseline musculoskeletal sickness absences were derived from the 1991 records. Exposure to downsizing was indicated from reduction of days worked in each job category between 1991 and 1993. Outcomes were severity of musculoskeletal pain and sites of musculoskeletal pain, measured by a survey carried out in November 1993, and musculoskeletal sickness absences obtained from records related to the years 1993 to 1995. Potential contributors to the association between downsizing and these outcomes included changes in psychosocial work characteristics and health related behaviour measured by the two surveys in 1990 and 1993 (box).

**Downsizing**

We constructed an ecological measure of the extent of downsizing during the 3 years in each of the job categories in which the respondents worked. Information obtainable from the employer’s records for all periods included dates of starting work, and where appropriate, ending work; job titles; and dates on which

<table>
<thead>
<tr>
<th>Study design</th>
<th>Year</th>
<th>Measurements</th>
<th>Source of data</th>
</tr>
</thead>
<tbody>
<tr>
<td>1990–1 (baseline information)</td>
<td>Demographics</td>
<td>Employer’s records (1990–1)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Work characteristics and health behaviour</td>
<td>Survey (November 1990)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Musculoskeletal pain</td>
<td>Records from occupational healthcare unit (1 January–31 December 1991)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Sickness absence due to musculoskeletal disorders</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1991–3 (downsizing)</td>
<td>Reduction in the sum of days worked in different job categories*</td>
<td>Employer’s records (1 January–31 December 1991 and 1 January–31 December 1993)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Musculoskeletal pain</td>
<td>Survey (November 1993)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Sickness absence due to musculoskeletal disorders</td>
<td>Records from occupational healthcare unit (1 January–31 December 1995)</td>
<td></td>
</tr>
</tbody>
</table>

*Sum of days worked refers to the sum of days included in all work contracts minus the sum of days legitimately spent away from work (on leave, laid off, maternity leave, sickness absence). Extent of downsizing is percentage of reduction in the sums of days worked between 1991 and 1993.
Downsizing and musculoskeletal problems

Each period of absence began and ended. We calculated the number of days worked by subtracting days equivalent to number of days off work, irrespective of cause, from total possible working days, for each job category in 1991 and in 1993. Job categories were based on the classification of occupations by Statistics Finland (32 occupational groups). Percentage reductions in the number of days worked from 1991 to 1993 were calculated for each job category, as a measure of the extent of downsizing. Categories of minor (<8%), intermediate (8%–18%) and major (>18%) downsizing were used as in our earlier study on all cause absence in the same cohort. Categories of minor and major downsizing also corresponded with those used in the previous study on the eligible population. A more detailed description of the measure is given elsewhere.

MUSCULOSKELETAL PROBLEMS

Three indicators of musculoskeletal problems were used: (a) status of severe musculoskeletal pain; (b) number of sites of musculoskeletal pain; and (c) number of recorded spells of musculoskeletal sickness absence.

Assessment of severe musculoskeletal pain was based on a visual analogue scale. Participants indicated the intensity of such pain on a 100 mm line by placing a mark between statements of “no pain” and “the greatest imaginable pain” during the past 7 days. A dichotomous measure of severe pain identified cases from marks 55 mm or less from the ultimate pain end. This cut off point is 15% tighter than that used to detect pain in previous research and allows enough cases to examine the effect of downsizing on severe pain in the present cohort.

The number of sites of musculoskeletal pain was measured by the Nordic musculoskeletal questionnaire. It asks about problems, such as ache, pain, and discomfort, arising in nine body areas (neck, shoulders, elbows, wrists or hands, upper back, lower back, hips or thighs or buttocks, knees, and ankles or feet). A continuous measure of the number of reported sites of pain during the past 12 months in the 1993 survey was the outcome (range 0—9). Initially healthy cohort was defined as those with no reported sites of musculoskeletal pain during the past 7 days in the 1990 survey.

Earlier research shows that organisational downsizing increases the risk of medically certified sickness absence but not self certified sickness absence. Thus, this paper deals with medically certified absences relating to all those diagnoses which belong to the main category of musculoskeletal disorders in the international classification of diseases (ICD), 1977 revision. Illustrative diagnoses are osteoarthritis, rheumatoid arthritis, sciatica, and lumbago. We grouped all periods of musculoskeletal sickness absence that occurred before downsizing in 1991 and all such periods that occurred after downsizing in 1993–5. We checked records for inconsistencies, and combined any overlapping or consecutive periods of sickness absence.

Data on sick leaves were collected from computer based records kept by the Raisio occupational healthcare unit. They include data for each employee on when sick leave began and ended and the diagnosis in coded form. All certificates relating to sick leaves, irrespective of place of issue, had to be forwarded for recording. For periods of absence of up to 3 days, employees could complete their own certificates. Such absences were not included in the present study. For absences of more than 3 days, which were the focus of this study, medical certificates are required.

The three indicators of musculoskeletal problems were moderately interrelated (Pearson correlation between self reported indicators r=0.45; correlations of severity and number of sites of musculoskeletal pain to musculoskeletal sickness absence were 0.31 and 0.32, respectively, p<0.001 in all cases).

POTENTIAL EXPLANATORY FACTORS

We used information obtained by questionnaire surveys in 1990 and 1993 about those adverse changes in characteristics of work and health behaviour which have been found to be related to downsizing in the present cohort: physical work demands (one item, Standard Survey by Statistics Finland); aspects of job control, such as skill discretion (four item scale, Cronbach’s α reliability 0.78) and opportunities to participate in decision making (three item scale; Cronbach’s α 0.76); job insecurity (five item scale from the standard survey by Statistics Finland, Cronbach’s α 0.87); and smoking (current smoker v not). We also measured vigorous exercise (≥1 hours a week of vigorous exercise) which has been associated with organisational restructuring in earlier studies.

OTHER VARIABLES

Other variables, measured at baseline, were sex, age, and socioeconomic status, as indicated by income (median split for high v low income; median incomes were 126 000 and 114 000 FIM/year for men and women, respectively).

STATISTICAL ANALYSES

Number of musculoskeletal sickness absence spells and person-years of follow up, as well as severity and sites of musculoskeletal pain were calculated for each employee. Poisson regression models were fitted to data with severity and sites of musculoskeletal pain (a dichotomous variable). For several sites of musculoskeletal pain (a continuous variable), analysis of variance (ANOVA) was used.

The associations between downsizing and outcomes were adjusted for age, sex, and income. Ratios of rates of sickness absence and odds ratios (ORs) for severe musculoskeletal pain, and their 95% confidence intervals (95% CIs), were calculated for major (>18%) and intermediate (8%–18%) versus minor (<8%) downsizing. For number of sites of pain, we
Table 1  Effect of downsizing on musculoskeletal problems adjusted for age, sex, and income

<table>
<thead>
<tr>
<th>Indicator of musculoskeletal problems</th>
<th>Degree of downsizing</th>
<th>Crude values</th>
<th>Adjusted ratio / difference (95% CI)</th>
<th>p Value for linear trend</th>
</tr>
</thead>
<tbody>
<tr>
<td>Severe pain*</td>
<td>Minor</td>
<td>20</td>
<td>1.00</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Intermediate</td>
<td>26</td>
<td>1.48 (0.9 to 2.4)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Major</td>
<td>39</td>
<td>2.59 (1.5 to 4.5)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Sites of musculoskeletal pain†</td>
<td>Minor</td>
<td>2.77</td>
<td>0.00</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Intermediate</td>
<td>3.31</td>
<td>0.29 (−0.2 to 0.7)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Major</td>
<td>4.14</td>
<td>0.99 (0.4 to 1.6)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Sickness absence rate‡</td>
<td>Minor</td>
<td>4.8</td>
<td>1.00</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Intermediate</td>
<td>16.6</td>
<td>2.11 (1.7 to 3.9)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Major</td>
<td>39.5</td>
<td>5.50 (3.6 to 7.6)</td>
<td>&lt;0.001</td>
</tr>
</tbody>
</table>

*Crude values are percentages of cases. Adjusted ORs (95% CIs) are reported.
†The trend was dependent on income in physical demands (p=0.005) and on sex in participation.
‡Crude values are absence rates/100 person-years. Adjusted RRs (95% CIs) are reported.
§The trend was dependent on income for sites of musculoskeletal pain (p=0.018) but otherwise independent of sex and income.

Table 2  Mean percentages (SEMs) of work characteristics and percentages (SE) of health related behaviour after downsizing adjusted for values before downsizing

<table>
<thead>
<tr>
<th>Variable</th>
<th>Degree of downsizing</th>
<th>p Value for linear trend</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Minor</td>
<td>Intermediate</td>
</tr>
<tr>
<td>Physical demands</td>
<td>64.0 (0.7)</td>
<td>65.4 (0.6)</td>
</tr>
<tr>
<td>Skill discretion</td>
<td>73.9 (0.8)</td>
<td>69.7 (0.6)</td>
</tr>
<tr>
<td>Participation</td>
<td>40.1 (1.1)</td>
<td>43.4 (0.9)</td>
</tr>
<tr>
<td>Job insecurity*</td>
<td>11.3 (0.3)</td>
<td>13.1 (0.2)</td>
</tr>
<tr>
<td>Vigorous exercise</td>
<td>12.0 (1.9)</td>
<td>10.9 (1.5)</td>
</tr>
<tr>
<td>Smoking</td>
<td>14.9 (1.6)</td>
<td>16.9 (1.2)</td>
</tr>
</tbody>
</table>

*Measured only after downsizing and therefore no adjustment for job insecurity at baseline.
†The trend was dependent on income in physical demands (p=0.005) and on sex in participation (p=0.044) but otherwise independent of income and sex.

Results

EFFECTS OF DOWNSIZING

There was a highly significant linear relation between downsizing and musculoskeletal problems across all outcomes (table 1). After adjustment for age, sex, and income, the ORs for severe musculoskeletal pain between major and minor downsizing and the corresponding rate ratio for musculoskeletal sickness absence were 2.6 and 5.5, respectively. Major downsizing was associated with about one symptom area more than minor downsizing. The relations did not significantly vary between sexes or income groups, except for the number of sites of musculoskeletal pain in which the trend was not significant for high income employees.

Associations between downsizing and characteristics of work and health related behaviour are shown in table 2. Major downsizing was related to increased levels of physical demands, job insecurity, and smoking, and decreased levels of skill discretion and participation in decision making. There were only two differences between sexes or income groups in these linear trends. The effect of downsizing on physical demands was stronger in low income employees than in high income employees and its effect on participation was stronger among women than among men. However, in all these cases the association was significant (p=0.038–<0.001). Downsizing was not related to vigorous exercise.

TEST OF EXPLANATORY FACTORS

The test of explanatory factors involved all those characteristics of work and health related behaviour significantly associated with downsizing (tables 3–5). Although the association between downsizing and musculoskeletal problems did generally not differ between the sexes and income groups, it is possible that contributors to this association were not the same for these groups. For this reason, tests of explanatory factors were conducted separately for male, female, low income, and high income employees. We did not analyse vigorous exercise as it was not related to downsizing. Participation in decision making and smoking were associated with downsizing but they did not affect the relation between downsizing and musculoskeletal problems.

We have omitted the level “intermediate downsizing” from tables 3–5 because this information is redundant in supporting our conclusions. As the comparison between models 1 and 2 in table 3 shows, additional adjustment for physical demands after downsizing attenuated the association between downsizing and severe musculoskeletal pain in all groups. This indicates that increases in physical demands may partially underlie the association. Attenuation was 59% in men and 24%–36% in other groups. Adjustment for covariates of skill discretion and job insecurity attenuated the association in women and low income employees. Simultaneous adjustment for all
As can be seen in table 5, adjustment for physical demands attenuated the association between downsizing and musculoskeletal pain more than 20% in all groups (table 4). Adjustment of skill discretion reduced this association in men and adjustment of job insecurity in low income employees, leading to 17%–20% reductions in the association. In men, adjustment for job insecurity also attenuated this association.

Initially Healthy Population
To explore whether downsizing was associated with incidence of musculoskeletal problems, we conducted analyses for initially healthy subcohorts. At baseline, there were 604 employees with no severe musculoskeletal pain, 353 employees with no sites of musculoskeletal pain, and 686 employees with no musculoskeletal sickness absence. There was a linear trend across all three indicators of musculoskeletal problems (p<0.01). After adjustment for age, sex, and income, OR for severe musculoskeletal pain between major and minor downsizing and the corresponding rate ratios (RRs) for musculoskeletal sickness absence were 2.25 (95% CI 1.1 to 4.6) and 3.34 (95% CI 2.1 to 5.4), respectively. Major downsizing was associated with over a half symptom area more than minor downsizing.

Downsizing was also associated with changes in each characteristic of work but not with changes in health related behaviour. In most cases, tests of explanatory factors led to results similar to those for all participants. The only exceptions were that job insecurity did not contribute to the relation between downsizing and severe pain in men and that decreased skill discretion did not contribute to this relation in women.

**Discussion**
This longitudinal study took advantage of a natural experiment by following up the same people before any rumour of downsizing and after downsizing. Our data provide robust evidence that downsizing is associated with increased musculoskeletal problems. This has already been found for musculoskeletal sickness absence, but the evidence from self reporting is
The effect of downsizing on musculoskeletal problems in those remaining in employment was obvious across the three different indicators of musculoskeletal problems, in men and women. The contribution of job insecurity played a more pronounced part than physical demands in women. The contribution of job insecurity is considered as one of the best measures of musculoskeletal disability in working populations. In this study, all the spells of musculoskeletal sickness absence were certified by a doctor.

In low income employees and women, increases in physical demands accounted for from one fifth to over one third of the effect of downsizing on musculoskeletal problems across all the outcomes under study. In male and high income employees, increased physical demands contributed to the association between downsizing and subjective experiences of musculoskeletal problems but not to sickness absence. Increased physical demands probably imply pathophysiological mechanisms related to heightened exposure to physical risk factors such as lifting, twisting, and bending. Low income employees (cleaners, nursing auxiliaries, and kitchen workers) have physically strenuous work with high exposure to such physical risk factors for musculoskeletal problems, and a higher proportion of these employees are women than men. This may partially explain the more significant role of physical demands in women.

Results for the other explanatory factors were not as robust as those related to physical demands. Reduction of skill discretion partially explained the effects of downsizing on self reported musculoskeletal problems but not on sickness absence or in high income employees. The mechanism by which skill discretion may influence musculoskeletal problems is not known. It is possible that it involves control over physical demands at work. This is probably not more than a partial explanation of the present results because the combined effect of physical demands and skill discretion clearly exceeded that found related to physical demands alone.

Job insecurity may produce a stress response increasing muscle tension, a factor with the potential to increase musculoskeletal problems. In men with pre-existing disease, job insecurity played a more pronounced part than in women. The contribution of job insecurity may have been stronger if those with nonpermanent contracts had been included in the study or if the downsizing had affected permanent employees more than it did. In Raisio, only employees without permanent contracts of employment lost jobs. As usual in the public sector in Finland and other countries, staff numbers were reduced through retirement, by not filling vacancies, and by not hiring cover for those absent from work.

We found no support for increased smoking and vigorous exercise as behavioural pathways linking downsizing and musculoskeletal problems within the time frame of this study. Major downsizing was associated with increased prevalence of smoking, supporting earlier findings on organisational restructuring.

**METHODOLOGICAL CONSIDERATION**
To reflect accurately the true changes in days worked, our measure of downsizing took into account reductions due to sickness absence as well as other days legitimately spent away from work, finishing work, and new work contracts. Excluding sickness absence from the measure of downsizing yielded similar estimates for the effect of downsizing on musculoskeletal sickness absence (excess risk after major downsizing was 5.6 (95% CI 3.7 to 8.6)). Relations between exposure and outcome are therefore unlikely to have overestimated the effects of downsizing. Moreover, the significant association between downsizing and self reported musculoskeletal pain were unaffected by any such possible confounding.

Although several potential pathways were identified, almost half of the relation between downsizing and musculoskeletal problems remained unexplained. This may be due to several reasons. Firstly, the measurement of the potential explanatory factors was much more open to error than the measurement of downsizing and musculoskeletal sickness absence, which were both derived from daily based registered data, although the two other measures of musculoskeletal problems were similarly self reports. Secondly, the relation between downsizing and musculoskeletal problems may be partially explained by factors not measured in this study, such as increased sleeping problems, lifting, and carrying. Thirdly, it is not clear whether inability to identify explanatory factors of downsizing among the sample of high income employees reflect true differences between socioeconomic groups or practical constrains of the data. Major downsizing was not as common in this group as among low income respondents. However, a significant interaction between downsizing and income on physical demands and musculoskeletal pain indicates that the effects of downsizing may vary by socioeconomic position.

**Conclusions**
As a conclusion, this natural experiment provides evidence of an association between downsizing and the development of musculoskeletal problems, and identifies potential linking mechanisms. We showed that downsizing significantly increases risk of musculoskeletal problems among those who remain in employment. Concomitant increase in physical work demands contributed to this risk, particularly in women and low income employees. Reduction of skill discretion was also a potential explanatory factor but only in relation to self
reported indicators of musculoskeletal problems. In men, job insecurity played a notable part among those with musculoskeletal problems. If indeed downsizing continues to be a significant trend within industrialised countries for the foreseeable future, as has been suggested, then those making decisions should be aware of these negative consequences of this managerial strategy.

MK was the principal investigator and together with JV designed and conducted the study and wrote the paper. JEF and HH contributed to the writing of the paper, helped in the data analysis, and advised in the interpretation and presentation of the results. JP developed the downsizing measure and helped in the statistical analysis and advised in the interpretation and presentation of the results. MK was the principal investigator and together with JV was responsible for the results. JEF thanks the Academy of Finland [project No 44966], JV by the Economic and Social Research Council (L 128 25 1040), and HH by a Public Health Career Scientist Award from the Department of Health during the preparation of this work. We thank Dr Marja Lampio from the occupational health-care unit in Raisio; and all participating municipal employees.

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