Selection related to musculoskeletal complaints among employees

Bart C H de Zwart, Jake P J Broersen, Allard J van der Beek, Monique H W Frings-Dresen, Frank J H van Dijk

Abstract

**Objectives**—To (a) describe differences in the outcome of cross sectional and longitudinal analysis on musculoskeletal complaints relative to age and work demands, and (b) to assess the entrance and drop out selection on musculoskeletal complaints among groups of employees relative to age and work demands.

**Methods**—A study population was selected on the basis of questionnaire data from periodical occupational health surveys of almost 45,000 employees collected between 1982 and 1993. From all companies within this data base that participated twice in company wide surveys four years apart, male employees were selected, and stratified for age and work demands. There were several populations: follow up (participation in both surveys); drop out (participation only in the first survey); entrance (participation only at the second survey); and two cross sectional populations (all participants at each survey). Prevalences of back complaints and turnover rates were analysed.

**Results**—Reported back complaints in the cross sectional analysis declined over the oldest age groups in heavy physical work versus a small increase in the longitudinal analysis. The age group 50–9 and back complaints were identified as predictors at the first survey for not participating at the second survey. Neither age nor work demands at the first survey indicated drop out among those employees with back complaints at the first survey. The effects of entrance selection on estimated prevalences were small.

**Conclusions**—The results indicate that musculoskeletal disorders lead to selection out of work, affecting the validity of both cross sectional and longitudinal epidemiological studies. In future studies analyses of turnover figures on musculoskeletal complaints relative to work demands and age are recommended.

**Keywords**: back complaints; age; work demands; selection; study design; drop out; entrance

During the past few years, various studies have been carried out on the combined effects of physical work demands and age on the development of musculoskeletal complaints, disorders, and related work incapacity. The two principle methods applied in these studies are the cross sectional1–3 and longitudinal design.4–7 When comparing the outcome of these research studies, remarkable differences in results are found between the types of methodological designs.

It is obvious that prevalences and incidences obtained either by cross sectional or by longitudinal studies must be interpreted with caution because of a health related selection effect.4–7 Essentially, two types of health related selection mechanisms are relevant in most occupational cohorts: entrance and drop out selection.4–7 Health related entrance selection refers to the selection of healthy members from the general population during the process of hire. For example, people with musculoskeletal impairments or with a low physical work capacity probably will not choose a strenuous job for themselves (self selection), or are not selected by a personnel officer on the outcome of a pre-employment medical examination. Health related drop out selection, on the other hand, refers to the process of employees leaving their occupation, involving people with a relatively low or diminished work capacity, possibly as a result of health problems. For example, employees in strenuous physically demanding jobs who have chronic musculoskeletal complaints may decide to choose a less strenuous job or, if they continue their work, become disabled for their job. Consequently, this health related selection in occupational cohorts yields a bias that tends to mask real occupational health risks in cross sectional as well as longitudinal studies.8

In general, it is suggested that this health based selection is subject to substantial modification by factors such as age and work demands.9–11 For example, it may be hypothesised that the effects of entrance selection on the outcome of musculoskeletal complaints at group level are more pronounced in younger than older employees in physically demanding work. The obvious reason is that the number of elderly people starting to work for the first time in a heavy physical job is small. On the other hand, the effects of drop out selection are expected to be more pronounced in the older age groups, as the risk of developing musculoskeletal complaints increases with age.9 Furthermore, type of work demands may also be a crucial factor in this matter. For most physically demanding occupations, an optimal condition of the musculoskeletal system is a prerequisite. Consequently, entrance and out-
Selection related to musculoskeletal complaints among employees

Table 1  Criteria for attribution of employees to the different populations

<table>
<thead>
<tr>
<th>Population</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Follow up (heavy physical (Pp)/mental (Fm)):</td>
<td>Participating in first survey (T1) and four years later in second survey (T2), and at T1 and T2 working in the same company in a heavy physically demanding/mentally demanding job</td>
</tr>
<tr>
<td>Drop out (heavy physical (Dp)/mental (Dm)):</td>
<td>Participating in survey at T1 working in a heavy physically demanding/mentally demanding job, and not participating at T2, or participating at T2 while working in a different company compared with T1, and/or job at T2 not categorised as heavy physically demanding/mentally demanding</td>
</tr>
<tr>
<td>Entrance (heavy physical (Ep)/mental (Em)):</td>
<td>Participating in survey at T2 working in a heavy physically demanding/mentally demanding job, and not participating at T1, or participating at T1 while working in a different company compared with T2, and/or job at T2 not categorised as heavy physically demanding/mentally demanding</td>
</tr>
<tr>
<td>Cross-sectional (heavy physical (Cp)/mental (Cm)):</td>
<td>At T1: CpT1/CmT1 is a composite of the populations FpT1/FmT1 and Dp/Dm At T2: CpT2/CmT2 is a composite of the populations FpT2/FmT2 and Ep/Em</td>
</tr>
</tbody>
</table>

Flow selection related to musculoskeletal complaints may be expected to be more pronounced within physically demanding than with sedentary occupations.

Coming back to our initial point, it may be hypothesised that both types of selection may have different effects in cross sectional and longitudinal study designs. This may be a plausible explanation for reported differences in study results between the two designs. Hence, to prevent drawing false conclusions from these studies, knowledge about the selection mechanism present within the population under study is required. However, the effects of health related selection relative to age and work demands on the outcome of cross sectional and longitudinal studies have been poorly defined so far.

The purpose of this study is twofold: (a) to describe differences in the outcome of cross sectional and longitudinal analysis on musculoskeletal complaints relative to age and work demands, and (b) to assess the entrance and drop out selection on musculoskeletal complaints among groups of employees relative to age and work demands.

Materials and methods

Study design

In The Netherlands, regional occupational health services take care of the health and well-being of employees of affiliated companies. With intervals of around four years, all employees are invited by their regional occupational health services to participate in a periodic occupational health survey. Within the interval 1982 to 1993, almost 45 000 employees, working in a wide range of occupations within 354 companies, participated in at least one survey carried out by an occupational health service in the eastern part of The Netherlands. From this population, only male employees were selected from companies participating at least twice in a company wide survey in this period. The interval between the first and second survey period within each company was restricted to between 2.5 and 5.5 years, mean (SD) 3.7 (0.4) years. When a company participated more than twice, the first two survey periods were selected.

From the selected companies, all employees participating in at least one out of two survey periods were stratified for work demands. Stratification was based on employee's job title according to the classification described by De Zwart et al. For the present study, only employees within the two most contrasting categories were selected—that is, employees in the categories mentally demanding work and heavy physically demanding work. These categories will be referred to as mental and heavy physical.

In total, survey questionnaire data of male employees from 188 different companies were selected. On the basis of characteristics of participation in a survey and other criteria (table 1), employees were classified into several populations: a cross sectional population at the

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**Figure 1** Study populations at the first survey (T1) and four years later at the second survey (T2).
first survey consisting of a follow up population (participating in both surveys), a drop out population (participating at the first survey only), a cross sectional population at the second survey consisting of a follow up population (those who also participated at the first survey) and an entrance population (participating at the second survey only). Figure 1 shows the various populations under study.

**DATA ANALYSIS**

Back complaints are widely recognised as one of the most common musculoskeletal complaints affecting employees. Therefore, data on the question "Do you regularly have pain or stiffness in the back? yes/no", originating from the survey questionnaire, were used for analysis. For the different populations, prevalences were computed. All populations were stratified into four age groups (20–9, 30–9, 40–9, and 50–9). Figure 2A shows the cross sectional results at the first survey; eight age groups were constructed covering five year intervals each to analyse the differences in results between both types of study design more precisely.

Multiple logistic regression analysis was applied to test whether the determined collection of variables could be identified as predictors at the time of the first survey for drop out at the second survey. Drop out at the second survey was included in the model as a dichotomous outcome variable. Age at the first survey was included as a categorical scaled independent variable. Type of work demands and back complaints at the first survey were included as dichotomous independent variables. All three independent variables were forced in a single step into the model. The measure of association was the odds ratio. Furthermore, interaction terms were entered into the model in a second step by forward stepwise selection (cut off value of $P<0.05$).

Differences in prevalences between the different populations were tested for significance by a two by two table $\chi^2$ test. Within the follow up population, differences in prevalence between the first and the second survey were tested by means of the non-parametric McNe mar test for two correlated dichotomous variables. Differences were considered significant when the $P$ value was $<0.05$.

**Results**

**CROSS SECTIONAL VERSUS LONGITUDINAL ANALYSIS**

Figure 2 shows the prevalences of back complaints by age and work demands based on cross sectional analyses (A) and follow up analyses (B). Within both designs, there was a trend for higher prevalences among employees in heavy physical work than those in mental work.
Selection to complaints later sectional work Heavy physical: reported physical work between prevalences complaints 40-4 and 45-9 from a follow work and found age only difference up to reported age 55-9. In ending 40-9. to sectional groups at Population at T2

<table>
<thead>
<tr>
<th>Age group at T1 (y):</th>
<th>20-9</th>
<th>30-9</th>
<th>40-9</th>
<th>50-9</th>
</tr>
</thead>
<tbody>
<tr>
<td>PR (%)</td>
<td>PR (%)</td>
<td>PR (%)</td>
<td>PR (%)</td>
<td>PR (%)</td>
</tr>
<tr>
<td>n</td>
<td>n</td>
<td>n</td>
<td>n</td>
<td>n</td>
</tr>
<tr>
<td>Heavy physical:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Follow up (FpT1)</td>
<td>19.9</td>
<td>614</td>
<td>22.7</td>
<td>1146</td>
</tr>
<tr>
<td>Drop out (Dp)</td>
<td>19.4</td>
<td>320</td>
<td>27.7*</td>
<td>423</td>
</tr>
<tr>
<td>Cross sectional (CpT1)</td>
<td>19.7</td>
<td>934</td>
<td>24.0</td>
<td>1569</td>
</tr>
<tr>
<td>Mental:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Follow up (FmT1)</td>
<td>10.1</td>
<td>336</td>
<td>15.8</td>
<td>1076</td>
</tr>
<tr>
<td>Drop out (Dm)</td>
<td>16.6*</td>
<td>151</td>
<td>16.8</td>
<td>363</td>
</tr>
<tr>
<td>Cross sectional (CmT1)</td>
<td>12.1</td>
<td>487</td>
<td>16.1</td>
<td>1439</td>
</tr>
</tbody>
</table>

*P<0.05; **P<0.01; ***P<0.001, χ² PR at follow up v PR in drop out population within same age group.

Table 3 Multivariate predictors at T1 for drop out four years later at T2

<table>
<thead>
<tr>
<th>Predictor</th>
<th>Odds ratios (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age group at T1 (y):</td>
<td></td>
</tr>
<tr>
<td>20-9</td>
<td>1.00</td>
</tr>
<tr>
<td>30-9</td>
<td>0.71</td>
</tr>
<tr>
<td>40-9</td>
<td>0.67</td>
</tr>
<tr>
<td>50-9</td>
<td>1.59</td>
</tr>
<tr>
<td>Work demands at T1:</td>
<td></td>
</tr>
<tr>
<td>Mental</td>
<td>1.00</td>
</tr>
<tr>
<td>Heavy physical</td>
<td>1.04</td>
</tr>
<tr>
<td>Back complaints at T1:</td>
<td></td>
</tr>
<tr>
<td>No complaints</td>
<td>1.00</td>
</tr>
<tr>
<td>Complaints</td>
<td>1.31</td>
</tr>
</tbody>
</table>

work in all age groups. Within the cross sectional design, a rise in complaints was reported up to the group aged 45-9 in heavy physical work with a significant difference in prevalences between the successive age groups 40-4 and 45-9 (P<0.05). After this age group, a significant decline in prevalence was found from 33% to 28% in the age group 50-4 years (P<0.05) ending at 26% in the group aged 55-9. In mental work there was a rising trend up to the age group 45-9, with a significant difference only present between the successive age groups 40-4 and 45-9 (P<0.05). After these age groups only a small increase was found in the two oldest age groups. Within the follow up analyses, for all age groups in heavy physical work and mental work an increase was reported in prevalence of back complaints over the four year interval (fig 2 B). In heavy physical work these increases were significant in the youngest age group (P<0.01) and both middle aged groups (P<0.001). A high increase from 28% to 35% was reported in the age group 40-9. For mental work, significant increases were reported for the groups aged 30-9 (P<0.001) and 40-9 (P<0.05).

Table 4 Prevalences (PRs) of back complaints and number of male employees (n) in the follow up, drop out, and total cross sectional population at the second survey (T2), stratified for age and types of work demands (age group refers to age at T2)

<table>
<thead>
<tr>
<th>Age group at T2</th>
<th>20-9</th>
<th>30-9</th>
<th>40-9</th>
<th>50-9</th>
</tr>
</thead>
<tbody>
<tr>
<td>PR (%)</td>
<td>PR (%)</td>
<td>PR (%)</td>
<td>PR (%)</td>
<td>PR (%)</td>
</tr>
<tr>
<td>n</td>
<td>n</td>
<td>n</td>
<td>n</td>
<td>n</td>
</tr>
<tr>
<td>Heavy physical:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Follow up (FpT2)</td>
<td>23.3</td>
<td>348</td>
<td>26.7</td>
<td>958</td>
</tr>
<tr>
<td>Entrance (Ep)</td>
<td>18.1*</td>
<td>2025</td>
<td>26.7</td>
<td>1415</td>
</tr>
<tr>
<td>Cross sectional (CpT2)</td>
<td>18.9</td>
<td>2373</td>
<td>26.7</td>
<td>2273</td>
</tr>
<tr>
<td>Mental:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Follow up (FmT2)</td>
<td>9.0</td>
<td>134</td>
<td>19.1</td>
<td>754</td>
</tr>
<tr>
<td>Entrance (Em)</td>
<td>10.2</td>
<td>783</td>
<td>14.9</td>
<td>1171</td>
</tr>
<tr>
<td>Cross sectional (CmT2)</td>
<td>10.0</td>
<td>917</td>
<td>16.5</td>
<td>1925</td>
</tr>
</tbody>
</table>

*P<0.05; **P<0.01; ***P<0.001, χ² PR at follow up v PR in entrance population within same age group.

DROP OUT POPULATION

Of all employees in heavy physical work participating in the first survey, 32% did not participate in the second survey four years later (fig 3). In mental work, a nearly equal drop out percentage of 30% was reported. For both types of work, the highest drop out was in the oldest age groups (around 45% of all participants at the first survey) followed by the youngest age group (around 32%).

Table 2 presents prevalences of back complaints for the different populations at the first survey. In all age groups in heavy physical work, with the exception of the youngest one, significantly higher prevalences of back complaints occurred for employees in the drop out population (Dp) than in those in the follow up population (FpT1). For the employees aged 40-9, the prevalence of back complaints in the drop out population was 9% higher than in the follow up population (P<0.001). Also in mental work, higher prevalences among employees who dropped out occurred, with a significant difference in prevalence of nearly 7% (P<0.05) in the youngest age group.

Table 3 shows the results of multiple logistic regression analysis, which show that the age 50-9 at the first survey was the strongest predictor for drop out at the second survey compared with the control age group 20-9 years (odds ratio 1.59, 95% confidence interval (95% CI) 1.39 to 1.83). Back complaint at the first survey was also identified as a predictor for drop out at the second survey with an odds ratio of 1.31 (95% CI 1.18 to 1.45). Neither...
work demands at the first survey nor the various interaction terms entered in step two were found to contribute to the logistic regression model.

**ENTRANCE POPULATION**

About 50% of all participants in the second survey were in the entrance population (fig 3), with large differences between the age groups. A steep and almost identical decline in the entrance population for both types of work was found with increasing age, starting from about 85% in the youngest age group to about 20% in the oldest age group.

Table 4 presents the prevalences of back complaints for the populations at the second survey. The entrance population in heavy physical work (Ep) had a significantly lower prevalence than the follow up group at the second survey (P<0.05). In mental work this was also found for the group aged 30–9 (P<0.05).

**Discussion**

**STUDY POPULATION**

Survey questionnaire data gathered between 1982 and 1993 were used for secondary analysis. For the employees in the drop out population as well as the entrance population, the actual reasons for not participating twice in a company wide survey were not registered. Van Veldhoven et al. studied these reasons in more detail in a similar setting. From all drop outs in their survey, 80% of the employees were no longer doing the same job at the second survey (mean follow up time 3.5 years). This may be attributed to a change in job, disablement, retirement, dismissal, death, etc. The remaining 20% were classified as non-responders at the second survey. Among the entrance group at the second survey in their study, 88% of the employees were not doing the same job during the first survey and 12% were non-responders at the first survey. From these numbers it can be assumed that by far the main reason for not participating twice in our study was related to leaving the job or the company and not to non-response.

**DROP OUT POPULATION**

Age was found to be an important factor associated with drop out, especially for those employees aged 50–9. Within this age group, the main reasons for a high drop out may be related to disability and early retirement. However, the youngest age group (20–9) also had a relatively large drop out percentage. Although in the scientific literature health related factors such as musculoskeletal complaints and cardiovascular diseases are often reported as the most predominant reasons for elderly employees to leave their job, for the younger ones non-health related factors such as dissatisfaction with the salary or irregular working hours often seem to be related to drop out.

In heavy physical work, in all but the youngest age group significantly higher prevalences of back complaints occurred in the drop out population than the follow up population. The absence of significant differences within the youngest age group may be explained by the small number of years in which younger workers have been generally exposed to strenuous work demands. According to this line of reasoning, the risk of developing serious musculoskeletal complaints leading to back complaints within this short exposure period can be expected to be low. In this study, the presence of back complaints at the first survey was found to increase the probability of not participating in the second survey four years later. From this result, it may be assumed that back complaints are an important determinant of health related turnover. When related to musculoskeletal complaints in general, this finding accords with the results of various studies.

Surprisingly, an interaction effect between age and back complaints at the first survey and drop out at the second survey. Hence, although heavy physical work demands often have been identified as a risk factor for the development of back complaints, they were not associated with an increased probability of drop out among employees with these back complaints.

**ENTRANCE POPULATION**

Within the present study, only for the youngest age group was there a significantly lower prevalence of back complaints in the entrance population into heavy physical work than in the follow up group at the second survey. For the older age groups, perhaps those employees in the entrance group were also exposed to harmful physical work demands in their earlier working life. This might explain the absence of significant differences in prevalences.

Analysing the entrance percentages stratified for age, it is not surprising that the process of entering an occupation is typically related to the younger age groups within both types of work demands. With increasing age the number of people starting a new job rapidly declines. The overall entrance in the total population at the second survey was found to be quite high, about 50%. Van Veldhoven et al. reported an entrance percentage of about 40%. The high entrance percentage in our study can partly be explained by expansion of some of the companies during the follow up interval.

**SELECTION BIAS AND METHODOLOGICAL DESIGN**

Health related selection in occupational cohorts has been found to bias estimated morbidity rates to a considerable degree, severely affecting the validity of cross sectional and longitudinal epidemiological studies. Within our study, the most striking difference between the cross sectional and longitudinal design was reported in the oldest age groups (50–9). These controversial results might partly be ascribed to the effects of health related selection. The data of our study showed that health related selection due to back
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complaints is not only present among elderly employees, but also among the younger ones. The cumulative effect of the selection process over all age groups finally results in a relatively healthy survivor population in elderly employees. This might explain why prevalences of musculoskeletal complaints normally flatten or even decline with increasing age in cross sectional analyses, as reported in our study and in others. 24

The apparent difference in the trend in cross sectional assessed prevalences in the older age groups as found between employees in heavy physical work (decline) and those in mental work (stable) is thought partly to be the result of health related selection. Although an effect of work demands on the relation between back complaints and drop out was absent, in all age groups the prevalence of back complaints was higher among employees in heavy physical work than in mental work. Consequently, a relatively similar number of drop outs among those employees with complaints in both types of work leads to a limited increase or even a decline in prevalence in heavy physical work compared with mental work. This will be found particularly when the greater number of drop outs with complaints in heavy physical work is not combined with a greater number of employees developing new complaints. The results of our previous study showed that only in the older age groups a relation between type of work and the risk of developing back complaints was absent. 7 However, judging from our data, it is not the only reason for the differences in the relations between age, complaints, and type of work. Other explanations could be more efficient working methods used by older employees in heavy physical work or a redistribution of physically heavy tasks from the older to the younger employees, reducing the risk of musculoskeletal complaints in the older group.

Bias from health related drop out during the follow up interval is considered to be one of the major problems in longitudinal occupational epidemiological studies. 8 Here, the strong decline in prevalence of complaints at the first survey between the two oldest age groups in heavy physical work only may also partly be attributed to the selection effects described already for the cross sectional analysis. A reasonable explanation for the small increase in prevalence between the first survey and the second survey in the oldest age group in heavy physical work when compared with the other age groups is the smaller risk of developing back complaints within this healthy survivor population of elderly employees. 7

Contrary to our expectations, differential effects of type of work on selection based on back complaints were not found. Thus our results could not support the theory that in general, differences in selection bias between the population exposed to heavy physical work versus the control population significantly mask work related musculoskeletal disorders in epidemiological studies.

Finally, considering the effects of health related selection as discussed in this paper, the question arises whether cross sectional and longitudinal designs can be accurate methods for studying the combined effects of physical work demands and age on the development of musculoskeletal complaints. Both designs seem to have their own advantages and disadvantages. Well designed longitudinal studies, however, seem to be more informative for studying the relation between long lasting exposure to physical workload and adverse health effects in combination with the aging process. However, based on our results we would strongly recommend that, if possible, the target for examination in future studies should not be restricted to those who stay within the occupational follow up cohort only, but should also include analyses of health status and work characteristics of those who leave their job. The analysis of turnover figures may serve as an additional or even essential strategy for estimating the risk of developing work related musculoskeletal complaints. This approach, unfortunately seldom applied in ergonomic epidemiological studies, can provide crucial information needed to correctly identify ergonomic hazards in the work situation. 9

We thank the staff of the Occupational Health Service "Oost-Gelderland" in Doetinchem for providing their data on periodical occupational health survey.

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